

ORIGINAL

BENEFICIARIES
study

VOLUME 2

PROGRAM PLANNING AND EVALUATION: San Francisco Region IX
U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
June, 1973

FILE COPY

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B. Sampling Plan & Procedures

The Problem

The sampling task was to select samples for three different populations: those beneficiaries who are currently living in subsidized housing units and those who are eligible non-beneficiaries. In these instances, the universe was limited to the 20 SMSA in the IX Region. A third sample of intermediaries and HUD personnel was also to be drawn.

Beneficiaries were defined as all those who currently are living in subsidized housing within SMSA in the IX region. To determine the universe of these beneficiaries, all units, project by project, would be aggregated. After the universe was defined, a proportionate sample, reflecting the incidence of 235 and multi-family housing units would be drawn.

The non-eligible universe was defined as the lowest quartile of the population, using mean family income as the selection criterion. The quartile would be reflective of each of the 20 SMSA within the region. Because of the expected variability within SMSA's, the sample of non-beneficiaries would be proportionate to the incidence of the non-beneficiaries population within each of the 20 SMSA's.

A third sample of Intermediaries would be selected for a qualitative analysis. The sample would be a by-product of the sample randomly selected for the beneficiaries study. That is, interviewing was to be conducted with representatives of intermediaries, plus designated HUD personnel, from the projects generated from the beneficiaries sample. (In this way, comparability between the beneficiaries and intermediary samples would be achieved.)

PROCEDURESBeneficiaries Sample - Multi Family Projects and 235

The total number of multi-family projects and 235 projects were aggregated by SMSA. A further division was between projects with 100 or less units and those with 101 or more units. Because of financial considerations, the sample size was pre-determined to be 300 for the beneficiaries sample. This required that the sample of 300 would be proportionately divided among the three sampling frames.

<u>Type of Unit</u>	<u># of Individuals</u>	<u>% in IX Region</u>
235 units	24,132	19.2%
Multi-family 100 or less units	33,505	54.2
Multi-family 101 or more units	68,140	26.6
	<u>125,777</u>	

To minimize variability within project type, it was decided that each multi-family sampling point should have no more than five cases.

The sampling universe was then distributed, with minor adjustments, among the multi-family and 235 units, as follows:

<u>Type of Unit</u>	<u># of Interviews</u>	<u># of Clusters</u>
235 units	60	Not Applicable
Multi-family 100 or less units	80	16
Multi-family 101 or more units	165	33

Having calculated the requisite number of sampling points, the sampling points were distributed proportionately among the 20 SMSA, using the following procedures:

1. The 20 SMSA were re-numbered randomly, using a table of random numbers.
2. Aggregates of the SMSA were calculated for the re-numbered SMSA, determining the aggregate sub-total one by one for each of the 1 - 20 SMSA's.
3. A random number equal to or less than the aggregate for the total number of multi-family units was selected. This became the starting point for selecting sampling points proportionate to each SMSA.
4. Within the 100 or less unit frame, there were sixteen sampling clusters. This meant that each sampling point would include 2,065 individuals ($16 \times 2065 = 33,040$ MF individuals). Within the 101 plus unit frame, there were 33 sampling points. This meant that each sampling point would include 2,093 cases ($33 \times 2093 = 69,069$ MF individuals).

In the case of 100 or less unit projects, the starting point was 423. For the 101 plus unit projects, the starting point was 1718.

Having selected the number of sampling points for each SMSA represented in the sample, the actual projects were selected in the following way:

1. The number of units by type (100 or less, 101 or more) were divided by its designated number of sampling points for that SMSA.
2. Using a table of random numbers, a random number was selected to locate each designated number.
3. The random number was either equal to or less than the number of units in the SMSA by type (eg. assuming that a particular SMSA was to produce one sampling point, and that there were 400 units in that SMSA, a number 400 or less would be selected).
4. Having selected the random number, the projects by unit frequency would be aggregated until the designated number was located. (Continuing the example mentioned in 3. above, if the random number was 350 the number of units project by project is aggregated until the project containing the 350th unit is located. That project becomes the sampling point.)

At the designated project, depending on the number of units in the project, the interviewer was instructed to select a random starting point, using the same selection plan developed for the filtration study.

235 projects were selected proportionate to their distribution in the IX Region. The random method used for selecting the number of interviewing points (respondents) within each area is similar to that used for multi-family units, with these variations:

1. The interviews were distributed on a one to one unit basis throughout the SMSA, rather than in clusters of five interviews. This was accomplished to minimize variability and provide a greater geographic spread.
2. Once the number of interviews had been calculated for an SMSA, the actual respondents were selected using a random number generator, previously used for the filtration study. The interviewer was instructed to interview that respondent (and select replacements in sequence).

SPECIAL NOTE

After cutting the sample, it was discovered that the data base provided was incorrect and included projects that were not as yet under construction. Practically this meant that the proportions for each of the three component parts of the sampling frame (235 units, multi-family 100 and less, multi-family 101 and more) were not in correct balance. Because of time constraints, Project Management decided that the sample could not be re-drawn. Instead, it was recommended that statistical adjustments be made in the data analysis plan. These adjustments should reflect the fact that some SMSA by sampling

frame type were over-represented in the sample, while others were under-represented. If these adjustments, or weighting, are accomplished the representative aspect can be re-claimed since the sample itself was selected in a probalistic manner.

Eligible Non-Beneficiaries Population

The lowest quartile of census tracts in terms of mean family income were the universe, by definition. The universe was limited to non-institutional census tracts within the 20 SMSA in the IX Region. The quartile break-off tract was calculated for each SMSA.

The sample was proportionate to the incidence of eligible non-beneficiaries throughout the IX Region, with each SMSA represented in proportion to its incidence of eligibles for the total region.

Because of the size of the universe, and the constraints of a small sample size (300), it was decided that the sampling plan should have a maximum number of sampling points. Accordingly, 60 sampling points were used with five interviews to be completed at each sampling point.

Table A indicates the # of Eligible Region IX Non-Beneficiaries, their proportionate incidence within the region and the number of sampling points for each SMSA.

TABLE A

<u>SMSA</u>	<u># of Eligible Region IX Non-Benefics*</u>	<u>% of Total Region IX (SMSA Only)**</u>	<u># of Sampling Points Based on Eligible Population</u>
1. Santa Ana	320,365	7.4%	4
2. Bakersfield	92,256	2.1	1
3. Fresno	89,389	2.1	1
4. L.A./Long Beach	1,521,022	35.3	22
5. Santa Barbara	78,256	1.8	1
6. Stockton	60,581	1.4	1
7. Vallejo/Napa	50,934	1.2	-
8. Oxnard/Ventura	73,483	1.7	1
9. Sacramento	66,091	1.5	1
10. Salinas/Monterey	62,257	1.5	1
11. San Bernardino/Riverside	229,750	5.3	3
12. San Diego	340,065	7.9	5
13. San Francisco	667,042	15.5	10
14. San Jose	187,910	4.4	2
15. Modesto	51,219	1.2	1
16. Santa Rosa	47,356	1.1	1
17. Las Vegas	61,968	1.4	1
18. Reno	25,741	.6	-
19. Tucson	71,642	1.7	1
20. Phoenix	211,718	4.9	3

* Defined as the 1970 population in the lowest quartile of census tracts for each SMSA.

** Excludes Non-SMSA eligibles.

After calculating the sampling points per SMSA, the following procedures were used to randomly select census tracts and census blocs:

1. Random numbers less than the aggregate for each SMSA were generated. The number of random numbers selected for any SMSA depended on the SMSA's incidence of eligibles within the IX region. (For example, four random numbers reflecting four sampling points less than 320,365 were generated for SMSA 1, Santa Ana.)
2. Having generated the requisite random numbers, census tracts were aggregated until the census tract was located which included the specific random number. (In the Santa Ana example, a random number 18679 was generated. The population of each tract was accumulated until the one containing the 18679th person was located.)
3. Locating the requisite tract, the numerical identification of the tract was noted. Once the tract was located, a similar procedure was used to locate census blocs within the tract. That is, the random number equal to or less than the total population of the tract was generated. Blocs were aggregated until the bloc containing the Nth random number was located.
4. Because of the possibility of locating blocs within census tracts that had either institutional or otherwise non-eligible populations, substitute blocs were selected in the same manner described above.
5. Four of the 60 census tracts had no designated blocks within the census tracts. In these instances, a graphic method of blocks was used to map boundaries. The selection of the ordinates was accomplished in a random manner.
6. As part of the sampling plan, procedures were developed to randomly select interviewer starting points within the census block. Random substitution of census tracts and block replacement procedures were also developed. (These procedures are contained in the appended Interviewing Instructions.)

INTERVIEWING INSTRUCTIONS
Sampling Eligible Non-Beneficiaries

This survey employs a probability design which means that we can tell you precisely which housing unit to go to and can instruct you on which person you should interview in each household.

Briefly, in these sampling instructions, we will tell you about:

1. how many interviews you should complete at each sampling point.
 2. how to list your blocks and how to find your starting points (canvassing).
 3. how to make substitutions and under what conditions these substitutions are permitted.
 4. how to fill in a SAMPLING UNIT RECORD sheet.
 5. how to handle some exceptions and some special cases (and what to do if your special case is not covered by these instructions).
-
1. How many interviews you should complete at each sampling point.
 - a. You must complete five interviews at each sampling point.
 - b. You will be assigned a sex quota for your sampling point, indicating whether you should interview a male or female head of the household.
 - c. If there is no male or female head of the household, you must interview the remaining head of the household regardless of whether he is designated on your quota sheet.

 2. How to list your block and how to find your sampling point.
 - a. You will be given a sample map that has a pre-determined starting point.
 - b. At this starting point, you will begin listing every household unit until you reach the designated household number listed in the upper right hand corner of your map. EXAMPLE: If your map has a number 5 on it, you list every house until you reach 5.

Sequence in canvassing:

As previously noted, it is imperative that you go clockwise around each block. Once you find your starting point, always go around a block in a clockwise direction (keeping the buildings on the block always at your right). Never skip any housing units once you have left your starting point.

If there are alleys or pathways: List all the living quarters found within the boundaries of the block you are canvassing. List all lanes, alleys and pathways as you come to them. If you find lanes or pathways or courts that do not show on your map, draw them in. In addition to these roadways, some blocks are divided by pedestrian pathways, while others have such pathways cutting through them. Generally these pathways are marked by street signs, even though they are not easily seen when you are driving through. It is important to note that houses are frequently located on these pedestrian pathways and are not visible from the street.

If there are lanes, alleys or pathways that do not cut completely through the block, proceed by systematically listing those living quarters on the main street until you come to the lane. When you reach the lane, enter and list first the quarters on one side of the lane and then on the other, and then continue canvassing on the main street again. Do not go back and forth across the street. Do not pass by the lane and return after listing the rest of the block. List the living quarters in order as you proceed around the block. Diagram A illustrates the correct procedure.

(A)

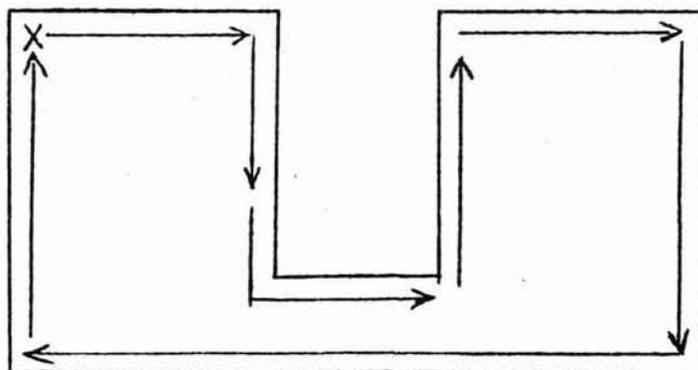
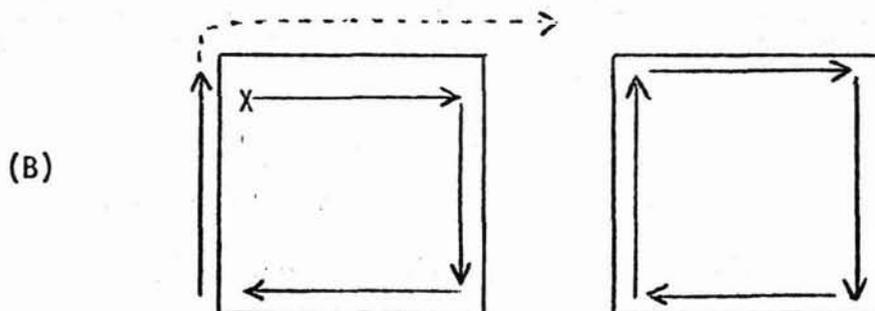


Diagram B (below) illustrates the correct procedure when the lane cuts completely through the block to be canvassed. In this situation, treat the two halves as two separate blocks, labeling one (the one which includes our X) as A and the other as B. Begin at the X on the main street and proceed in a clockwise direction. Enter the lane and then proceed listing down the lane, and continue in a clockwise direction until you return to your starting point, thus completing the first segment formed by the lane. Then, begin again in the same corner of the second segment and proceed as you did in the first.



c. What to canvass and list

You must call at every house, apartment or other housing unit as you come to it, giving us a complete description -- street name, house, apartment or room number, or description. Do not list structures which are being used entirely for non-residential purposes. Always describe clearly, so that someone else could go to the area and locate the housing unit easily.

1. Definition of Housing Unit: A housing unit is normally a house or apartment occupied or intended for occupancy as separate living quarters. A room or group of rooms may also constitute a housing unit provided it meets one of two criteria: there is either --
 - (a) Direct access from the outside or through a common hall (the people in one unit do not have to pass through living quarters of people who do not live in the same housing unit);
 - OR (b) There is a kitchen or cooking equipment for the exclusive use of the occupants of the unit.

In borderline cases, a further criterion is that occupants do not live and eat with persons living in other parts of the structure.

2. Private houses: When you come to a house, check for signs of basement, side or back apartments, extra numbers, mailboxes and the like. Be sure that you list separate living quarters on separate lines. In the case of a single family house, record the house number and the name of the street. If the house is unnumbered, identify it by some lasting physical characteristic -- e.g., a square 2-story brick building, or a 1-story wooden house with two chimneys. Do not describe the house in terms of color, shrubbery or other characteristics that may soon change. This is especially important in non-urbanized rural areas.
3. Duplexes or multi-family dwellings: When you come to a duplex, or several-family house, list each living quarter separately and properly identify it. Bracket these quarters to indicate that they are located in the same structure, as shown below:

Line No.	Address
{ 1	414 Texas St. (1st floor of bldg including line 2)
{ 2	412 Texas St. (2nd floor, see line 1)
3	416 Texas Street
4	420 Texas Street

4. Flats, apartments over, in back of stores, etc.: When you come to business establishments, watch for possible signs of the presence of living quarters, e.g., mailboxes, milkboxes, curtains and the like in order to spot any housing units located there. When identifying these apartments, it sometimes helps to provide additional description of its location -- e.g., "204 Green Street -- over the shoe store" or "1314 Farrow Street -- rear of tavern."
5. Each apartment in apartment buildings: List each apartment in apartment buildings on a separate line. Generally speaking, you should describe apartments in terms of their location as you are facing the unit from the outside. Canvass systematically, going from front to back, from left to right, etc. Do not make up apartment numbers to speed your listing. This will only serve to confuse the next person who works in the same area. If the building has several floors, list from the bottom floor up -- e.g., basement, first floor (which is the ground floor), second floor, etc. List each apartment by its number or description on a separate line.
6. Special residential structures: Call at each trailer, houseboat, or railroad car if they are used as housing units. Watch for trailers and small cabins behind houses and watch also for living quarters in the basement of a house or over the garage.
7. Watch for manager's apartment or caretaker's house, cottage or apartment in an institution.

8. What not to list: Ours is a sample of the non-institutionalized population. Therefore, you will not canvass and will not interview in college dormitories, hospitals, prisons, nursing homes, army barracks, etc. Don't interview transients in a hotel. But if some rooms are rented to long-term residents, the rooms or suites occupied by these people should be canvassed.

3. Substitutions - how and when to make them.

After contacting your original cluster of five interviews, you may make replacements under the following conditions:

You have:

1. had a bona fide refusal
2. determined the household is not income eligible
3. made two additional call backs for a total of three attempts.

When these conditions occur, you may contact the next household in sequence until you have completed the five interviews.

The following two examples indicate how the procedure might work:

Example 1

1. On your map, the seventh (7th) dwelling unit has been designated as the starting point for your interviews.
2. You list until you locate the 7th dwelling unit.
3. You then contact the 7th, 8th, 9th, 10th and 11th dwelling units.
4. You successfully complete interviews in the 7, 9, 10, and 11 dwelling units, but the respondent in the 8th household refuses to be interviewed. You then attempt to interview at the 12th household.
 - . If that interview is completed, your cluster assignment has been completed.
 - . If the interview at the 12th household is not completed, and you have made two call backs plus the original contact, you would then proceed to the 13th dwelling unit.

Example 2

1. On your map the seventh household has been designated as the starting point for your five interviews.
2. You list and locate the 7th household.
3. You must then interview at the 7th, 8th, 9th, 10th and 11th households.
4. If at the 7th dwelling unit, you determine that the respondent is not income eligible, you would then move on to the 8th household.
5. If that household is income eligible, you would start at that household and attempt to complete interviews at the 8th, 9th, 10th, 11th and 12th household.
6. You then successfully complete the five interviews in the cluster.

Obviously there are many different possibilities that might occur. But the general principles are pretty straight forward.

1. You refer to your map for the household which is your designated starting point.
2. You list households until you reach the designated starting point.
3. That household, and the four successive households make up your original five interview cluster.
4. You continue interviews in successive households if in one or more households the respondent refuses, is not income eligible, or can't be located after three attempts.

4. How to fill out the SAMPLING UNIT RECORD Sheet

The sampling unit record sheet is to be used both for listing and for tallying the results of your interviewing calls.

Listing

You will list all households until you have located the designated interviewing starting point. In listing these households, you must only record the address and apartment number (or some other identifying description such as single family house, apartment above the store, etc.) and check the box marked Listing Only.

Record of Call

At the designated starting point, and in successive contacts until you have completed your five interviews, continue to record on the Sampling Unit Record Sheet the exact address and the call results, using the following abbreviations:

- CI: Completed Interview
- NH: If no one was at home when you called
- NIE: If the household is not income eligible
- NE: Didn't speak English well enough to be interviewed. Specify the language spoken and report this to your supervisor. Do not substitute for this interview (without prior approval).
- REF: If someone refuses to be interviewed.
- II: If a person is too sick to be interviewed, deaf, senile or clearly mentally incompetent.
- X: If you are unable to complete an interview for any other reason (give reason in parentheses).

5. How to handle some exceptions and some special cases.

1. The first five interviewees are not income eligible. If this occurs, please notify your interviewing supervisor. Do not continue interviewing. If one of the five dwelling units in any cluster is income eligible, you must continue interviewing (using the substitution procedures previously described).
2. If you have been assigned a non-urbanized area, without a designated starting point, you must first pre-list all dwelling units in that area. After completely listing all dwelling units, you will refer to the _____ to determine your designated starting point. You will then make your first call at that household and the four successive households on your list (using the substitutions procedures previously described, if necessary).

SAMPLING UNIT RECORD SHEET

Sampling Unit # _____

	Address	Apt # or description	Check Box That Applies		Record of Call
			Listing only	Designated Starting Point	
1.					
2.					
3.					
4.					
5.					
6.					
7.					
8.					
9.					

Legend: (Abbreviations)
 CI: Completed Interview
 NH: No one at home
 NIE: Not income eligible

NE: Didn't speak English well
 REF: Refused - indicate reason
 II: Deaf, senile, etc.

X: Other reason (indicate)

Address	Apt # or description	<u>Check Box That Applies</u> Listing Designated only Starting Point	Record of Cal
10.			
11.			
12.			
13.			
14.			
15.			
16.			
17.			
18.			
19.			
20.			
21.			
22.			

Intermediaries

SURVEY DESIGN AND SAMPLE

The randomly distributed sample of multifamily units was drawn from a list of all 236, 236RS, 221(d)(3) MR, 221(d)(3) BMIR, and low rent projects in Region IX and a random selection of active 235 developers served as the basis for selecting respondents. In addition, HUD/FHA staff in each Area and Insuring Office who had been directly involved in one of the selected projects were interviewed.

Questionnaires were developed on the basis of the hypotheses.

METHODOLOGY

Staff from Region IX were selected and trained in interview techniques. Respondents from the sample were contacted several days in advance of the interviews and meetings established in their offices or homes. The interviews were intended to last 1½ to 2 hours, in most cases they lasted from 2 to 4 hours. Seventy-three interviews were conducted with 14 low rent respondents, 46, 235/236 intermediaries and 13 HUD/FHA staff members. With the exception of five, 235 intermediaries, all respondents agreed to participate. The 235 refusals were based on inability to spare the time, disinterest in the program or cancellation for unspecified reasons. One interviewer drove 110 miles to visit a 235 sales broker who had agreed to participate and found that the man had unexpectedly flown to Canada that morning for a hunting trip. Worth noting was the eagerness of most people to participate and the tendency to begin commenting over the phone during the explanation of the interview process.

To the extent possible the interviews were given to one respondent from each project and taken verbatim. The responses were analyzed and coded by an independent coding firm and these codes were translated into computer data by respondent occupation, questionnaire version, type of project and responses to questions. Coding reliability is certified following this section.

These coded items were then combined into several sets of "collapsed variables" and subjected to several types of analysis.

Of interest is the broad range of responses to questions -- that is, most respondents answered the question as asked and substantially added to their responses as probes were mentioned. This provided very rich data but contrary to our expectations. The data was spread very thinly with the only clustering of responses being those mentioned in the overall findings.

The constructed variables were then run against each other on bivariate tables with limited significance resulting due to the size of the sample.

Public Response Company
1140 Taylor Street
San Francisco, California 94108
(415) 771-5020

June 8, 1973

Memo to: Joel Posner
HUD Task Force

From: Gene Bregman

Re: Coding Reliability

Our coding verification procedures indicated that the accuracy or reliability levels for each questionnaire is as follows:

Intermediaries - Multifamily	95%
Intermediaries - LHA	97%
HUD	99%

GB:mn

cc: Peter Sperlick

-2-

Received -
Joel Posner
6/9/73

C. Interview
Instruments
& Procedures

FIELD NUMBER () () () ()

NATIONAL SUBSIDIZED HOUSING EVALUATION

BENEFICIARIES STUDY

STATISTICAL STUDY

SPRING 1973

OMB NUMBER: 063S - 73012

EXPIRATION DATE: SEPTEMBER, 1973

FIELD NO. [][][][]

(2 - 5)

HELLO, MY NAME IS _____, I REPRESENT
TRANSCENTURY CORPORATION WHICH HAS A CONTRACT WITH
THE U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
TO FIND OUT HOW PEOPLE FEEL ABOUT THE PLACE WHERE
THEY LIVE. HERE IS MY IDENTIFICATION LETTER SIGNED
BY THE REGIONAL ADMINISTRATOR OF DEPARTMENT OF
HOUSING AND URBAN DEVELOPMENT INDICATING THE OFFICIAL
NATURE OF THIS INTERVIEW. IF YOU WOULD LIKE TO VERIFY
MY NAME AND CREDENTIALS, PLEASE CALL THE NUMBER OF
THE HUD OFFICE SHOWN ON THE ATTACHED SHEET WHICH IS
CLOSEST TO YOU HOME.

*Determine here if person to be interviewed is head of house-
hold or spouse.*

WE WILL BE TALKING TO PEOPLE ALL OVER THE WESTERN
STATES. YOUR ADDRESS WAS ONE OF SEVERAL HUNDRED
SELECTED TO HELP US FIND OUT IF PEOPLE'S HOUSING
NEEDS ARE BEING MET. I WOULD LIKE TO ASK YOU SOME
SHORT QUESTIONS TO DETERMINE IF YOUR HOUSEHOLD FALLS INTO THE
FAMILY SIZE AND INCOME RANGE SELECTED FOR THIS
SURVEY. IF IT DOES, WE WILL PAY YOU THREE DOLLARS
FOR HELPING US COMPLETE THE REST OF THE INTERVIEW
WHICH WILL TAKE ABOUT 45 MINUTES.

ALL THE INFORMATION YOU PROVIDE WILL BE STRICTLY
CONFIDENTIAL. YOUR NAME WILL NOT BE RECORDED AND
IT WILL NOT BE GIVEN TO ANY GOVERNMENT AGENCY.
IF YOU SHOULD HAPPEN TO BE ALREADY RECEIVING THE
BENEFITS OF HUD'S HOUSING PROGRAMS OR BE ELIGIBLE
FOR THOSE BENEFITS, THE RESULTS OF THIS INTERVIEW
WILL IN NO WAY EFFECT EITHER YOUR PRESENT OR FUTURE
ELIGIBILITY.

1. *Code person being interviewed:*

HEAD OF HOUSEHOLD YES(1) NO(2) []

2. *Code sex of person being interviewed:*

MALE (1)
FEMALE (2) []

3. *Code sex of head of household:*

MALE (1)
FEMALE (2) []

4. IS THIS (APARTMENT, HOUSE) PART OF ANY HOUSING
SUBSIDY PROGRAM?

1. YES

2. NO

3. DK

[]
9

5. WHAT TYPE OF SUBSIDY PROGRAM?

1. 235

2. 236

3. 236 RS

4. 221(D)(3) BMIR

5. DK

[]
10

For Non-Beneficiary interviews: If "Yes" terminate

6. IN ORDER TO DETERMINE IF YOU MEET THE ELIGIBILITY
REQUIREMENTS FOR SOME OF THESE PROGRAMS WE WOULD
LIKE TO ASK YOU SOME QUESTIONS ABOUT YOUR HOUSE-
HOLD SIZE AND YOUR INCOME. FIRST, HOWEVER,
WOULD YOU PLEASE TELL ME WHETHER YOU THINK YOU
ARE OR ARE NOT ELIGIBLE FOR SUCH PROGRAMS?

1. ARE ELIGIBLE

2. ARE NOT

3. DK

[]
11

7. WOULD YOU PLEASE TELL ME HOW MANY PEOPLE LIVE
HERE ALL THE TIME?

Code response

0 1 2 3 4 5 6 7 8 9 10+ [] []
12 13

8. HOW MANY PEOPLE UNDER THE AGE OF 18 LIVE HERE
ALL THE TIME?

0 1 2 3 4 5 6 7 8 9 10+ [] []
14 15

Code response

IT IS IMPORTANT FOR OUR STUDY THAT WE KNOW AS CLOSELY AS POSSIBLE THE GROSS ANNUAL INCOME OF YOUR HOUSEHOLD. WOULD YOU PLEASE TELL ME HOW MUCH MONEY THE PEOPLE WHO LIVE HERE RECEIVED LAST YEAR FROM ALL SOURCES; (*read slowly*) WAGES, SALARIES, SELF-EMPLOYED INCOME, BONUS, TIPS, COMMISSIONS, WELFARE, PUBLIC ASSISTANCE, PENSIONS, VETERANS PAYMENTS, DIVIDENDS ETC.

9. Record Response: _____ Code to nearest [][][][][]
dollar. 16 17 18 19 20

THANK YOU, PLEASE GIVE ME A SECOND, I HAVE TO MAKE A COUPLE OF NOTES.

From Guide I, record the figure in the column where the number of children in the family and the income meet.

10. Record figure: _____ Code to nearest [][][][][]
dollar. 21 22 23 24 25

In Guide II, find the column with total number of people in the household.

If the figure from Guide I is larger than Line 1 on Guide II, then the household is not eligible.

If the figure from Guide I is equal to or less than Line 1 and larger than Line 2, then the household is moderate subsidy eligible.

If the figure from Guide I is equal to or smaller than Line 2, then the household is deep subsidy eligible.

11. Code proper category:

NOT ELIGIBLE (1)
MODERATE SUBSIDY ELIGIBLE (2)
DEEP SUBSIDY ELIGIBLE (3)

[]
26

If not eligible, terminate interview by saying: THANK YOU VERY MUCH. WE ARE INTERESTED IN FINDING OUT WHY PEOPLE WHO CAN BE SERVED BY HOUSING PROGRAMS ARE NOT BEING SERVED. THE REST OF THE QUESTIONS ARE FOR PEOPLE WITH A DIFFERENT INCOME AND HOUSEHOLD SIZE THAN YOURS. THANK YOU FOR GIVING US THIS INFORMATION.

If eligible, continue with interview.

COULD YOU GIVE ME THE AGE AND RELATIONSHIP TO THE HEAD OF HOUSEHOLD OF ALL THE PEOPLE WHO LIVE HERE. LET'S START WITH THE HEAD OF HOUSEHOLD.

Record age and relationship of each person.

AGE	RELATIONSHIP TO HEAD OF HOUSEHOLD	CONT.	
		AGE	RELATIONSHIP TO HEAD OF HOUSEHOLD

Code number of people in each age range.

12.	0 - 5 YEARS	[]
		27
13.	6 - 11 YEARS	[]
		28
14.	12 - 14 YEARS	[]
		29
15.	15 - 18 YEARS	[]
		30
16.	19 - 24 YEARS	[]
		31
17.	25 - 34 YEARS	[]
		32
18.	35 - 44 YEARS	[]
		33
19.	45 - 54 YEARS	[]
		34
20.	55 - 61 YEARS	[]
		35
21.	62 OR MORE YEARS	[]
		36

22. HAVE ALL THESE PEOPLE BEEN LIVING WITH YOU ALL THE TIME FOR THE LAST THREE YEARS, THAT IS SINCE MAY 1970?

Code response:

YES (1)
NO (2)

[]
37

If "Yes", skip to Q#3.

WHAT IS THE AGE AND RELATIONSHIP TO THE HEAD OF HOUSEHOLD OF EACH OF THE PEOPLE WHO HAVE JOINED YOUR HOUSEHOLD DURING THE LAST THREE YEARS?

Record age and relationship of each person.

AGE	RELATIONSHIP TO HEAD OF HOUSEHOLD	CONT.	
		AGE	RELATIONSHIP TO HEAD OF HOUSEHOLD

Code number of people in each age range.

23.	0 - 5 YEARS	{ }
24.	6 - 11 YEARS	[] 38
25.	12 - 14 YEARS	[] 39
26.	15 - 18 YEARS	[] 40
27.	19 - 24 YEARS	[] 41
28.	25 - 34 YEARS	[] 42
29.	35 - 44 YEARS	[] 43
30.	45 - 54 YEARS	[] 44
31.	55 - 61 YEARS	[] 45
32.	62 OR MORE YEARS	[] 46
		47

WHAT IS THE AGE AND RELATIONSHIP TO THE HEAD OF THE HOUSEHOLD OF EACH OF THE PEOPLE WHO HAVE LEFT YOUR HOUSEHOLD DURING THE LAST THREE YEARS?

Record age and relationship of each person.

AGE	RELATIONSHIP TO HEAD OF HOUSEHOLD	CONT.	
		AGE	RELATIONSHIP TO HEAD OF HOUSEHOLD

Code number of people in each age range.

33.	0 - 5 YEARS	[]
34.	6 - 11 YEARS	48
35.	12 - 14 YEARS	[]
36.	15 - 18 YEARS	49
37.	19 - 24 YEARS	[]
38.	25 - 34 YEARS	50
39.	35 - 44 YEARS	[]
40.	45 - 54 YEARS	51
41.	55 - 61 YEARS	[]
42.	62 OR MORE YEARS	52
		53
		[]
		54
		[]
		55
		[]
		56
		[]
		57

43. Code whether number of people living in the household has decreased, increased or stayed the same during the last three years:

INCREASED (1)
DECREASED (3)
STAYED SAME (2)

[]
58

44. ARE YOU MARRIED (IS THE HEAD OF THE HOUSEHOLD MARRIED)?

Code Response:

YES (MARRIED-SEPARATED) (1)
NO (SINGLE-DIVORCED-WIDOWED) (2)

[]
59

If "No", skip to Q46.

45. DOES YOUR (HIS/HER) SPOUSE LIVE HERE?

YES (1)
NO (2)

[]
60

46. PLEASE INDICATE ON THIS CARD WHICH OF THESE CATEGORIES BEST DESCRIBES YOUR RACIAL BACKGROUND.

Show Card I. Code proper category for head of household only.

WHITE (NON-MINORITY) (1)
BLACK (2)
SPANISH-AMERICAN (MEXICAN AMERICAN, LATIN AMERICAN, CHICANO, PUERTO RICAN, OTHER SPANISH) (3)
ORIENTAL (4)
AMERICAN INDIAN (5)
OTHER (SPECIFY) (6)

[]
61

47. AT THE PRESENT TIME, DOES ANY OF YOUR HOUSEHOLD INCOME COME FROM PUBLIC ASSISTANCE, SUCH AS ASSISTANCE FOR DEPENDENT CHILDREN, OLD AGE, DISABILITY OR GENERAL ASSISTANCE?

YES (1)
NO (2)

[]
62

If "No", skip to Q49.

48. DOES THIS ASSISTANCE MAKE UP THE LARGEST PART OF YOUR TOTAL HOUSEHOLD INCOME?

Code response:

YES (1)
NO (2)

[]
63

49. ARE YOU (IS THE HEAD OF YOUR HOUSEHOLD) A VETERAN?

Code response:

YES (1)
NO (2)

[]
64

50. DO YOU (DOES THE HEAD OF THE HOUSEHOLD) HAVE A SAVINGS ACCOUNT?

Code response:

YES (1)
NO (2)

[]
65

51. DO YOU (DOES THE HEAD OF HOUSEHOLD) HAVE A GASOLINE CREDIT CARD?

Code response:

YES (1)
NO (2)

[]
66

52. DO YOU (DOES THE HEAD OF THE HOUSEHOLD) HAVE EITHER A MASTER CHARGE OR BANKAMERICA CARD?

Code response:

YES (1)
NO (2)
OTHER (3)

[]
67

53. DO YOU (DOES THE HEAD OF THE HOUSEHOLD) HAVE A CHARGE ACCOUNT AT A LOCAL STORE?

Code response:

YES (1)
NO (2)

[]
68

54. HOW MANY OF THE PEOPLE 18 OR OVER WHO LIVE HERE ALL THE TIME ARE WAGE EARNERS?

Code response:

[]
69

55. HOW MANY OF THESE CONTRIBUTE TO THE SUPPORT OF THE HOUSEHOLD?

Code response:

[]
70

56. HAS THE NUMBER OF THESE WAGE EARNERS INCREASED, DECREASED OR STAYED THE SAME IN THE LAST THREE YEARS?

Code amount of change:

INCREASED MORE THAN ONE	(1)
INCREASED MORE THAN TWO	(2)
DECREASED MORE THAN ONE	(4)
DECREASED MORE THAN TWO	(5)
NO CHANGE	(3)

[]
71

Q57 - 70 APPLY TO CHIEF WAGE EARNER ONLY

THE NEXT SERIES OF QUESTIONS APPLY TO WHOMEVER YOU WOULD CONSIDER TO BE THE CHIEF WAGE EARNER IN YOUR HOUSEHOLD: THAT IS, THE PERSON WHO LIVES HERE WHO HAS THE MAJOR RESPONSIBILITY FOR SUPPORTING YOUR HOUSEHOLD:

57. WHO IS THE CHIEF WAGE EARNER IN YOUR HOUSEHOLD?

Code response:

HEAD	(1)
SPOUSE	(2)
CHILD	(3)
OTHER IN HOUSEHOLD	(4)
NO WAGE EARNER PRESENT	(5)

[]
72

If respondent has difficulty deciding on one person, ask them to select one person based on whomever they would consider to have the major responsibility at the present time or whomever makes the most money at the present time, be it wife, husband, child, self in a single head of household situation or other in the household. However, whomever they choose must live in the household. If person they choose does not live in the household, code, "No wage earner present" and skip to Q71.

FIELD NO. _____

TEAR SHEET

A. WHAT IS (YOUR/HIS/HER) OCCUPATION?

Record response: If response is not a clear occupation, ask: WHAT KIND OF JOB IS THAT?

Do not code:

B. WHAT WAS (YOUR/HIS/HER) FATHER'S OCCUPATION?

Record response: If response is not a clear occupation, ask: WHAT KIND OF JOB IS THAT?

Do not code:

58. (DO YOU) (DOES HE/SHE) HAVE MORE THAN ONE JOB?

Code response:

YES (1)
NO (2)

If "No", skip to Q63

[]
73

59. HOW MANY OTHER JOBS?

Code number:

[]
74

ARE THESE JOBS (OR JOB) FULL TIME
(35 HOURS A WEEK OR MORE), PART-TIME OR
OCCASIONAL?

Code number of jobs in each category:

60. FULL TIME JOB

[]
75

61. PART TIME JOB

[]
76

62. OCCASIONAL JOB

[]
77

63. ABOUT HOW MANY MILES FROM HOME IS (YOUR/HIS/
HER) PRIMARY JOB?

Code proper response:

LESS THAN 1 MILES (0)

2 - 3 MILES (1)

4 - 5 MILES (2)

6 - 10 MILES (3)

11 - 15 MILES (4)

16 - 20 MILES (5)

21 - 30 MILES (6)

31 - 50 MILES (7)

51 - 100 MILES (8)

101+ MILES (9)

[]
78

FIELD NO. [] [] [] []

64. HOW (DO YOU) (DOES HE/SHE) USUALLY GET TO WORK? (2-5)

Code proper category: Code one only. If more than one, code most important.

DRIVE OWN VEHICLE	(1)	
RIDE WITH SOMEONE	(2)	
BUS OR STREETCAR	(3)	
RAILROAD - TRAIN	(4)	
TAXICAB	(5)	
BICYCLE	(6)	
WALKING ONLY	(7)	
OTHER (SPECIFY) _____	(8)	[]

6

65. DURING THE LAST THREE (3) YEARS, WHAT IS THE LONGEST PERIOD OF TIME THAT (YOU HAVE) (HE/SHE HAS) WORKED FULL TIME FOR THE SAME EMPLOYER?

Code proper category:

LESS THAN 6 MONTHS	(1)	
6 MONTHS TO 1 YEAR	(2)	
1 TO 3 YEARS	(3)	[]

7

66. HAS THERE BEEN A TIME IN THE LAST THREE YEARS WHEN YOU HAVE (THE CHIEF WAGE EARNER HAS) BEEN UNEMPLOYED FOR THREE MONTHS OR MORE AT A TIME? HOW MANY TIMES?

Code response:

NONE	(1)	
1	(2)	
2	(3)	
MORE THAN 2	(4)	
<i>If "none", skip to Q68.</i>	(5)	[]

8

67. DID YOUR FAMILY HAVE TO GO ON PUBLIC ASSISTANCE (WELFARE, NOT UNEMPLOYMENT COMPENSATION) DURING THESE PERIODS OF UNEMPLOYMENT? OR, IF YOU WERE ALREADY RECEIVING PUBLIC ASSISTANCE, WAS THE AMOUNT INCREASED DURING THESE PERIODS?

Code response:

WENT ON PUBLIC ASSISTANCE (1)
AMOUNT INCREASED (2)
NO (3) []

68. HOW MANY TIMES (HAVE YOU) (HAS HE/SHE) CHANGED JOBS IN THE LAST THREE YEARS? (*Count only jobs which lasted for two weeks or more*).

Code number of job changes: [9]
[10]

69. DURING THE LAST (6) SIX MONTHS HAS (HIS/HER) (YOUR) MONTHLY INCOME STAYED PRETTY MUCH THE SAME OR GONE UP AND DOWN?

Code response:

STAYED THE SAME _____ (1)
GONE UP AND DOWN _____ (2)
DON'T KNOW _____ (3) []

70. WHAT WAS THE HIGHEST GRADE IN SCHOOL THAT (YOU/HE/SHE) COMPLETED? 11

Code response:

01 02 03 04 05 06 07 08 09 10 11 12

COLLEGE: 13 14 15 16+ [] []
12 13

71. IN TERMS OF YOUR ABILITY TO BUY WHAT YOU NEED,
WOULD YOU SAY YOUR FAMILY IS MUCH BETTER OFF,
BETTER OFF, ABOUT THE SAME, WORSE OFF, OR MUCH
WORSE OFF THAN IT WAS THREE (3) YEARS AGO.

Code Response:

MUCH BETTER OFF (1)

BETTER OFF (2)

ABOUT THE SAME (3)

WORSE OFF (4)

MUCH WORSE OFF (5)

DON'T KNOW (6)

[]

14

HERE IS A CHART SHOWING FOUR KINDS OF ACTIVITIES:
SHOPPING, WORK, ENTERTAINMENT, AND GOING TO
DOCTORS/DENTISTS. IT ALSO SHOWS KINDS OF
TRANSPORTATION THAT ARE TYPICALLY USED FOR DOING
THESE KINDS OF THINGS. I WOULD LIKE TO KNOW THE
KIND OF TRANSPORTATION YOUR HOUSEHOLD USUALLY
USES FOR EACH ACTIVITY.

Hand booklet and pencil to respondent.

72 - 75

(15-18)

	Q72	Q73	Q74	Q75	
	SHOP- PING	WORK	ENTER- TAIN- MENT	DOCTOR/ DENTIST	
DRIVE OWN VEHICLE (1)[]	[]	[]	[]	[]	Q72 []
RIDE WITH SOMEONE (2)[]	[]	[]	[]	[]	15
BUS OR STREETCAR (3)[]	[]	[]	[]	[]	Q73 []
RAILROAD - TRAIN (4)[]	[]	[]	[]	[]	16
TAXICAB (5)[]	[]	[]	[]	[]	Q74 []
BICYCLE (6)[]	[]	[]	[]	[]	17
WALK (7)[]	[]	[]	[]	[]	Q75 []
OTHER (8)[]	[]	[]	[]	[]	18

*Get only one response per activity category (Q72-75)
and code transportation mode number to appropriate
box.*

By observation, code type of building. If in doubt, ask:

76. HOW MANY UNITS ARE IN THIS BUILDING?

- SINGLE FAMILY HOME (1)
- 2-4 UNIT APARTMENT/FLAT/COMPLEX (2)
- 5-19 UNIT APARTMENT/FLAT/COMPLEX (3)
- 20+ UNIT APARTMENT/FLAT/COMPLEX (4)
- MOBILE HOME OR TRAILER (5)
- OTHER (SPECIFY _____) (6) []

19

77. ARE YOU RENTING, BUYING OR DO YOU OWN YOUR HOME?

Code response:

- RENTING (1)
- BUYING (2)
- OWN (3)
- OTHER (4) []

If "own" or "buying", skip to Q81 20

If "renting" ask:

78. WHAT ARE YOU PAYING FOR RENT?

Code response to nearest dollar: [] [] []

21 - 23

79. DOES THIS AMOUNT INCLUDE UTILITIES?

Code response.

- YES (1)
- NO (2)
- DK (3) []

If "Yes", skip to Q 85. 24

80. HOW MUCH DO YOU PAY FOR UTILITIES EACH MONTH?
THAT IS, FOR HEATING FUEL, GAS, ELECTRICITY,
WATER, SEWER CHARGES, GARBAGE PICK-UP, BUT NOT
TELEPHONE.

Code response to nearest dollar:

[][][]

If renting, skip to Q85.

25 - 27

If own or buying, ask:

81. HOW MUCH IS YOUR MONTHLY MORTGAGE PAYMENT?

Code response to nearest dollar:

[][][]

82. DOES THIS INCLUDE TAXES AND HOMEOWNER'S
INSURANCE?

28 - 30

Code response:

YES (1)

NO (2)

DON'T KNOW (3)

[]

31

If "Yes" skip to Q84.

83. HOW MUCH DO YOU PAY FOR TAXES AND HOMEOWNER'S
INSURANCE EACH MONTH?

Code response to nearest dollar.

[][][]

32 - 34

84. HOW MUCH DO YOU PAY FOR UTILITIES EACH MONTH?
THAT IS, FOR HEATING FUEL, GAS, ELECTRICITY,
WATER, SEWER CHARGES, GARBAGE PICK-UP, BUT
NOT TELEPHONE.

Code response to nearest dollar.

[][][]

35 - 37

85. DO YOU THINK YOUR RENT (OR MORTGAGE) PAYMENT IS FAIR? THAT IS, ARE YOU GETTING YOUR MONEY'S WORTH?

Code response:

YES (1)
NO (2) []

86. HOW LONG HAVE YOU LIVED IN THIS COUNTY? 38

Code proper category:

LESS THAN 6 MONTHS (1)
7 MONTHS TO 1 YEAR (2)
MORE THAN 1 BUT LESS THAN 5 YEARS (3)
MORE THAN 5 BUT LESS THAN 8 (4)
8 OR MORE (5) []
39

87. HOW LONG HAVE YOU LIVED AT THIS ADDRESS?

Code proper category:

LESS THAN 6 MONTHS (1)
7 MONTHS TO 1 YEAR (2)
MORE THAN 1 BUT LESS THAN 3 YEARS (3)
MORE THAN 3 BUT LESS THAN 5 YEARS (4)
MORE THAN 5 BUT LESS THAN 8 (5)
8 OR MORE (6) []
40

If '8 years or more', skip to Q106

88. HAVE YOU EVER HAD TO MOVE BECAUSE YOUR HOME WAS GOING TO BE TORN DOWN OR REMODELED BY A PUBLIC AGENCY?

Code response:

YES (1)
NO (2)

[]

41

89. WHAT SOURCE DID YOU USE IN FINDING THIS PLACE?

Code proper category. If more than one source given, probe for most helpful source. If source given in not know to you, probe.

NEWSPAPER (1)

FRIEND/RELATIVE (2)

EMPLOYER/FELLOW WORKER (3)

CHURCH GROUP (4)

FEDERALLY FUNDED COMMUNITY GROUP (OEO MODEL CITIES, RENEWAL, ETC.) (5)

OTHER COMMUNITY GROUP (6)

SOCIAL SERVICES AGENCY (COUNTY WELFARE, UNITED FUND ETC.) (7)

REAL ESTATE AGENCY (8)

OTHER (SPECIFY); _____ (9) []

42

90. HOW LONG DID YOU LIVE AT YOUR LAST PERMANENT ADDRESS?

Code proper category:

LESS THAN 6 MONTHS (1)

6 MONTHS TO 1 YEAR (2)

MORE THAN 1 BUT LESS THAN 3 YEARS (3)

MORE THAN 3 YEARS (4) []

43

91. ABOUT HOW MANY MILES FROM HERE WAS YOUR
LAST ADDRESS?

Code proper category:

- LESS THAN 2 MILES (0)
- 2 - 3 MILES (1)
- 4 - 5 MILES (2)
- 6 - 10 MILES (3)
- 11 - 15 MILES (4)
- 16 - 20 MILES (5)
- 21 - 30 MILES (6)
- 31 - 50 MILES (7)
- 51 - 100 MILES (8)
- 101+ MILES (9)

()
39

92. WAS THAT A GOVERNMENT SUBSIDIZED UNIT SUCH
AS PUBLIC HOUSING, SECTION 235 OR SECTION 236?
THAT IS, DID THE FEDERAL GOVERNMENT PAY PART OF
Code response: THE RENT OR MORTGAGE?

- YES (1)
- NO (2)
- DON'T KNOW (3)

()
40

93. DID YOU OWN OR WERE YOU BUYING OR RENTING
AT YOUR LAST ADDRESS?

Code response

- OWNED (1)
- BUYING (2)
- RENTING (3)
- OTHER (4)

()
41

If owned or buying, skip to Q97

94. WHAT WERE YOU PAYING FOR RENT AT YOUR LAST ADDRESS?

Code response to nearest dollar.

--	--	--

42 43 44

95. DID THAT AMOUNT INCLUDE UTILITIES?

Code response:

()
45

YES (1)

NO (2)

DON'T KNOW (3)

If "Yes" skip to Q101

96. HOW MUCH DID YOU PAY FOR UTILITIES EACH MONTH? THAT IS, FOR HEATING FUEL, GAS, ELECTRICITY, WATER, SEWER CHARGES, GARBAGE PICK-UP, BUT NOT TELEPHONE?

Code response to nearest dollar:

--	--	--

46 47 48

If "rented", skip to Q101

If "owned" or "buying", ask:

97. HOW MUCH WAS YOUR MONTHLY MORTGAGE PAYMENT?

Code response to nearest dollar:

--	--	--

49 50 51

98. DID THIS INCLUDE TAXES AND HOMEOWNER'S INSURANCE?

Code response:

()
52

YES (1)

NO (2)

DON'T KNOW (3)

If "Yes" skip to Q100

99. HOW MUCH DID YOU PAY FOR TAXES AND HOMEOWNER'S INSURANCE EACH MONTH?

Code response to nearest dollar:

--	--	--

53 54 55

100. HOW MUCH DID YOU PAY FOR UTILITIES EACH MONTH? THAT IS, FOR HEATING FUEL, GAS, ELECTRICITY, WATER, SEWER CHARGES, GARBAGE PICK-UP, BUT NOT TELEPHONE.

Code response to nearest dollar:

--	--	--

56 57 58

101. WE WOULD LIKE TO KNOW HOW MANY CHOICES YOU FELT YOU HAD WHEN YOU WERE LOOKING FOR THIS HOME, WHICH OF THE FOLLOWING WOULD YOU SAY WAS TRUE FOR YOU. DID YOU HAVE . . .

Read categories and code response:

()
59

- LOTS OF PLACES TO CHOOSE FROM (1)
- JUST A FEW PLACES TO CHOOSE FROM (2)
- PRACTICALLY NO PLACES TO CHOOSE FROM (3)
- DIDN'T LOOK - TOOK THE FIRST PLACE (4)

102. WHERE WERE THE OTHER PLACES YOU LOOKED AT? WERE THEY IN THIS NEIGHBORHOOD; WERE THEY IN ANOTHER SECTION OF TOWN; OR WERE THEY IN ANOTHER CITY?

Code response:

(60)

- THIS NEIGHBORHOOD (1)
- ANOTHER SECTION OF TOWN (2)
- ANOTHER CITY (3)
- OTHER (SPECIFY) _____ (4)

103. WERE MOST OF THE OTHER NEIGHBORHOODS YOU LOOKED IN MORE DESIRABLE, ABOUT THE SAME OR LESS DESIRABLE THAN THIS NEIGHBORHOOD.

Code response:

()
61

- MORE DESIRABLE (1)
- ABOUT THE SAME (2)
- LESS DESIRABLE (3)

104. WERE THOSE OTHER PLACES HOUSES OR APARTMENTS?

Code response:

HOUSES (1)
APARTMENTS (2)
BOTH (3)

()
62

105. WERE THEY IN BETTER OR WORSE SHAPE THAN THIS APARTMENT (HOUSE) OR WERE THEY IN ABOUT THE SAME SHAPE?

Code response:

BETTER (3)
ABOUT THE SAME (2)
WORSE (1)

()
63

FIELD NO. _____

TEAR SHEET

C. WHY DID YOU LEAVE YOUR LAST ADDRESS?

Record response: _____*Do not code.*

D. WHY DID YOU CHOOSE THIS ADDRESS?

Record response: _____*Do not code.*

E. IF YOU HAD OTHER CHOICES WHEN YOU WERE LOOKING FOR THIS PLACE, WHY DID YOU NOT CHOOSE OTHERS?

Record response: _____*Do not code.*

106. IF YOU HAD A CHOICE, WOULD YOU PREFER TO OWN, OR TO RENT, OR WOULD IT MAKE ANY DIFFERENCE TO YOU?

Code response: _____

()

64

PREFER TO OWN (1)
PREFER TO RENT (2)
DOESN'T MATTER (3)

107. IF YOU WERE LOOKING FOR A PLACE TO LIVE NOW WHAT SOURCES WOULD YOU MOST LIKELY GO TO FIRST FOR INFORMATION AND ASSISTANCE?

Do not read. Code proper category. If source given is not know to you, probe:

()

65

NEWSPAPER (1)
FRIEND/RELATIVE (2)
EMPLOYER/FELLOW WORKER (3)
CHURCH GROUP (4)
FEDERALLY-FUNDED COMMUNITY GROUP (OEO, MODEL CITIES, RENEWAL, ETC.) (5)
OTHER COMMUNITY GROUP (6)
SOCIAL SERVICES AGENCY (COUNTY WELFARE, UNITED FUND ETC.) (7)
REAL ESTATE AGENCY (8)
OTHER (SPECIFY) _____ (9)

NOW I WOULD LIKE TO ASK YOU TO TELL ME ABOUT THE KIND OF NEIGHBORHOOD YOU LIKE TO LIVE IN. IF YOU WERE LOOKING FOR A NEW NEIGHBORHOOD, HOW IMPORTANT WOULD EACH OF THE FOLLOWING ITEMS BE? PLEASE TAKE MY PENCIL AND MARK (✓) YOUR RESPONSE FOR EACH OF THE ITEMS.

Do not read. Hand booklet and pencil to respondent. If assistance is needed in defining items, use the following as a guide:

Closeness of elementary schools means closeness, not quality of schools.

Good public transportation means closeness and convenient schedules and routes.

Safety from crime means adequate street lighting, street or neighborhood crime.

Not too much noise means noise from airplane traffic, street traffic, industrial, commercial, or non-residential activity.

Close to neighborhood shopping means grocery, drug stores, etc.

Good physical appearance includes absence of trash, litter, junk on streets, empty lots, boarded up buildings, run-down houses, streets in need of repair, open ditches, smog, commercial or industrial activity.

Not too much traffic means heavy street traffic.

	VERY IMPORTANT (4)	IMPORTANT (3)	NOT VERY IMPORTANT (2)	NOT AT ALL IMPORTANT (1)	
108. CLOSENESS OF ELEMENTARY SCHOOLS,	[]	[]	[]	[]	() 66
109. GOOD PUBLIC TRANSPORTATION	[]	[]	[]	[]	() 67
110. SAFETY FROM CRIME	[]	[]	[]	[]	() 68
111. NOT TOO MUCH NOISE	[]	[]	[]	[]	() 69
112. CLOSE TO NEIGHBORHOOD SHOPPING	[]	[]	[]	[]	() 70
113. GOOD PHYSICAL APPEARANCE	[]	[]	[]	[]	() 71
114. CLOSENESS TO WORK	[]	[]	[]	[]	() 72
115. CONVENIENCE OF PLAY- GROUNDS/PARKS	[]	[]	[]	[]	() 73
116. NOT TOO MUCH TRAFFIC	[]	[]	[]	[]	() 74

After respondent returns questionnaire, or after interview is complete, code answers (1=not at all important, etc.) given by respondent in appropriate boxes for Q 66-74 on preceeding page.

THANK YOU FOR MARKING THESE ITEMS.

117. NOW LET ME ASK YOU WHICH OF THESE ITEMS ()
IS MOST IMPORTANT TO YOU? 75

Code Question number of item from previous page:

118. WHICH OF THESE ITEMS IS SECOND MOST IMPORTANT ()
TO YOU? 76

Code Question number of item from previous page:

119. WHICH OF THESE ITEMS IS THIRD MOST IMPORTANT? ()
77

Code Question number of item from previous page:

After respondent returns book or after interview is complete, code answers (1=not at all important, etc.) given by respondent in appropriate boxes in Q66-Q74.

Field Number () () () ()

TEAR SHEET

- F. ARE THERE OTHER NEIGHBORHOOD CONDITIONS THAT WE HAVE NOT LISTED THAT YOU WOULD LOOK FOR BEFORE MOVING INTO A NEIGHBORHOOD?

Record response: _____

Do not code.

For Q120-139 read choices each time and code response. Skip "better-worse" category if no move in last 8 years.

Field Number

2	3	4	5

NOW THAT YOU'VE TOLD ME WHAT WOULD BE IMPORTANT TO YOU IF YOU WERE LOOKING FOR A NEW NEIGHBORHOOD, I WOULD NOW LIKE TO KNOW HOW YOU FEEL ABOUT THESE CONDITIONS IN YOUR PRESENT NEIGHBORHOOD AND WHETHER OR NOT YOU THINK THEY ARE BETTER OR WORSE THAN THEY WERE IN THE LAST NEIGHBORHOOD YOU LIVED IN?

120. HOW SATISFIED ARE YOU WITH THE CLOSENESS OF THE ELEMENTARY SCHOOL IN THIS NEIGHBORHOOD?

()
6

- VERY SATISFIED (4)
- SATISFIED (3)
- DISSATISFIED (2)
- VERY DISSATISFIED (1)

Skip if no move.

121. ARE THE SCHOOLS' LOCATIONS BETTER, ABOUT THE SAME DISTANCE, OR WORSE THAN IN YOUR LAST NEIGHBORHOOD?

()
7

- BETTER (3)
- SAME (2)
- WORSE (1)

122. HOW ABOUT PUBLIC TRANSPORTATION AVAILABILITY?

()
8

- VERY SATISFIED (4)
- SATISFIED (3)
- DISSATISFIED (2)
- VERY DISSATISFIED (1)

Skip if no move.

123. IS TRANSPORTATION AVAILABILITY BETTER OR WORSE THAN IN YOUR LAST NEIGHBORHOOD?

()
9

- BETTER (3)
- SAME (2)
- WORSE (1)

124. HOW SATISFIED ARE YOU WITH SAFENESS FROM CRIME
IN THIS NEIGHBORHOOD?

VERY SATISFIED (4)
SATISFIED (3)
DISSATISFIED (2)
VERY DISSATISFIED (1)

()
10

Skip if no move

125. DO YOU THINK THAT IT IS BETTER, ABOUT THE
SAME, OR WORSE, HERE THAN IT WAS IN YOUR
LAST NEIGHBORHOOD?

BETTER (3)
SAME (2)
WORSE (1)

()
11

126. WHAT ABOUT THE NOISE LEVEL IN THIS NEIGHBORHOOD?

VERY SATISFIED (4)
SATISFIED (3)
DISSATISFIED (2)
VERY DISSATISFIED (1)

()
12

Skip if no move

127. IS IT BETTER, ABOUT THE SAME AS, OR WORSE
IT WAS IN YOUR LAST NEIGHBORHOOD?

BETTER (3)
SAME (2)
WORSE (1)

()
13

128. HOW SATISFIED ARE YOU WITH THE CONVENIENCE
OF GROCERY STORES, LAUNDROMATS, ETC.
IN THIS NEIGHBORHOOD?

VERY SATISFIED (4)
SATISFIED (3)
DISSATISFIED (2)
VERY DISSATISFIED (1)

()
14

Skip if no move

129. ARE THEY MORE CONVENIENT HERE THAN IN YOUR
LAST NEIGHBORHOOD?

BETTER (3)
SAME (2)
WORSE (1)

()
15

130. HOW SATISFIED ARE YOU WITH THE PHYSICAL
APPEARANCE OF THIS NEIGHBORHOOD.

VERY SATISFIED (4)
SATISFIED (3)
DISSATISFIED (2)
VERY DISSATISFIED (1)

()
16

Skip if no move.

131. IS THE APPEARANCE OF THIS NEIGHBORHOOD BETTER
OR WORSE THAN YOUR LAST?

BETTER (3)
SAME (2)
WORSE (1)

()
17

132. WHAT ABOUT THE DISTANCE FROM THE CHIEF
WAGE EARNER'S WORK?

VERY CONVENIENT (4)
SOMEWHAT CONVENIENT (3)
SOMEWHAT INCONVENIENT (2)
NOT AT ALL CONVENIENT (1)

()
18

Skip if no move.

133. IS IT BETTER OR WORSE THAN WHERE YOU WERE
BEFORE?

MORE CONVENIENT (3)
ABOUT THE SAME (2)
LESS CONVENIENT (1)

()
19

134. HOW SATISFIED ARE YOU WITH HOW CLOSE YOU ARE TO FRIENDS AND RELATIVES?

VERY SATISFIED (4)
SATISFIED (3)
DISSATISFIED (2)
VERY DISSATISFIED (1)

()
20

Skip if no move.

135. IS THAT DISTANCE BETTER OR ABOUT THE SAME OR WORSE THAN IT WAS?

BETTER (3)
SAME (2)
WORSE (1)

()
21

136. HOW CONVENIENT ARE PLAYGROUNDS AND PARKS?

VERY CONVENIENT (4)
SOMEWHAT CONVENIENT (3)
SOMEWHAT INCONVENIENT (2)
NOT AT ALL CONVENIENT (1)

()
22

Skip if no move.

137. ARE THEY MORE CONVENIENT, ABOUT THE SAME, OR LESS CONVENIENT THAN THEY WERE IN YOUR LAST NEIGHBORHOOD?

MORE CONVENIENT (3)
ABOUT THE SAME (2)
LESS CONVENIENT (1)

()
23

138. HOW SATISFIED ARE YOU WITH THE TRAFFIC SITUATION IN THIS NEIGHBORHOOD?

VERY SATISFIED (4)
SATISFIED (3)
DISSATISFIED (2)
VERY DISSATISFIED(1)

()
24

Skip if no move.

139. IS THAT BETTER OR ABOUT THE SAME OR WORSE THAN
IT WAS BEFORE?

BETTER (3)
SAME (2)
WORSE (1)

()
25

FIELD NO. _____

TEAR SHEET

- G. ARE THERE ANY OTHER CONDITIONS IN THIS NEIGHBORHOOD THAT YOU ARE PARTICULARLY SATISFIED OR DISSATISFIED WITH THAT WE HAVE NOT MENTIONED?

Record response: _____

Do not code.

NOW I WOULD LIKE TO ASK ABOUT WHAT IS IMPORTANT TO YOU WHEN YOU ARE LOOKING FOR A HOUSE OR APARTMENT. PLEASE MARK () YOUR RESPONSES ON THIS BOOKLET.

Overall

Do not read. Hand booklet and pencil to respondent. If assistance is needed in defining items, use the following as a guide.

Overall size includes total number of rooms excluding baths, porches, halls, half-rooms.

Outside appearance includes condition of steps, stairs, or railing, paint, windows, lights etc.

Outside yard space includes lawns, gardens, playlots, sidewalks.

Inside appearance includes condition of ceiling wall, paint, plaster, windows, doors, etc.

Parking space includes garage, carport, available parking space on streets.

Utilities include electrical, heating, plumbing systems, sewer system, garbage disposal facilities.

	VERY IMPORTANT	IMPORTANT	NOT VERY IMPORTANT	NOT AT ALL IMPORTANT	
	(4)	(3)	(2)	(1)	
140. OVERALL SIZE	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	() 26
141. NUMBER OF BEDROOMS	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	() 27
142. OUTSIDE APPEARANCE	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	() 28
143. OUTSIDE YARD SPACE	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	() 29
144. INSIDE APPEARANCE	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	() 30
145. PARKING SPACE	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	() 31
146. UTILITIES	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	() 32

THANK YOU FOR MARKING THESE ITEMS.

147. NOW LET ME ASK YOU WHICH OF THESE ITEMS IS MOST IMPORTANT TO YOU? ()
33

Code Question number of item from previous page:

148. WHICH OF THESE ITEMS IS SECOND MOST IMPORTANT TO YOU? ()
34

Code Question number of item from previous page:

149. WHICH OF THESE ITEMS IS THIRD MOST IMPORTANT? ()
35

Code Question number of item from previous page:

Again, post code responses.

TEAR SHEET

H. ARE THERE OTHER THINGS WE HAVE NOT MENTIONED
THAT YOU WOULD CONSIDER TO BE VERY IMPORTANT
IN LOOKING FOR A HOUSE. IF SO, WHAT ARE THEY?

Record response: _____

Do not code.

For Q150 read choices each time and code response.

NOW I WOULD LIKE TO KNOW HOW YOU FEEL ABOUT
THE HOUSE OR APARTMENT YOU NOW LIVE IN AND
WHETHER OR NOT IT IS BETTER OR WORSE THAN THE
ONE AT YOUR LAST ADDRESS,

150. HOW SATISFIED ARE YOU WITH THE OVERALL SIZE
OF THIS PLACE? ARE YOU VERY SATISFIED,
SATISFIED, DISSATISFIED, OR VERY DISSATISFIED? ()
36
- VERY SATISFIED (4)
SATISFIED (3)
DISSATISFIED (2)
VERY DISSATISFIED (1)

Skip if no move.

151. ARE YOU MORE SATISFIED, LESS SATISFIED,
OR IS THERE NO DIFFERENCE FROM YOUR LAST
PLACE? ()
37
- MORE SATISFIED (3)
LESS SATISFIED (1)
NO DIFFERENCE (2)

152. WHAT ABOUT THE NUMBER OF BEDROOMS? HOW
SATISFIED ARE YOU WITH THAT? ARE YOU
VERY SATISFIED, SATISFIED, DISSATISFIED,
OR VERY DISSATISFIED? ()
38
- VERY SATISFIED (4)
SATISFIED (3)
DISSATISFIED (2)
VERY DISSATISFIED (1)

Skip if no move.

153. IS IT BETTER, OR WORSE, OR THE SAME AS IN YOUR LAST PLACE?

BETTER (3)
SAME (2)
WORSE (1)

()
39

Skip if no move.

154. WHAT ABOUT THE OUTSIDE OF THE BUILDING? HOW SATISFIED ARE YOU WITH THAT? ARE YOU VERY SATISFIED, SATISFIED, DISSATISFIED, OR VERY DISSATISFIED?

VERY SATISFIED (4)
SATISFIED (3)
DISSATISFIED (2)
VERY DISSATISFIED (1)

()
40

Skip if no move.

155. IS IT BETTER OR WORSE THAN YOUR LAST PLACE?

BETTER (3)
SAME (2)
WORSE (1)

()
41

156. WHAT ABOUT THE GENERAL CONDITION OF THE INSIDE? ARE YOU VERY SATISFIED, SATISFIED, DISSATISFIED, OR VERY DISSATISFIED.

VERY SATISFIED (4)
SATISFIED (3)
DISSATISFIED (2)
VERY DISSATISFIED (1)

()
42

Skip if no move.

157. IS THAT BETTER OR WORSE THAN YOUR LAST PLACE?

BETTER (3)
SAME (2)
WORSE (1)

()
43

Skip if owner.

158. WHAT ABOUT THE MANAGER'S SERVICES? HOW SATISFIED ARE YOU? ARE YOU VERY SATISFIED, SATISFIED, DISSATISFIED, OR VERY DISSATISFIED?

VERY SATISFIED (4)
SATISFIED (3)
DISSATISFIED (2)
VERY DISSATISFIED (1)

()
44

Skip if no move.

159. ARE THOSE SERVICES BETTER OR WORSE THAN THEY WERE AT YOUR LAST ADDRESS?

BETTER (3)
SAME (2)
WORSE (1)

()
45

160. HOW SATISFIED ARE YOU WITH THE PARKING SPACE HERE? ARE YOU VERY SATISFIED, SATISFIED, DISSATISFIED, OR VERY DISSATISFIED?

VERY SATISFIED (4)
SATISFIED (3)
DISSATISFIED (2)
VERY DISSATISFIED (1)

()
46

Skip if no move.

161. IS THAT SITUATION BETTER OR WORSE THAN IT WAS?

BETTER (3)
SAME (2)
WORSE (1)

()
47

162. WHAT ABOUT THE AMOUNT OF PRIVACY? HOW SATISFIED ARE YOU? ARE YOU VERY SATISFIED SATISFIED, DISSATISFIED, OR VERY DISSATISFIED?

VERY SATISFIED (4)
SATISFIED (3)
DISSATISFIED (2)
VERY DISSATISFIED (1)

()
48

Skip if no move.

163. IS THAT BETTER OR WORSE THAN IT WAS?

BETTER (3)
SAME (2)
WORSE (1)

()
49

CARD
3

TEAR SHEET

FIELD NO. () () () ()

- I. ARE THERE OTHER THINGS ABOUT THIS PLACE THAT YOU ARE PARTICULARLY SATISFIED OR DISSATISFIED WITH THAT WE HAVEN'T ASKED ABOUT? IF SO, WHAT ARE THEY?

Record response _____.

Do not code.

164. WOULD YOU RATHER LIVE WHERE YOUR NEIGHBORS ARE OF THE SAME RACE OR ETHNIC BACKGROUND, OR WOULD YOU RATHER LIVE WHERE THE RACES AND ETHNIC BACKGROUNDS ARE VARIED?

SAME RACES (1)
DIFFERENT RACES (2)
DOESN'T MATTER (3)

()
50

165. IF YOU HAD TO CHOOSE BETWEEN LIVING IN A (HOUSE/APARTMENT) YOU REALLY LIKED OR BEING CLOSE TO YOUR OLD FRIENDS AND NEIGHBORS, WHICH ONE WOULD YOU CHOOSE?

HOUSE/APARTMENT (1)
NEIGHBORS AND FRIENDS (2)

()
51

166. HAVE YOU HEARD ABOUT HUD'S SUBSIDIZED HOUSING PROGRAMS, THAT IS, PROGRAMS WHERE THE GOVERNMENT HELPS PAY PART OF THE HOUSING COST?

YES (1)
NO (2)

()
52

If no, end interview.

167. HOW DID YOU LEARN ABOUT SUBSIDIZED HOUSING PROGRAMS?

Do not read. Code proper category. If source given is not known to you, probe.

- | | | |
|--|-----|-----|
| NEWSPAPER | (1) | |
| FRIEND/RELATIVE | (2) | () |
| EMPLOYER/FELLOW WORKER | (3) | 53 |
| CHURCH GROUP | (4) | |
| FEDERALLY FUNDED COMMUNITY GROUP (OEO,
MODEL CITIES, RENEWAL, ETC.) | (5) | |
| OTHER COMMUNITY GROUP | (6) | |
| SOCIAL SERVICES AGENCY (COUNTY WELFARE,
UNITED FUND ETC.) | (7) | |
| OTHER | (8) | |

168. HAVE YOU EVERY APPLIED OR ATTEMPTED TO APPLY FOR SUBSIDIZED HOUSING?

Code response:

- | | | |
|-----|-----|-----|
| YES | (1) | |
| NO | (2) | () |

54

If "No" skip to Q182

169. AT HOW MANY DIFFERENT PLACES DID YOU APPLY OR ATTEMPT TO APPLY?

Code proper response:

- | | | |
|--------|-----|--|
| ONLY 1 | (1) | |
| 2 - 4 | (2) | |
| 5 - 6 | (3) | |
| 7 - 9 | (4) | |
| 10+ | (5) | |

()
55

NOW I WOULD LIKE TO ASK YOU SOME QUESTIONS ABOUT YOUR EXPERIENCE WHEN YOU APPLIED OR ATTEMPTED TO APPLY FOR SUBSIDIZED HOUSING. IF YOU HAVE APPLIED OR ATTEMPTED TO APPLY AT MORE THAN ONE PLACE, PLEASE ANSWER THESE QUESTIONS IN TERMS OF WHAT HAPPENDED TO YOU AT THE MOST RECENT PLACE WHERE YOU MADE INQUIRY.

170. WHEN YOU APPLIED, OR ATTEMPTED TO APPLY, DID YOU FEEL THE SALES OR RENTAL PERSON FULLY EXPLAINED TO YOU WHAT THE QUALIFICATIONS AND ELIGIBILITY REQUIREMENTS WERE?

Code response:

YES	(1)	()
NO	(2)	56

171. DID YOU FILL OUT AN APPLICATION?

Code response:

YES	(1)	()
NO	(2)	57

172. DID SOMEONE INTERVIEW YOU?

Code response:

YES	(1)	()
NO	(2)	58

If "No" skip to Q174

173. DID THEY INTERVIEW YOU MORE THAN ONCE?

Code response:

YES (1) ()
NO (2) 59

174. DID YOU HAVE TO PAY ANY MONEY BEFORE THEY WOULD PROCESS YOUR APPLICATION?

Code response:

YES (1) ()
NO (2) 60

If "No" skip to Q176

175. WHAT WAS THIS MONEY FOR?

Code response:

APPLICATION FEE (1) ()
CREDIT REPORT (2) 61
DOWN PAYMENT (3)
DON'T KNOW (4)
OTHER (5)

176. HOW WERE YOU NOTIFIED ABOUT WHETHER OR NOT YOU WERE QUALIFIED OR ACCEPTED?

Code proper category.

THEY CALLED YOU (1) ()
YOU CALLED THEM (2) 62
MAIL - LETTER SENT TO YOU (3)
IN PERSON - YOU VISITED THEM (4)
IN PERSON - THEY VISITED YOU (5)
WASN'T NOTIFIED (6)

177. HOW MANY TIMES DID YOU HAVE TO GO BACK OR CALL BEFORE YOU FOUND OUT WHETHER OR NOT YOU WERE QUALIFIED OR ACCEPTED?

() ()
62 63

Code response:

178. WERE YOU PUT ON A WAITING LIST?

Code response:

()
64

YES
NO (1)
GOT IN IMMEDIATELY (2)
DON'T KNOW (3)
(4)

If "no" or "don't know" slip to Q181.

179. DID YOU EVENTUALLY GET, ARE YOU STILL WAITING, OR ARE YOU NO LONGER INTERESTED?

Code proper response:

()
65

GOT IN (1)
STILL WAITING (2)
NO LONGER INTERESTED (3)

180. If "got in" ask: HOW LONG DID YOU HAVE TO WAIT BEFORE GETTING IN? ()
66

If "still waiting" ask: HOW LONG HAVE YOU BEEN WAITING?

If "no longer interested" ask: HOW LONG WERE YOU ON THE LIST BEFORE LOSING INTEREST?

Code response:

LESS THAN 2 WEEKS	(1)
2 WEEKS TO 2 MONTHS	(2)
MORE THAN 2 BUT LESS THAN 6 MONTHS	(3)
6 MONTHS TO A YEAR	(4)
1 - 2 YEARS	(5)
MORE THAN 2 YEARS	(6)

181. GENERALLY SPEAKING, DO YOU FEEL YOU WERE TREATED FAIRLY BY THE SALES/RENTAL PEOPLE?

Code response:

YES	(1)
NO	(2)

If "Yes" skip to Q183

182. WHY DO YOU FEEL YOU WERE TREATED UNFAIRLY?

Do not read, code proper category:

RACIAL DISCRIMINATION	(1)
ECONOMIC DISCRIMINATION	(2)
OTHER (SPECIFY) _____	(3)

183. IS THERE ANY (ANY OTHER) SUBSIDIZED HOUSING
LOCATED NEAR YOU? (WITHIN 10 BLOCKS?)

Code response:

()
69

YES (1)
NO (2)
DON'T KNOW (3)

184. DO YOU FEEL PEOPLE LOOK DOWN ON FAMILIES AND
INDIVIDUALS WHO LIVE IN SUBSIDIZED HOUSING?

Code response:

()
70

YES (1)
NO (2)
DON'T KNOW (3)

THANK YOU VERY MUCH FOR YOUR TIME. COULD I
PLEASE HAVE YOUR TELEPHONE NUMBER SO THAT MY
SUPERVISOR CAN CONFIRM THE FACT THAT THIS
INTERVIEW WAS CONDUCTED AND THAT YOU RECEIVED
YOUR THREE DOLLAR PAYMENT?

Record Telephone No. _____

Give respondent three dollar money order.

END INTERVIEW

*After leaving respondent, go on to next
page and complete items.*

OBSERVATIONAL ITEMS

The following are a series of questions for the interviewer to answer as objectively as possible through observation of the interviewee's unit and neighborhood.

THE NEIGHBORHOOD:

185. Are there either visible boarded up buildings or broken windows within a one-block radius of the respondent's unit? ()
71
- NO (1)
NOT SURE (2)
YES (3)
186. Is there either broken glass, trash, or garbage on the block or streets within a one-block radius of the respondent's unit? ()
72
- NO (1)
NOT SURE (2)
YES (3)
187. Are there visible abandoned autos in this area? ()
73
- NO (1)
NOT SURE (2)
YES (3)
188. Are there either sidewalks, curbs, or streets in the area that are in need of major repair? ()
74
- NO (1)
NOT SURE (2)
YES (3)

THE DWELLING UNIT

189. *Is there any broken plaster or peeling paint on the ceiling or interior walls larger than this questionnaire page?*

()
75

NO (1)
NOT SURE (2)
YES (3)

190. *Does the dwelling unit have broken windows?*

()
76

NO (1)
NOT SURE (2)
YES (3)

INTERVIEWER COMMENTS

a. *Confronted exceptional family situation*
(explain): _____

b. *Confronted exceptional interview situation (explain): (e.g., respondent couldn't read, two respondents competing with each other, etc.)*

c. *General observations and comments (explain):*

Beneficiaries Study
Intermediaries - HUD Staff
Questionnaire

- Question No. 1: WHAT WERE THE REASONS FOR THE SELECTION OF THIS SITE AND THIS PROJECT, OVER OTHERS.
- Question No. 2: WITH ALLOCATIONS AS SCARCE AS THEY HAVE BEEN, WOULD YOU PICK THIS SITE AND THIS PROJECT AGAIN?
- Question No. 3: SHOULD HOUSING LIKE THIS GO INTO SUBURBS, CENTRAL CITIES, ELSEWHERE?
- Question No. 4: WHAT CHANGES IN SITE SELECTION, LAND COST AND OTHER REGULATIONS WOULD YOU MAKE?
- Question No. 5: WHAT GROUP OF PEOPLE WERE TO BE SERVED BY THIS PROJECT?
- Question No. 6: IN YOUR JUDGMENT, DID THE SPONSOR DESIGN THE PROJECT TO SERVE THE NEEDS OF THIS GROUP OF PEOPLE?
- Question No. 7: WHERE THE UNIT SIZES RELATED TO FAMILY NEEDS? IS THE LOCATION RELATED TO SCHOOLS, CHURCHES, SHOPPING, TRANSPORTATION, ET AL?
- ARE THE DESIGN FEATURES RELATED TO THE NEEDS OF THE POPULATION TO BE SERVED?
- OTHER CONSIDERATIONS.
- Question No. 8: THE DEPARTMENT HAS DEFINED SOCIAL GOALS WHICH ARE CLOSELY RELATED TO THE PROVISION OF HOUSING. GRANTED THAT SHELTER IS JUST ONE OF THESE SOCIAL NEEDS, HOW DO YOU SEE THIS SPECIFIC PROJECT IN MEETING SOCIAL NEEDS OF THE BENEFICIARIES?
- Question No. 9: IN YOUR JUDGMENT, IS IT APPROPRIATE FOR HUD/FHA TO BE IN SOCIAL PROGRAMS RELATED TO HOUSING?
- Question No. 10: HOW DID HUD/FHA INFLUENCE THE QUALITY OF THIS PROJECT? DESCRIBE THE REVIEW FUNCTION. DID IT CAUSE DELAYS? WERE THESE DELAYS WORTHWHILE IN TERMS OF THE FINAL OUTCOME?

Question No. 11: TO WHAT DEGREE, AND AT WHAT POINTS, DID YOU BECOME DIRECTLY INVOLVED IN THIS PROJECT?

Question No. 12: HOW EFFECTIVE, IN YOUR JUDGMENT, IS HUD MARKET ANALYSIS?

Question No. 13: HOW DOES ALL OF THE DATA CONTAINED IN MARKET ANALYSIS REPORTS GET INCLUDED IN THE OUTCOME OF THIS PROJECT?

Question No. 14: WHAT EFFECT DID PROJECT SELECTION SYSTEM HAVE ON THIS PROJECT?

Question No. 15: HOW WOULD YOU CHANGE THE FORMER PSS?

Question No. 16: WHAT CHANGES WOULD YOU MAKE IN THE HOUSING PROGRAMS OF THE DEPARTMENT?

Probes:

Do you favor direct (as in Housing Authorities) over indirect financing methods? Or vice versa? Are there other more effective, more "efficient" methods by which the Federal Government can finance increasing the supply of low and moderate income housing?

Is it a Federal responsibility?

Is it a private sector responsibility?

A combination?

Other source?

Many people? Everyone? seems to find the various rules, regulations, forms and the like that HUD requires of builder/sponsors, beneficiaries etc., to be burdensome. In your view, what kinds of simplifications could be inaugurated that would still serve HUD's needs and yet not be so difficult for our clients to comply with?

By the way, what are HUD's privacy needs? Why do we have to follow so many rules and regulations?

Question No. 17: HAVE YOU, IN THE COURSE OF YOUR WORK, HAD CONTACT WITH THE BENEFICIARIES OF THE HOUSING PROGRAMS. WHAT WAS THE NATURE OF THIS CONTACT. WHAT WERE YOUR EXPERIENCES WITH THE CLIENTS?

Question No. 18: IT IS ALLEGED THAT CERTAIN ELIGIBLE BENEFICIARIES ARE EXCLUDED IN FAVOR OF CERTAIN OTHERS.

IN YOUR JUDGMENT, WHERE IN THE PROCESS IS SUCH EXCLUSION POSSIBLE?

Probes:

1. Sponsor in site selection
2. Manager in rent up
3. Broker/Salesmen in sales
4. Categories of recipients

Welfare recipients
Elderly vs Family
Ethnic groups
Single Women head of households
Large Families vs. small
Projects with mixed ethnic populations

Question No. 19: PROCESSING MANUALS AND SPECIAL INSTRUCTIONS DIFFER FROM OFFICE TO OFFICE ACROSS THE REGION AND THE COUNTRY. PLEASE DESCRIBE THE PROCESS FROM INITIAL CONTACT BY A BUILDER/SPONSOR OR DEVELOPER OF PUBLIC HOUSING AUTHORITY THROUGH FINAL ENDORSEMENT OR APPROVAL OF THE PROJECT INCLUDING ANY PLACES AT WHICH SPECIFIC JUDGMENTS BY HUD/FHA STAFF CAN INFLUENCE THE OUTCOME AS IT RELATES TO BENEFICIARIES.

PROBE:

Specific steps in the processing stream
Identification of decision makers throughout
Related specifically to the project under
consideration

Identification of specific actors in the
process, by job not name.

CONTINUE TO STRESS THROUGHOUT THAT YOU ARE INTERESTED IN THIS PROJECT AND PARTICULARLY IN HIS PERCEPTION OF DECISION POINTS THROUGHOUT.

BENEFICIARIES STUDY
INCOME ELIGIBILITY GUIDES

Sample

GUIDE I

Gross Income	Mid-Point	5% Deduction	0 0	1 300	2 600	3 900	4 1200	5 1500	6 1800	7 2100	8 2400	9 2700	10+ 3000
0- 50	24	24	0	0	0	0	0	0	0	0	0	0	0
51- 100	75	71	71	0	0	0	0	0	0	0	0	0	0
101- 150	125	119	119	0	0	0	0	0	0	0	0	0	0
151- 200	175	166	166	0	0	0	0	0	0	0	0	0	0
201- 250	225	214	214	0	0	0	0	0	0	0	0	0	0
251- 300	275	261	261	0	0	0	0	0	0	0	0	0	0
301- 350	325	309	309	0	0	0	0	0	0	0	0	0	0
351- 400	375	356	356	56	0	0	0	0	0	0	0	0	0
401- 450	425	404	404	104	0	0	0	0	0	0	0	0	0
451- 500	475	451	451	151	0	0	0	0	0	0	0	0	0
501- 550	525	499	499	199	0	0	0	0	0	0	0	0	0
551- 600	575	546	546	246	0	0	0	0	0	0	0	0	0
601- 650	625	594	594	294	0	0	0	0	0	0	0	0	0
651- 700	675	641	641	341	41	0	0	0	0	0	0	0	0
701- 750	725	689	689	389	89	0	0	0	0	0	0	0	0
751- 800	775	736	736	436	136	0	0	0	0	0	0	0	0
801- 850	825	784	784	484	184	0	0	0	0	0	0	0	0
851- 900	875	831	831	531	231	0	0	0	0	0	0	0	0
901- 950	925	879	879	579	279	0	0	0	0	0	0	0	0
951- 1000	975	926	926	626	326	26	0	0	0	0	0	0	0
1001- 1050	1025	974	974	674	374	74	0	0	0	0	0	0	0
1051- 1100	1075	1021	1021	741	421	121	0	0	0	0	0	0	0
1101- 1150	1125	1069	1069	769	469	169	0	0	0	0	0	0	0
1151- 1200	1175	1116	1116	816	516	216	0	0	0	0	0	0	0
1201- 1250	1225	1164	1164	864	564	264	0	0	0	0	0	0	0
1251- 1300	1275	1211	1211	911	611	311	11	0	0	0	0	0	0
1301- 1350	1325	1259	1259	959	659	359	59	0	0	0	0	0	0
1351- 1400	1375	1306	1306	1006	706	406	106	0	0	0	0	0	0
1401- 1450	1425	1355	1354	1054	754	454	154	0	0	0	0	0	0
1451- 1500	1475	1401	1401	1101	801	501	301	0	0	0	0	0	0
1501- 1550	1525	1449	1449	1149	849	549	249	0	0	0	0	0	0
1551- 1600	1575	1496	1496	1196	896	596	296	0	0	0	0	0	0
1601- 1650	1625	1544	1544	1244	944	644	344	44	0	0	0	0	0
1651- 1700	1675	1591	1591	1291	991	691	391	91	0	0	0	0	0
1701- 1750	1725	1639	1639	1339	1039	739	439	139	0	0	0	0	0
1751- 1800	1775	1686	1686	1386	1086	786	486	186	0	0	0	0	0
1801- 1850	1825	1734	1734	1434	1134	834	534	234	0	0	0	0	0
1851- 1900	1875	1781	1781	1481	1181	881	581	281	0	0	0	0	0
1901- 1950	1925	1829	1829	1529	1229	929	629	329	29	0	0	0	0

Gross Income	Mid-Point	5% Deduction	0 0	1 300	2 600	3 900	4 1200	5 1500	6 1800	7 2100	8 2400	9 2700	10+ 3000
1951- 2000	1975	1876	1876	1516	1276	976	676	376	76	0	0	0	0
2001- 2050	2025	1924	1924	1624	1324	1024	724	424	124	0	0	0	0
2051- 2100	2075	1971	1971	1671	1371	1071	771	471	171	0	0	0	0
2101- 2150	2125	2019	2019	1719	1419	1119	819	519	219	0	0	0	0
2151- 2200	2175	2066	2066	1766	1466	1166	866	566	266	0	0	0	0
2201- 2250	2225	2114	2114	1814	1514	1214	914	614	314	14	0	0	0
2251- 2300	2275	2161	2161	1861	1561	1261	961	661	361	61	0	0	0
2301- 2350	2325	2209	2209	1909	1609	1309	1009	709	409	109	0	0	0
2351- 2400	2375	2256	2256	1956	1656	1356	1056	756	456	156	0	0	0
2401- 2450	2425	2304	2304	2004	1704	1404	1104	804	504	204	0	0	0
2451- 2500	2475	2351	2351	2051	1751	1451	1151	851	551	251	0	0	0
2501- 2550	2525	2399	2399	2099	1799	1499	1199	899	599	299	0	0	0
2551- 2600	2575	2446	2446	2146	1846	1546	1246	946	646	346	46	0	0
2601- 2650	2625	2494	2494	2194	1894	1594	1294	994	694	394	94	0	0
2651- 2700	2675	2541	2541	2241	1941	1641	1341	1041	741	441	141	0	0
2701- 2750	2725	2589	2589	2289	1989	1689	1389	1089	789	489	189	0	0
2751- 2800	2775	2636	2636	2336	2036	1736	1436	1136	836	536	236	0	0
2801- 2850	2825	2684	2684	2384	2084	1784	1484	1184	884	584	284	0	0
2851- 2900	2875	2731	2731	2431	2131	1831	1531	1231	931	631	331	31	0
2901- 2950	2925	2779	2779	2479	2179	1879	1579	1279	979	679	379	79	0
2951- 3000	2975	2826	2826	2526	2226	1926	1626	1326	1026	726	426	126	0
3001- 3050	3025	2874	2874	2574	2274	1974	1674	1374	1074	774	474	174	0
3051- 3100	3075	2921	2921	2621	2321	2021	1721	1421	1121	821	521	221	0
3101- 3150	3125	2969	2969	2669	2369	2069	1769	1469	1169	869	569	269	0
3151- 3200	3175	3016	3016	2716	2416	2116	1816	1516	1216	916	616	316	16
3201- 3250	3225	3064	3064	2764	2464	2164	1864	1564	1264	964	664	364	64
3251- 3300	3275	3111	3111	2811	2511	2211	1911	1611	1311	1011	711	411	111
3301- 3350	3325	3159	3159	2859	2559	2259	1959	1659	1359	1059	759	459	159
3351- 3400	3375	3206	3206	2906	2606	2306	2006	1706	1406	1106	806	506	206
3401- 3450	3425	3254	3254	2954	2654	2354	2054	1754	1454	1154	854	554	254
3451- 3500	3475	3301	3301	3001	2701	2401	2101	1801	1501	1201	901	601	301
3501- 3550	3525	3349	3349	3049	2749	2449	2149	1849	1549	1249	949	649	349
3551- 3600	3575	3396	3396	3096	2796	2496	2196	1896	1596	1296	996	696	396
3601- 3650	3625	3444	3444	3144	2844	2544	2244	1944	1644	1344	1044	744	344
3651- 3700	3675	3491	3491	3191	2891	2591	2291	1991	1691	1391	1091	791	491
3701- 3750	3725	3539	3539	3239	2939	2639	2339	2039	1739	1439	1139	839	539
3751- 3800	3775	3586	3586	3286	2986	2686	2386	2086	1786	1486	1186	886	586
3801- 3850	3825	2634	3634	3334	3034	2734	2434	2134	1834	1534	1234	934	634
3851- 3900	3875	3681	3681	3381	3081	2781	2481	2181	1881	1581	1381	981	681

Number of Minors in Household

Gross Income	Mid-Point	5% Deduction	Number of Minors in Household										
			0 0	1 300	2 600	3 900	4 1200	5 1500	6 1800	7 2100	8 2400	9 2700	10+ 3000
3901- 3950	3925	3729	3729	3429	3129	2829	2529	2229	1929	1629	1329	1029	729
3951- 4000	3975	3776	3776	3476	3176	2876	2576	2276	1976	1676	1376	1076	776
4001- 4050	4025	3824	3824	3524	3224	2924	2624	2324	2024	1724	1424	1124	824
4051- 4100	4075	3871	3871	3571	3271	2971	2671	2371	2071	1771	1471	1171	871
4101- 4150	4125	3919	3919	3619	3319	3019	2719	2419	2119	1819	1519	1219	919
4151- 4200	4175	3966	3966	3666	3366	3066	2766	2466	2166	1866	1566	1266	966
4201- 4250	4225	4014	4014	3714	3414	3114	2814	2514	2214	1914	1614	1314	1014
4251- 4300	4275	4061	4061	3761	3461	3161	2861	2561	2261	1961	1661	1361	1061
4301- 4350	4325	4109	4109	3809	3509	3209	2909	2609	2309	2009	1709	1409	1109
4351- 4400	4375	4156	4156	3856	3556	3256	2956	2656	2356	2056	1756	1456	1156
4401- 4450	4425	4204	4204	2904	3604	3304	3004	2704	2404	2104	1804	1504	1204
4451- 4500	4475	4251	4251	3951	3651	3351	3051	2751	2451	2151	1851	1551	1251
4501- 4550	4525	4299	4299	3999	3699	3399	3099	2799	2499	2199	1899	1599	1299
4551- 4600	4575	4346	4346	4046	3746	3446	3146	2846	2546	2246	1946	1646	1346
4601- 4650	4625	4394	4394	4094	3794	3494	3194	2894	2594	2294	1994	1694	1394
4651- 4700	4675	4441	4441	4141	3841	3541	3241	2941	2641	2341	2041	1741	1441
4701- 4750	4725	4489	4489	4189	4889	3589	3289	2989	2689	2389	2089	1789	1489
4751- 4800	4775	4536	4536	4236	3936	3636	3336	3036	2736	2436	2136	1836	1536
4801- 4850	4825	4584	4584	4284	3984	3684	3384	3084	2784	2484	2184	1884	1584
4851- 4900	4875	4631	4631	4331	4031	3731	3431	3131	2831	2531	2231	1931	1631
4951- 5000	4975	4726	4726	4426	4126	3826	3526	3226	2926	2626	2326	2026	1726
5001- 5050	5025	4775	4775	4475	4175	3875	3575	3275	2975	2675	2375	2075	1775
5051- 5100	5075	4822	4822	4522	4222	3922	3622	3322	3022	2722	2422	2122	1822
5101- 5150	5125	4870	4870	4570	4270	3970	3670	3370	3070	2770	2470	2170	1870
5151- 5200	5175	4917	4917	4617	4317	4017	3717	3417	3117	2817	2517	2217	1917
5201- 5250	5225	4965	4965	4665	4365	4065	3765	3465	3165	2865	2565	2265	1965
5251- 5300	5275	5012	5012	4712	4412	4112	3812	3512	3212	2912	2612	2312	2012
5301- 5350	5325	5060	5060	4760	4460	4160	3860	3560	3260	2960	2660	2360	2060
5351- 5400	5375	4107	5107	4807	4507	4207	3907	3607	3307	3007	2707	2407	2107
5401- 5450	5425	5155	5155	4855	4555	4255	3955	3655	3355	3055	2755	2455	2155
5451- 5500	5475	5202	5202	4902	4602	4302	4002	3702	3402	3102	2802	2502	2202
5501- 5550	5525	5250	5250	4950	4650	4350	4050	3750	3450	3150	2850	2550	2250
5551- 5600	5575	5297	5297	4997	4697	4397	4097	3797	3497	3197	2897	2597	2297
5601- 5650	5625	5345	5345	5045	4745	4445	4145	3845	3545	3245	2945	2645	2345
5651- 5700	5675	5392	5392	5092	4792	4492	4192	3892	3592	3292	2992	2692	2392
5701- 5750	5725	5440	5440	5140	4840	4540	4240	3940	3640	3340	3040	2740	2440
5751- 5800	5775	5487	5487	4187	4887	4587	4287	3987	3687	3387	3087	2787	2487
5801- 5850	5825	5535	5535	5235	4935	4635	4335	4035	3735	3435	3135	2835	2535
5851- 5900	5875	5582	5582	5282	4982	4682	4382	4082	3782	3482	3182	2882	2582

Gross Income	Mid-Point	5% Deduction	Number of Minors in Household										
			0	1	2	3	4	5	6	7	8	9	10+
			0	300	600	900	1200	1500	1800	2100	2400	2700	3000
5901- 5950	5925	5630	5630	5330	5030	4730	4430	4130	3830	3530	3230	1930	2630
5951- 6000	5975	5677	5677	5377	5077	4777	4477	4177	3877	3577	3277	2977	2677
6001- 6050	6025	5725	5725	5425	5125	4825	4525	4225	3925	3625	3325	3025	2725
6051- 6100	6075	5772	5772	5472	4172	4872	4572	4272	3972	3672	3372	3072	2772
6101- 6150	6125	5820	5820	5520	5220	4920	4620	4320	4020	3720	3420	3120	2820
6151- 6200	6175	5867	5867	5567	5267	4967	4667	4367	4067	3767	3467	3167	2867
6201- 6250	6225	5915	5915	4615	5315	4015	4715	4415	4115	3815	3515	3215	2915
6251- 6300	6275	5962	5962	5662	5362	5062	4762	4462	4162	3862	3562	3262	2962
6301- 6350	6325	6010	6010	5710	5410	5110	4810	4510	4210	3910	3610	3310	3010
6351- 6400	6375	6057	6057	5757	5457	5157	4857	4557	4257	3957	3657	3357	3057
6401- 6450	6425	6103	6103	5803	5503	5203	4903	4603	4303	4003	3703	3403	3103
6451- 6500	6475	6151	6151	5851	5551	5251	4951	4651	4351	4051	3751	3451	3151
6501- 6550	6525	6199	6199	5899	5599	5299	4999	4699	4399	4099	3799	3499	3199
6551- 6600	6575	6246	6246	5946	5646	5346	5046	4746	4446	4146	3846	3546	3246
6601- 6650	6625	6294	6294	5994	5694	5394	5094	4794	4494	4194	3894	3594	3394
6651- 6700	6675	6341	6341	6041	5741	5441	5141	4841	4541	4241	3941	3641	3341
6701- 6750	6725	6389	6389	6089	5789	5489	5189	4889	4589	4289	3989	3689	3389
6751- 6800	6775	6436	6436	6136	5836	5536	5236	4936	4636	4336	4036	3736	3436
6801- 6850	6825	6484	6484	6184	5884	5584	5284	4984	4684	4384	4084	3784	3484
6851- 6900	6875	6531	6531	6231	5931	5631	5331	5031	4731	4431	4131	3831	3531
6901- 6950	6925	6579	6579	6279	5979	5679	5379	5079	4779	4479	4179	3879	3579
6951- 7000	6975	6626	6626	6326	6026	5726	5426	5126	4826	4526	4226	3926	3626
7001- 7050	7025	6674	6674	6374	6074	5774	5474	5174	4874	4574	4274	3974	3674
7051- 7100	7075	6721	6721	6421	6121	4821	5521	5221	4921	4621	4321	4021	3721
7101- 7150	7125	6769	6769	6469	6169	4869	5569	5269	4969	4669	4369	4069	3769
7151- 7200	7175	6816	6816	6516	6216	5916	5616	5316	5016	4716	4416	4116	3816
7201- 7250	7225	6864	6864	6564	6264	5964	5664	5364	5064	4764	4464	4164	3864
7251- 7300	7275	6911	6911	6611	6311	6011	5711	5411	5111	4811	4511	4211	3911
7301- 7350	7325	6959	6959	6659	6350	6059	5759	5459	5159	4859	4559	4259	3959
7351- 7400	7375	7006	7006	6706	6406	6106	5806	5506	5206	4906	4606	4306	4006
7401- 7450	7425	7054	7054	6754	6454	6154	5854	5554	5254	4954	4654	4354	4054
7451- 7500	7475	7101	7101	6801	6501	6201	5901	5601	5301	5001	4701	4401	4101
7501- 7550	7525	7149	7149	6849	6549	6249	5949	5649	5349	5049	4749	4449	4149
7551- 7600	7375	7196	7196	6896	6596	6296	5996	5696	5396	5096	4796	4496	4196
7601- 7650	7625	7244	7244	6944	6644	6344	6044	5744	5444	5144	4844	4544	4244
7651- 7700	7675	7291	7291	6991	6691	6391	6091	5791	5491	5191	4891	4591	4291
7701- 7750	7725	7339	7339	7039	6739	6439	6139	5839	5539	5239	4939	4639	4339
7751- 7800	7775	7386	7386	7086	6786	6486	6186	5886	5586	5286	4986	4686	4386
7801- 7850	7825	7434	7434	7134	6834	6534	6234	5934	5634	5334	5034	4734	4434

Number of Minors in Household

Gross Income	Mid-Point	5% Deduction	Number of Minors in Household										
			0 0	1 300	2 600	3 900	4 1200	5 1500	6 1800	7 2100	8 2400	9 2700	10+ 3000
7851- 7900	7875	7481	7481	7181	6881	6581	6281	5981	5681	5381	5081	4781	4481
7901- 7950	7925	7529	7529	5229	6929	6629	5329	6029	5729	5429	5129	4829	4529
7951- 8000	7975	7576	7576	7276	6976	6676	6376	6076	5776	5476	5176	4876	4576
8001- 8050	8025	7624	7624	7324	7024	6724	6424	6124	5824	5524	5224	4924	4624
8051- 8100	8075	7671	7671	7371	7071	6771	6471	6171	5871	5571	5271	4971	4671
8101- 8150	8125	7719	7719	7419	7119	6819	6519	6219	5919	5619	5319	5019	4719
8151- 8200	8175	7766	7766	7466	7166	6866	6566	6266	5966	5666	5366	5066	4766
8201- 8250	8225	7814	7814	7514	7214	6914	6614	6314	6014	5714	5414	5114	4814
8251- 8300	8275	7861	7861	7561	7261	6961	6661	6361	6061	5761	5461	5161	4861
8301- 8350	8325	7909	7909	6709	7309	7009	6709	6409	6109	5809	5509	5209	4909
8351- 8400	8375	7956	7956	7656	6356	7056	6756	6456	6156	5856	5556	5256	4956
8401- 8450	8425	8003	8003	7703	7403	7103	6803	6503	6203	5903	5603	5303	5003
8451- 8500	8475	8051	8051	7751	7451	7151	6851	6551	6251	5951	5651	5351	5051
8501- 8550	8525	8099	8099	7799	7499	7199	6899	6599	6299	5999	5699	5399	5099
8551- 8600	8575	8146	8146	7846	7546	5246	6846	6646	5346	6046	5746	5446	5146
8601- 8650	8625	8194	8194	7894	7594	7294	6994	6694	6394	6094	5794	5494	5194
8651- 8700	8675	6241	6241	7941	7641	7341	7041	6741	6441	6141	5841	5541	5241
8701- 8750	8725	8289	8289	7989	6789	7389	7089	6789	6489	6189	5889	5589	5289
8751- 8800	8775	8336	8336	8036	7736	7436	7136	6836	6536	6236	5936	5636	5336
8801- 8850	8825	8384	8384	8084	7784	7484	7184	6884	6584	6284	5984	5684	5384
8851- 8900	8875	8431	8431	8131	7831	7531	7231	6931	6631	6331	6031	5731	5431
8901- 8950	8925	8479	8479	8179	7879	7579	7279	6979	6679	6379	6079	5779	5479
8951- 9000	8975	8526	8526	8226	7926	7626	7326	7026	6726	6426	6126	5826	5526
9001- 9050	9025	8574	8574	8274	7974	7674	7374	7074	6774	6474	6174	5874	5574
9051- 9100	9075	8621	8621	8321	8021	7721	7421	7121	6821	6521	6221	5921	5621
9101- 9150	9126	8669	8669	8369	8069	7769	7469	7169	6869	6569	6269	5969	5669
9151- 9200	9175	8716	8716	8416	8116	7816	7516	7216	6916	6616	6316	6016	5716
9201- 9250	9225	8763	8763	8463	8163	7863	7563	7263	6963	6663	6363	6063	5763
9251- 9300	9275	8811	8811	8511	8211	7911	7611	7311	7011	6711	6411	6111	5811
9301- 9350	9375	8859	8859	8559	8259	7959	7659	7359	7059	6759	6459	6159	5859
9351- 9400	9375	8906	8906	8606	8306	8006	7706	7406	7106	6806	6506	6206	5906
9401- 9450	9425	8954	8954	8654	8354	8054	7754	7454	7154	6854	6554	6254	5954
9451- 9500	9475	9001	9001	8701	8401	8101	7801	7501	7201	6901	6601	6301	6001
9501- 9550	9525	9049	9049	8749	8449	8149	7849	7549	7249	6949	6649	6349	6049
9551- 9600	9575	9096	9096	8796	8496	8196	7896	7596	7296	6996	6696	6396	6096
9601- 9650	9625	9144	9144	8844	8534	8244	7944	7644	7344	7044	6744	6444	6144
9651- 9700	9675	9191	9191	8891	8591	8291	7991	7691	7391	7091	6791	6491	6191
9701- 9750	9725	9239	9239	8939	8639	8339	8039	7739	7439	7139	6839	6539	6239
9751- 9800	9775	9286	9286	8986	8686	8386	8086	7786	7486	7186	6886	6586	6286

Gross Income	Mid-Point	5% Deduction	0 0	1 300	2 600	3 900	4 1200	5 1500	6 1800	7 2100	8 2400	9 2700	10+ 3000
9801- 9850	9825	9334	9334	9034	8734	8434	8134	7834	7534	7234	6934	6634	6334
9851- 9900	9875	9381	9381	9081	8781	8481	8181	7881	7581	7281	6981	6681	6381
9901- 9950	9925	9429	9429	9129	8829	8529	8229	7929	7629	7329	7029	6729	6429
9951-10000	9975	9476	9476	9176	8876	8576	8276	7976	7676	7376	7076	6776	6476
10001-10050	10025	9524	9524	9224	8924	8624	8324	8024	7724	7424	7124	6824	6524
10051-10100	10075	9571	9571	9271	8971	8671	8371	8071	7771	7471	7171	6871	6571
10101-10150	10125	9619	9619	9319	9019	8719	8419	8119	7819	7519	7219	6919	6619
10151-10200	10175	9666	9666	9366	9066	8766	8466	8166	7866	7566	7266	6966	6666
10201-10250	10225	9714	9714	9414	9114	8814	8514	8214	7914	7614	7314	7014	6714
10251-10300	10275	9761	9761	9461	9161	8861	8561	8261	7961	7661	7361	7061	6761
10301-10350	10325	9809	9809	9509	9209	8909	8609	8309	8009	7709	7409	7109	6809
10351-10400	10375	9856	9856	9556	9256	8956	8656	8356	8056	7756	7456	7156	6856
10401-10450	10425	9904	9904	9604	9304	9004	8704	8404	8104	7804	7504	7204	6904
10451-10500	10475	9951	9951	9651	9351	9051	8751	8451	8151	7851	7551	7251	6951
10501-10550	10525	10000	10000	9700	9400	9100	8800	8500	8200	7900	7600	7300	7000
10551-10600	10575	10046	10046	9746	9446	9146	8846	8546	8246	7946	7646	7346	7046
10601-10650	10625	10093	10093	9793	9493	9193	8893	8593	8293	7993	7693	7393	7093
10651-10700	10675	10141	10141	9841	9541	9241	8941	8641	8341	8041	7741	7441	7141
10701-10750	10725	10189	10189	9889	9589	9289	8989	8689	8389	8089	7789	7489	7189
10751-10800	10775	10236	10236	9936	9636	9336	9036	8736	8436	8136	7836	7536	7236
10801-10850	10825	10284	10284	9984	9684	9384	9084	8784	8484	8184	7884	7584	7284
10851-10900	10875	10331	10331	10031	9731	9431	9131	8831	8531	8231	7931	7631	7331
10901-10950	10925	10379	10379	10079	9779	9479	9179	8879	8579	8279	7979	7679	7379
10951-11000	10975	10426	10426	10126	9826	9526	9226	8926	8626	8326	8026	7726	7426
11001-11050	11025	10474	10474	10174	9874	9574	9274	8974	8674	8374	8074	7774	7474
11051-11100	11075	10521	10521	10221	9921	9621	9321	9021	8721	8421	8121	7821	7521
11101-11150	11125	10569	10569	10269	9969	9669	9369	9069	8769	8469	8169	7869	7569
11151-11200	11175	10616	10616	10316	10016	9716	9416	9116	8816	8516	8216	7916	7616
11201-11250	11225	10664	10664	10364	10064	9764	9464	9164	8864	8564	8264	7964	7664
11251-11300	11275	10711	10711	10411	10111	9811	9511	9211	8911	8611	8311	8011	7711
11301-11350	11325	10759	10759	10459	10159	9859	9559	9259	8959	8659	8359	8059	7759
11351-11400	11375	10806	10806	10506	10206	9906	9606	9306	9006	8706	8406	8106	7806
11401-11450	11425	10854	10854	10554	10254	9954	9654	9354	9054	8754	8454	8154	7854
11451-11500	11475	10901	10901	10601	10301	10001	9701	9401	9101	8801	8501	8201	7901
11501-11550	11525	11949	10949	10649	10349	10049	9749	9449	9149	8849	8549	8249	7949
11551-11600	11575	10996	10996	10696	10396	10096	9796	9496	9196	8896	8596	8296	7996
11601-11650	11625	11047	11047	10747	10447	10147	9847	9547	9247	8947	8647	8347	8047
11651-11700	11675	11091	11091	10791	10491	10191	9891	9591	9291	8991	8691	8391	8091
11701-11750	11725	11139	11139	10839	10539	10239	9939	9639	9339	9039	8739	8439	8139

Table of Incomes

Number of Minors in Household

Gross Income	Mid-Point	5% Deduction	Number of Minors in Household										
			0	1	2	3	4	5	6	7	8	9	10+
			0	300	600	900	1200	1500	1800	2100	2400	2700	3000
11751-11800	11775	11186	11186	10886	10586	10286	9986	9686	9386	9086	8786	8486	8186
11801-11850	11825	11234	11234	10934	10634	10334	10034	9734	9434	9134	8834	8534	8234
11851-11900	11875	11281	11281	10981	10681	10381	10081	9781	9481	9181	8881	8581	8281
11901-11950	11925	11329	11329	11029	10729	10429	10129	9829	9529	9229	8929	8629	8329
11951-12000	11975	11376	11376	11076	10776	10476	10176	9876	9576	9276	8976	8676	8376
12001-12050	12025	11424	11424	11124	10824	10524	10224	9924	9624	9324	9024	8724	8424
12051-12100	12075	11471	11471	11171	10871	10571	10271	9971	9671	9371	9071	8771	8471
12101-12150	12125	11519	11519	11219	10919	10619	10319	10019	9719	9419	9119	8819	8519
12151-12200	12175	11566	11566	11266	10966	10666	10366	10066	9766	9466	9166	8866	8566
12201-12250	12225	11614	11614	11314	11014	10714	10414	10114	9814	9514	9214	8914	8614
12251-12300	12275	11661	11661	11361	11061	10761	10461	10161	9861	9561	9261	8961	8661
12301-12350	12325	11709	11709	11409	11109	10809	10509	10209	9909	9609	9309	9009	8709
12351-12400	12375	11756	11756	11456	11156	10856	10556	10256	9956	9656	9356	9056	8756
12401-12450	12425	11804	11804	11504	11204	10904	10604	10304	10004	9704	9404	9104	8804
12451-12500	12475	11851	11851	11551	11251	10951	10651	10351	10051	9751	9451	9151	8851
12501-12550	12525	11899	11899	11599	11299	10999	10699	10399	10099	9799	9499	9199	8899
12551-12600	12575	11946	11946	11646	11346	11046	10746	10446	10146	9846	9546	9246	8946
12601-12650	12625	11994	11994	11694	11394	11094	10794	10494	10194	9894	9594	9294	8994
12651-12700	12675	12041	12041	11741	11441	11141	10841	10541	10241	9941	9641	9341	9041
12701-12750	12725	12089	12089	11789	11489	11189	10889	10589	10289	9989	9689	9389	9089
12751-12800	12775	12136	12136	11836	11536	11236	10936	10636	10336	10036	9736	9436	9136
12801-12850	12825	12184	12184	11884	11584	11284	10984	10684	10384	10084	9784	9484	9184
12851-12900	12875	12231	12231	11931	11631	11331	11031	10731	10431	10131	9831	9531	9231
12901-12950	12925	12279	12279	11979	11679	11379	11079	10779	10479	10179	9879	9579	9279
12951-13000	12975	12326	12326	12026	11726	11426	11126	10826	10526	10226	9926	9626	9326
13001-13050	13025	12374	12374	12074	11774	11474	11174	10874	10574	10274	9974	9674	9374
13051-13100	13075	12421	12421	12121	11821	11521	11221	10921	10621	10321	10021	9721	9421
13101-13150	13125	12469	12469	12169	11869	11569	11269	10969	10669	10369	10069	9769	9469
13151-13200	13175	12516	12516	12216	11916	11616	11316	11016	10716	10416	10116	9816	9516
13201-13250	13225	12564	12564	12264	11964	11664	11364	11064	10764	10464	10164	9864	9564
13251-13300	13275	12611	12611	12311	12011	11711	11411	11111	10811	10511	10211	9911	9611
13301-13350	13325	12659	12659	12359	12059	11759	11459	11159	10859	10559	10259	9959	9659
13351-13400	13375	12706	12706	12406	12106	11806	11506	11206	10906	10606	10306	10006	9706
13401-13450	13425	12754	12754	12454	12154	11854	11554	11254	10954	10654	10354	10054	9754
13451-13500	13475	12801	12801	12501	12201	11901	11601	11301	11101	10701	10401	10101	9801
13501-13550	13525	12849	12849	12549	12249	11949	11649	11349	11049	10749	10449	10149	9849
13551-13600	13575	12896	12896	12596	12296	11996	11696	11396	11096	10796	10496	10196	9896
13601-13650	13625	12944	12944	12644	12344	12044	11744	11444	11144	10844	10544	10244	9944
13651-13700	13675	12991	12991	12691	12391	12091	11791	11491	11191	10891	10591	10291	991

GUIDE II

ARIZONA

INTERVIEW GUIDE I ELIGIBILITY CHART

Maricopa COUNTY, Arizona

Phoenix, Arizona SMSA

NUMBER OF PERSONS IN HOUSEHOLD	1	2	3	4	5	6	7	8	9	10
MODERATE SUBSIDY INCOME LIMITS (MODERATE INCOME ELIGIBLE)	5400	5940	6480	6885	7290	7695	8100	8505	8775	9045
DEEP SUBSIDY INCOME LIMITS (LOW INCOME ELIGIBLE)	4000	4400	4800	5100	5400	5700	6000	6300	6500	6700

INTERVIEW GUIDE I ELIGIBILITY CHART

Pima COUNTY, Arizona

Tucson, Arizona SMSA

NUMBER OF PERSONS IN HOUSEHOLD	1	2	3	4	5	6	7	8	9	10+
MODERATE SUBSIDY INCOME LIMITS (MODERATE INCOME ELIGIBLE)	5400	5940	6345	6750	7155	7560	7965	8370	8775	9180
DEEP SUBSIDY INCOME LIMITS (LOW INCOME ELIGIBLE)	4000	4400	4700	5000	5300	5600	5900	6200	6500	6800

GUIDE II
CALIFORNIA

INTERVIEW GUIDE II ELIGIBILITY CHART

Alameda COUNTY, California

San Francisco - Oakland, California SMSA

NUMBER OF PERSONS IN HOUSEHOLD	1	2	3	4	5	6	7	8	9	10+
MODERATE SUBSIDY INCOME LIMITS (MODERATE INCOME ELIGIBLE)	5805	6615	7155	7695	8235	8775	9180	9585	9855	10,125
DEEP SUBSIDY INCOME LIMITS (LOW INCOME ELIGIBLE)	4300	4900	5300	5700	6100	6500	6800	7100	7300	7500

INTERVIEW GUIDE I (ELIGIBILITY CHART

Contra Costa COUNTY, California

San Francisco - Oakland, California SMSA

NUMBER OF PERSONS IN HOUSEHOLD	1	2	3	4	5	6	7	8	9	10+
MODERATE SUBSIDY INCOME LIMITS (MODERATE INCOME ELIGIBLE)	5805	6615	7155	7695	8235	8775	9180	9585	9855	10,125
DEEP SUBSIDY INCOME LIMITS (LOW INCOME ELIGIBLE)	4300	4900	5300	5700	6100	6500	6800	7100	7300	7500

INTERVIEW GUIDE I ELIGIBILITY CHART

Fresno COUNTY,

Fresno, California SMSA

NUMBER OF PERSONS IN HOUSEHOLD	1	2	3	4	5	6	7	8	9	10+
MODERATE SUBSIDY INCOME LIMITS (MODERATE INCOME ELIGIBLE)	5265	5805	6345	6885	7425	7965	8370	8775	9045	9315
DEEP SUBSIDY INCOME LIMITS (LOW INCOME ELIGIBLE)	3900	4300	4700	5100	5500	5900	6200	6500	6700	6900

INTERVIEW GUIDE IN ELIGIBILITY CHART

Kern COUNTY, California
 Bakersfield, California SMSA

NUMBER OF PERSONS IN HOUSEHOLD	1	2	3	4	5	6	7	8	9	10+
MODERATE SUBSIDY INCOME LIMITS (MODERATE INCOME ELIGIBLE)	4725	6210	6750	7155	7695	8100	8640	9045	9450	9855
DEEP SUBSIDY INCOME LIMITS (LOW INCOME ELIGIBLE)	3500	4600	5000	5300	5700	6000	6400	6700	7000	7300

INTERVIEW GUIDE IN ELIGIBILITY

Los Angeles COU

Los Angeles - Long Beach

via SMSA

NUMBER OF PERSONS IN HOUSEHOLD	1	2	3	4	5
MODERATE SUBSIDY INCOME LIMITS (MODERATE INCOME ELIGIBLE)	5805	6480	7155	7695	8235
DEEP SUBSIDY INCOME LIMITS (LOW INCOME ELIGIBLE)	4300	4800	5300	5700	6100

7	8	9	10+
9180	9585	9990	10,260
6800	7100	7400	7600

INTERVIEW GUIDE & ELIGIBILITY CHART

Marin COUNTY, California

San Francisco - Oakland, California SMSA

NUMBER OF PERSONS IN HOUSEHOLD	1	2	3	4	5	6	7	8	9	10+
MODERATE SUBSIDY INCOME LIMITS (MODERATE INCOME ELIGIBLE)	6075	6615	7155	7695	8235	8775	9180	9585	9855	10,125
DEEP SUBSIDY INCOME LIMITS (LOW INCOME ELIGIBLE)	4500	4900	5300	5700	6100	6500	6800	7100	7300	7500

INTERVIEW GUIDE 1. ELIGIBILITY CHART

Monterey COUNTY, California

Salinas - Seaside - Monterey, California SMSA

NUMBER OF PERSONS IN HOUSEHOLD	1	2	3	4	5	6	7	8	9	10+
MODERATE SUBSIDY INCOME LIMITS (MODERATE INCOME ELIGIBLE)	5400	5940	6750	7155	7560	7965	8370	8775	9180	9585
DEEP SUBSIDY INCOME LIMITS (LOW INCOME ELIGIBLE)	4000	4400	5000	5300	5600	5900	6200	6500	6800	7100

INTERVIEW GUIDE & ELIGIBILITY CHART

Napa COUNTY,

Vallejo - Fairfield - Napa, California SMSA

NUMBER OF PERSONS IN HOUSEHOLD	1	2	3	4	5	6	7	8	9	10+
MODERATE SUBSIDY INCOME LIMITS (MODERATE INCOME ELIGIBLE)	5535	6075	7020	7695	8235	8775	9315	9720	9990	10,260
DEEP SUBSIDY INCOME LIMITS (LOW INCOME ELIGIBLE)	4100	4500	4900	5300	5700	6100	6400	6700	6900	7100

INTERVIEW GUIDE 1. ELIGIBILITY CHART

ORANGE COUNTY, CALIFORNIA

Anaheim - Santa Ana - Garden Grove, Calif. SMSA

NUMBER OF PERSONS IN HOUSEHOLD	1	2	3	4	5	6	7	8	9	10+
MODERATE SUBSIDY INCOME LIMITS (MODERATE INCOME ELIGIBLE)	5940	6480	7020	7560	8100	8640	9045	9450	9720	9990
DEEP SUBSIDY INCOME LIMITS (LOW INCOME ELIGIBLE)	4400	4800	5200	5600	6000	6400	6700	7000	7200	7400

INTERVIEW GUIDE () ELIGIBILITY CHART ()

Placer _____ COUNTY,

Sacramento, California SMSA

NUMBER OF PERSONS IN HOUSEHOLD	1	2	3	4	5	6	7	8	9	10+
MODERATE SUBSIDY INCOME LIMITS (MODERATE INCOME ELIGIBLE)	5535	6075	6615	7155	7695	8235	8640	9045	9315	9585
DEEP SUBSIDY INCOME LIMITS (LOW INCOME ELIGIBLE)	4100	4500	4900	5300	5700	6100	6400	6700	6900	7100

INTERVIEW GUIDE . . . ELIGIBILITY CHART

Riverside COUNTY, California

Riverside - San Bernardino - Ontario, California SMSA

NUMBER OF PERSONS IN HOUSEHOLD	1	2	3	4	5	6	7	8	9	10+
MODERATE SUBSIDY INCOME LIMITS (MODERATE INCOME ELIGIBLE)	5130	5805	6345	6750	7155	7560	7830	8235	8505	8775
DEEP SUBSIDY INCOME LIMITS (LOW INCOME ELIGIBLE)	3800	4300	4700	5000	5300	5600	5800	6100	6300	6500

INTERVIEW GUIDE II ELIGIBILITY CHART

Sacramento COUNTY,

Sacramento, California, SMSA

NUMBER OF PERSONS IN HOUSEHOLD	1	2	3	4	5	6	7	8	9	10+
MODERATE SUBSIDY INCOME LIMITS (MODERATE INCOME ELIGIBLE)	5535	6075	6885	7425	7965	8505	8910	9315	9585	9855
DEEP SUBSIDY INCOME LIMITS (LOW INCOME ELIGIBLE)	4100	4500	5100	5500	5900	6300	6600	6900	7100	7300

INTERVIEW GUIDE II ELIGIBILITY CHART

San Bernardino COUNTY, California

Riverside - San Bernardino - Ontario, California SMSA

NUMBER OF PERSONS IN HOUSEHOLD	1	2	3	4	5	6	7	8	9	10+
MODERATE SUBSIDY INCOME LIMITS (MODERATE INCOME ELIGIBLE)	4995	6075	7020	7560	8100	8640	9045	9450	9720	9990
DEEP SUBSIDY INCOME LIMITS (LOW INCOME ELIGIBLE)	3800	4300	4700	5000	5300	5600	5800	6100	6300	6500

INTERVIEW GUIDE II ELIGIBILITY CHART

San Francisco COUNTY,

San Francisco, -Oakland, California SMSA

NUMBER OF PERSONS IN HOUSEHOLD	1	2	3	4	5	6	7	8	9	10+
MODERATE SUBSIDY INCOME LIMITS (MODERATE INCOME ELIGIBLE)	5400	6480	7355	7695	8235	8775	9315	9855	9855	9855
DEEP SUBSIDY INCOME LIMITS (LOW INCOME ELIGIBLE)	4000	4800	5300	5700	6100	6500	6900	7300	7300	7300

INTERVIEW GUIDE I ELIGIBILITY CHART

San Diego COUNTY, California

San Diego, California SMSA

NUMBER OF PERSONS IN HOUSEHOLD	1	2	3	4	5	6	7	8	9	10+
MODERATE SUBSIDY INCOME LIMITS (MODERATE INCOME ELIGIBLE)	5805	6345	6885	7425	7965	8505	8910	9315	9585	9855
DEEP SUBSIDY INCOME LIMITS (LOW INCOME ELIGIBLE)	4300	4700	5100	5500	5900	6300	6600	6900	7100	7300

INTERVIEW GUIDE II ELIGIBILITY CHART

San Joaquin COUNTY,

Stockton, California SMSA

NUMBER OF PERSONS IN HOUSEHOLD	1	2	3	4	5	6	7	8	9	10+
MODERATE SUBSIDY INCOME LIMITS (MODERATE INCOME ELIGIBLE)	5400	5940	6480	7020	7560	8100	8505	8910	9180	9450
DEEP SUBSIDY INCOME LIMITS (LOW INCOME ELIGIBLE)	4000	4400	4800	5200	5600	6000	6300	6600	6800	7000

INTERVIEW GUIDE II ELIGIBILITY CHART

San Mateo COUNTY,

San Francisco - Oakland, California SMSA

NUMBER OF PERSONS IN HOUSEHOLD	1	2	3	4	5	6	7	8	9	10+
MODERATE SUBSIDY INCOME LIMITS (MODERATE INCOME ELIGIBLE)	6480	7020	7560	8100	8640	9180	9585	9990	10,260	10,530
DEEP SUBSIDY INCOME LIMITS (LOW INCOME ELIGIBLE)	4800	5200	5600	6000	6400	6800	7100	7400	7600	7800

INTERVIEW GUIDE II (ELIGIBILITY CHART

Santa Barbara COUNTY,

Santa Barbara, California SMSA

NUMBER OF PERSONS IN HOUSEHOLD	1	2	3	4	5	6	7	8	9	10+
MODERATE SUBSIDY INCOME LIMITS (MODERATE INCOME ELIGIBLE)	5670	6210	6750	7290	7830	8370	8775	9180	9450	9720
DEEP SUBSIDY INCOME LIMITS (LOW INCOME ELIGIBLE)	4200	4600	5000	5400	5800	6200	6500	6800	7000	7200

INTERVIEW GUIDE II ELIGIBILITY CHART

Santa Clara COUNTY,

San Jose, California SMSA

NUMBER OF PERSONS IN HOUSEHOLD	1	2	3	4	5	6	7	8	9	10+
MODERATE SUBSIDY INCOME LIMITS (MODERATE INCOME ELIGIBLE)	5065	5740	6415	7090	7765	8440	9115	9790	9790	9790
DEEP SUBSIDY INCOME LIMITS (LOW INCOME ELIGIBLE)	3752	4252	4752	5252	5752	6252	6752	7252	7252	7252

INTERVIEW GUIDE II ELIGIBILITY CHART

Solano COUNTY,

Vallejo - Fairfield - Napa, California SMSA

NUMBER OF PERSONS IN HOUSEHOLD	1	2	3	4	5	6	7	8	9	10+
MODERATE SUBSIDY INCOME LIMITS (MODERATE INCOME ELIGIBLE)	5130	5670	6615	7155	7695	8235	8775	9045	9315	9585
DEEP SUBSIDY INCOME LIMITS (LOW INCOME ELIGIBLE)	3800	4200	4900	5300	5700	6100	6500	6700	6900	7100

INTERVIEW GUIDE II ELIGIBILITY CHART

Sonoma COUNTY, California

Santa Rosa, California SMSA

NUMBER OF PERSONS IN HOUSEHOLD	1	2	3	4	5	6	7	8	9	10+
MODERATE SUBSIDY INCOME LIMITS (MODERATE INCOME ELIGIBLE)	5400	5940	6480	7020	7560	8100	8505	8910	9180	9450
DEEP SUBSIDY INCOME LIMITS (LOW INCOME ELIGIBLE)	4000	4400	4800	5200	5600	6000	6300	6600	6800	7000

INTERVIEW GUIDE II ELIGIBILITY CHART

Stanislaus COUNTY, California

Modesto, California SMSA

NUMBER OF PERSONS IN HOUSEHOLD	1	2	3	4	5	6	7	8	9	10+
MODERATE SUBSIDY INCOME LIMITS (MODERATE INCOME ELIGIBLE)	5400	5940	6480	7020	7560	8100	8505	8910	9180	9450
DEEP SUBSIDY INCOME LIMITS (LOW INCOME ELIGIBLE)	4000	4400	4800	5200	5600	6000	6300	6600	6800	7000

INTERVIEW GUIDE II ELIGIBILITY CHART

Ventura COUNTY,

Oxnard, Simi Valley - Ventura, California SMSA

NUMBER OF PERSONS IN HOUSEHOLD	1	2	3	4	5	6	7	8	9	10+
MODERATE SUBSIDY INCOME LIMITS (MODERATE INCOME ELIGIBLE)	5670	6210	6750	7240	7830	8235	8640	9045	9315	9585
DEEP SUBSIDY INCOME LIMITS (LOW INCOME ELIGIBLE)	4200	4600	5000	5363	5800	6100	6400	6700	6900	7100

INTERVIEW GUIDE II ELIGIBILITY CHART

Yolo COUNTY,

Sacramento, California SMSA

NUMBER OF PERSONS IN HOUSEHOLD	1	2	3	4	5	6	7	8	9	10+
MODERATE SUBSIDY INCOME LIMITS (MODERATE INCOME ELIGIBLE)	5265	5805	6345	6885	7425	7965	8370	8775	9045	9315
DEEP SUBSIDY INCOME LIMITS (LOW INCOME ELIGIBLE)	3900	4300	4700	5100	5500	5900	6200	6500	6700	6900

GUIDE II

NEVADA

INTERVIEW GUIDE II ELIGIBILITY CHART

Clark COUNTY, Nevada

Las Vegas, Nevada SMSA

NUMBER OF PERSONS IN HOUSEHOLD	1	2	3	4	5	6	7	8	9	10+
MODERATE SUBSIDY INCOME LIMITS (MODERATE INCOME ELIGIBLE)	6750	7425	8100	8775	9315	9855	10,260	10,665	10,935	11,205
DEEP SUBSIDY INCOME LIMITS (LOW INCOME ELIGIBLE)	5000	5500	6000	6500	6900	7300	7600	7900	8100	8300

INTERVIEW GUIDE II ELIGIBILITY CHART

Washoe COUNTY, Nevada

Reno, Nevada, SMSA

NUMBER OF PERSONS IN HOUSEHOLD	1	2	3	4	5	6	7	8	9	10+
MODERATE SUBSIDY INCOME LIMITS (MODERATE INCOME ELIGIBLE)	5400	5940	6750	7155	7560	7965	8370	8640	8910	8910
DEEP SUBSIDY INCOME LIMITS (LOW INCOME ELIGIBLE)	4000	4400	5000	5300	5600	5900	6200	6400	6600	6600

BENEFICIARIES STUDY

INTERMEDIARIES -- MULTIFAMILY

This questionnaire is designed to determine overall attitudes of 235 sales brokers and developers, and 236 management agents and sponsors toward their clients -- eligible beneficiaries and non-beneficiaries of subsidized programs. It is not designed to accuse or indict individuals who might contribute to a process of including "more desirable" beneficiaries and excluding those seen as "less desirable." What we are looking for are patterns -- specific instances of exclusion or discrimination should be duly noted within the context of the questionnaire, without the interviewer arguing with the respondent.

Remember that you are dealing with issues of great sensitivity to the respondent -- his livelihood depends on the success of his rental or sales program, and you may be asking him questions which could be extremely threatening. Put him at ease by assuring him that no identification of the interview will be made -- that although you are an employee of the Department of Housing and Urban Development you are from an office which does no business in the area in which the project is located, and that you will not communicate any of his comments to any HUD Office.

Further, let him know that you are part of a National Task Force very much interested in all aspects of the subsidized housing program and interested in knowing about the problems he faces and his attitudes toward these programs. Indicate your interest in finding out the ways in which his experience could contribute to improving the programs and making his job easier. Stress that developments have been chosen randomly by computer throughout the entire Region, and that the projects were chosen using the same scientific marketing analyses techniques by professional statisticians and will be processed by computer programs designed by these statisticians and computer analysts, without projects being identified.

Do not argue with him about his point of view -- and do not allow your own to enter into the interview. At all times appear sympathetic to his problems without agreeing or disagreeing with his attitudes; make it clear that you understand "where he is coming from."

The hypotheses on which these interviews are based are on the _____ paper. These are for your information only to set the context for you. At no time discuss these hypotheses with the respondent. All respondents will be contacted by mail and telephone in advance. The letter spells out the logistics under which the interview is to be conducted.

Reinforce the idea of anonymity and go directly into the questionnaire, unless he has questions. Stay neutral and discuss the importance of the study! Reinforce the notion that his experience and attitudes are your foremost concern and indicate that what he says is of such importance as to warrant being taken down verbatim. Assure him that the data from his questionnaire

will be thrown into one file and converted into holes on punch cards by someone else, after all of the interviews are completed so that no possibility of his being identified as the interviewee exists.

The instruction on the questionnaire describes the patterns of probes to be followed for each question. What is intended is for you to ask the overall question or series of questions utilizing the probes to elicit further amplified responses. Write verbatim the respondent's answers to the probe you used, recording the full dialogue. Do not hesitate to ask him to repeat or restate his response if it is unclear, and don't hesitate to ask him to "slow down." If he answers a question in advance of your asking it, write his response down and when you get to the question mention that although he alluded to the subject before, you wish to ask it again so that there is no doubt about what he meant by his earlier answer.

Finally, any comments he makes, even though not directly responsive to a particular question or probe may be important. Note these comments indicating that they were unsolicited. Use the back of pages if you need to, noting the question you are taking down.

Create a climate of understanding with the interviewee and at the end offer him the opportunity of making a general, overall statement. Continue throughout, if necessary, to assure that his responses are confidential and cannot be attributed to him.

TO BEGIN WITH I WOULD LIKE TO HAVE YOUR GENERAL
VIEWS ON THE 235, 236 (MULTIFAMILY) HOUSING PROGRAMS.

Question No. 1: WHAT DO YOU THINK ABOUT THE MULTIFAMILY HOUSING PROGRAMS
IN TERMS OF HELPING PEOPLE IMPROVE THEIR LIVING CONDITION.

- A. *General Probe*
- B. GIVEN THE NATURE OF YOUR CLIENTS, DOES THIS MULTIFAMILY
HOUSING PROGRAM APPEAR TO IMPROVE THEIR LIVING CONDITION?
- C. DO YOU THINK THAT THE PEOPLE YOUR PROGRAM SERVES ARE
FULLY ABLE TO TAKE ADVANTAGE OF THE HOUSING OPPORTUNITY
TO IMPROVE THEIR LIVING CONDITION.

Question No. 2: DO YOUR CLIENTS HAVE PROBLEMS WHICH REQUIRE SPECIAL
MANAGEMENT ATTENTION?

- A. *General Probe*
- B. IN ADDITION TO THE PROBLEMS THAT YOU HAVE
TOLD ME ABOUT, DO THEY . . .
 - 1. APPEAR TO HAVE SPECIAL HEALTH PROBLEMS?
 - 2. APPEAR TO HAVE SPECIAL INCOME OR OTHER
FINANCIAL PROBLEMS?
 - 3. APPEAR TO HAVE SPECIAL EMPLOYMENT PROBLEMS?
 - 4. APPEAR TO HAVE SPECIAL PERSONAL--DIVORCE,
SEPARATION, LEGAL, AND OTHER PROBLEMS?

Question No. 3: DO SOME PEOPLE OR GROUPS HAVE MORE OF THESE KINDS OF
PROBLEMS THAN OTHERS?

- A. *General Probe*

Question No. 4: WHAT SORTS OF THINGS HAVE BEEN DONE IN THIS DEVELOPMENT/
TRACT TO HELP SOLVE THE PROBLEMS YOUR RESIDENTS HAVE?

- A. *General Probes*
- B. HAVE ANY FACILITIES FOR DEALING WITH THESE PROBLEMS BEEN PROVIDED?
- C. IN ADDITION TO THE FACILITIES YOU HAVE MENTIONED, HAVE PROVISIONS BEEN MADE FOR. . .
 - 1. COUNSELING.
 - 2. PLAYGROUNDS.
 - 3. PLAY EQUIPMENT.
 - 4. CHILD CARE.
 - 5. SOCIAL SERVICE REFERRALS.
 - 6. SOCIAL ACTIVITIES.
- D. WHAT SORTS OF THINGS WOULD YOU LIKE TO BE ABLE TO DO?

Question No. 5 HOW ABOUT SPECIAL MANAGEMENT PROBLEMS CAUSED BY THE PROBLEMS YOUR CLIENTS HAVE LIKE LEAVING WITHOUT REQUIRED NOTICE AND FAILURE TO MAINTAIN THE UNITS ADEQUATELY?

- A. *General Probe*

Question No. 6: ARE THERE MANAGEMENT PROBLEMS ASSOCIATED WITH YOUR PROJECT WHICH YOU DO NOT THINK YOU WOULD HAVE WITH AN UNSUBSIDIZED PROJECT?

- A. *General Probe*

Question No. 7: WOULD YOU EXCLUDE ELIGIBLE FAMILIES IF YOU COULD DETERMINE IN ADVANCE THAT THEY HAD PROBLEMS?

- A. *General Probe*

Question No. 8: WE HAVE DISCUSSED THE PROBLEMS PEOPLE BRING WITH THEM TO THIS DEVELOPMENT/TRACT.

IS THERE A RELATIONSHIP BETWEEN THE PROBLEMS PEOPLE BRING WITH THEM WHEN THEY MOVE IN AND THE FINANCIAL SUCCESS OF THIS DEVELOPMENT/TRACT?

- A. *General Probe*
- B. WHICH PROBLEMS ARE RELATED TO FINANCIAL SUCCESS IF 236, OR SUCCESSFUL HOMEOWNERSHIP IF 235?
- C. ARE THERE WAYS OF AVOIDING THESE PROBLEMS?

Question No. 9: DO YOU CORRELATE ABILITY TO PAY RENT (236) OR MAKE MORTGAGE PAYMENTS (235) WITH ANY SPECIAL PROBLEMS?

- A. *General Probe*

Question No. 10: DO YOU HAVE WAYS OF ANTICIPATING MANAGEMENT AND FINANCIAL PROBLEMS IN ADVANCE?

- A. *General Probe*

Question No. 11: YOU HAVE TO MAKE CHOICES--CLEARLY YOU WANT TO GET THE BEST POSSIBLE TENANT/OWNER. IT IS PROBABLY FAIRLY EASY FOR YOU TO TELL "RIGHT AWAY" WHO WOULD NOT BE GOOD TENANTS/OWNERS. ARE THERE WAYS OF DETERMINING ON FIRST CONTACT WHETHER OR NOT A TENANT/BUYER IS WELL SUITED FOR YOUR DEVELOPMENT/TRACT?

- A. *General Probe*

Question No. 12: FROM YOUR EXPERIENCES IN DEALING WITH ELIGIBLE APPLICANTS, CAN YOU DESCRIBE ANY DIFFERENCES BETWEEN APPLICANTS BY CATEGORIES SUCH AS: LARGE FAMILIES? SMALL FAMILIES?

- A. *General Probes*
- B. WHAT ABOUT THE DIFFERENCE BETWEEN . . .
 1. SINGLE WOMEN HEAD OF HOUSEHOLD AND OTHER TENANTS?
 2. MARRIED COUPLES AND OTHER TENANTS?

3. WELFARE RECIPIENTS/NON WELFARE RECIPIENTS AND OTHER TENANTS?

For each difference mentioned in the response, ask these probes, before going to the next difference between items.

4. ARE THERE DIFFERENT KINDS OF MANAGEMENT PROBLEMS SUCH AS THE WAYS IN WHICH GROUPS TAKE CARE OF THEIR UNITS/HOUSES?

5. ARE THERE DIFFERENT KINDS OF FINANCIAL PROBLEMS, SUCH AS, THE WAY THEY MEET THEIR RENT OBLIGATIONS/MAKE MORTGAGE PAYMENTS?

Question No. 13: ARE THERE PROBLEMS WITH TOO MANY OF ONE TYPE OF TENANT (WHICH YOU IDENTIFIED ABOVE) IN THE DEVELOPMENT?

A. General Probe

Instruction: This is a "closed" question. Check where appropriate.

Question No. 14: HOW WOULD YOU CHARACTERIZE THE TENANTS IN YOUR UNITS?

- A. WELFARE RECIPIENTS
- B. LARGER FAMILIES
- C. SMALL FAMILIES
- D. SINGLE PARENT FAMILIES
- E. ONE MAJOR ETHNIC GROUP _____

White non-minority

Black

Spanish American (Chicano, Mexican, Puerto Rican, Latin American, Other Spanish)

Oriental

American Indian

Other (specify)

- F. Other _____

Question No. 15:

WE HAVE JUST DISCUSSED THE METHODS YOU USE IN DEALING WITH APPLICANTS WHO MIGHT BE "POOR RISKS." COULD YOU DISCUSS YOUR OUTREACH PROGRAM. HOW DO YOU MAKE SURE YOU GET THE APPLICATIONS YOU NEED TO GET A SET LARGE ENOUGH TO MAKE A CHOICE?

A. *General Probe*

B. IN ADDITION TO THE THINGS ALREADY MENTIONED, DO YOU ALSO . . .

1. USE RADIO, TELEVISION, NEWSPAPER ADS
2. RELY ON WORD OF MOUTH IN THE TRACT/ DEVELOPMENT
3. ADVERTISE IN SEVERAL LANGUAGES

Question No. 16: DO YOU FIND THAT YOU GET A LOT OF APPLICATIONS FROM PEOPLE OUTSIDE OF THE AREAS IN WHICH YOU ADVERTISE?

A. *General Probe*

Question No. 17: DO THESE PEOPLE FROM OUTSIDE THE INTENDED AREA GENERALLY QUALIFY? YES _____ NO _____

A. *General Probe*

Question No. 18: DO THESE PEOPLE DIFFER FROM THE GROUP TOWARD WHOM YOUR ADVERTISING IS INTENDED?

A. *General Probe*

Question No. 19: WHY DO YOU ADVERTISE TOWARDS A SPECIFIC NEIGHBORHOOD?

A. *General Probe*

Question No. 20. WHAT IS YOUR EXPERIENCE IN GETTING (235) ELIGIBLES APPROVED BY A LENDER?

A. *General Probe*

Question No. 21: WHAT DO YOU DO WITH ELIGIBLE APPLICANTS YOU CANNOT ACCOMMODATE?

A. *General Probe*

B. DO YOU. . .

REFER THEM TO OTHER PROJECTS FOR WHICH
THEY MIGHT BE BETTER SUITED?

C. FOLLOW UP ON THESE REFERRALS?

Question No. 22: HOW DO YOU ADMINISTER WAITING LISTS? PLEASE
DESCRIBE THE PROCESS.

A. *General Probes*

B. HOW MANY WAITING LISTS DO YOU HAVE AND WHAT
ARE THE DIFFERENCES BETWEEN THEM (IF MORE
THAN ONE)?

C. FOR HOW LONG DO ELIGIBLES GENERALLY HAVE TO
WAIT FOR A UNIT? NUMBER OF MONTHS _____.

D. DO THEY GENERALLY ACCEPT THE UNIT?

YES () NO ()

E. FOR WHAT REASONS DO THEY USUALLY ACCEPT OR
REJECT THE UNIT?

Question No. 23: HOW DO YOU MAKE THE DETERMINATION ABOUT WHICH
DEVELOPMENT OR TRACT WOULD BETTER SUIT YOUR
CLIENT?

A. *General Probe*

Question No. 24: IF SOME OF YOUR TENANTS ARE WELFARE RECIPIENTS,
WOULD IT HELP IF RENT PAYMENTS WERE MADE DIRECTLY
TO YOU FROM THE SOCIAL SERVICE AGENCY, OR WOULD IT
MAKE NO DIFFERENCE?

A. *General Probe*

Question No. 25: HOW MANY TIMES HAVE YOU HAD DEALINGS WITH LEGAL AID
SOCIETIES OR FAIR HOUSING GROUPS DURING YOUR ASSOCIATION
WITH THIS PROJECT?

NUMBER OF TIMES _____

A. WHEN WAS THE LAST TIME YOU WERE VISITED BY ONE OF
THESE GROUPS?

DATE _____

Question no. 26: MULTIFAMILY

A. General Probes

B. DID THEY . . .

1. DID THEY SUGGEST CHANGES IN ELIGIBILITY RULES TO ACCOMMODATE THEIR CONCERNS?
2. DID THESE SUGGESTIONS SEEM REASONABLE TO YOU?
3. ASK YOU TO ACCEPT CERTAIN ELIGIBLE FAMILIES?
4. ASK YOU TO ACCEPT CERTAIN INELIGIBLE FAMILIES?
5. ASK FOR EXPLANATION OF THE PROGRAM?
6. ASK YOU TO INTERPRET HUD REGULATIONS?

Question No. 27: WHAT IS THE NATURE OF YOUR CONTACTS WITH HUD?

A. General Probes

- B. DID THEY HAVE A DISCUSSION WITH YOU ABOUT SPECIFIC REGULATIONS?
- C. WAS THIS CONTACT AT YOUR REQUEST TO SOLVE SOME PROBLEM SUCH AS A DELAY IN PROCESSING
- D. DID THEY PROVIDE TRAINING PROGRAMS FOR YOU?
- E. WAS IT AT YOUR INSISTENCE UPON YOUR RENTING OR SELLING TO A SPECIFIC FAMILY OR GROUP?
- F. DID THEY DISCUSS AFFIRMATIVE MARKETING?

If necessary, explain:

THE PURPOSE OF THESE REGULATIONS IS TO PROMOTE A CONDITION IN WHICH INDIVIDUALS OF SIMILAR INCOME LEVELS IN THE SAME MARKET AREA HAVE AVAILABLE TO THEM A LIKE RANGE OF CHOICES IN HOUSING REGARDLESS OF THE INDIVIDUALS' RACE, COLOR, RELIGION OR NATIONAL ORIGIN.

THE REGULATIONS COVER ANY HOUSING DEVELOPMENT OF FIVE DWELLING UNITS OR MORE FOR WHICH A FIRM FHA COMMITMENT (OR ASP 9) HAS BEEN ISSUED ON OR AFTER FEBRUARY 25, 1973.

AN AFFIRMATIVE FAIR HOUSING MARKETING PLAN, HUD FORM 935.2, MUST BE SUBMITTED WITH EVERY FHA APPLICATION FOR FIVE DWELLING UNITS OR MORE AND APPROVED BY APPROPRIATE HUD STAFF PRIOR TO ISSUANCE OF FIRM COMMITMENT OR ASP 9.

Instructions: TURNING TO SOMETHING ELSE.

Question 28: IT IS CUSTOMARY TO ASK FOR CREDIT CHECKS FROM APPLICANTS, BUT SOME MANAGERS OR BROKERS USE THEM AND SOME DON'T, TO WHAT EXTENT DO YOU MAKE USE OF CREDIT CHECKS OR REPORTS? DO YOU USE THEM?

ALWAYS ()

SOMETIMES ()

RARELY ()

NEVER ()

A. *General Probe*

B. AT WHAT POINT IN THE APPLICATION PROCESS?

C. WHO PAYS FOR THE CREDIT CHECKS? _____

D. WHEN IS THE FEE PAID? _____

Question No. 29: ARE THERE SITUATIONS WHEN YOU CAN INFORMALLY DETERMINE WITHOUT A CREDIT CHECK, THAT AN APPLICANT HAS POOR CREDIT?

A. *General Probes*

B. DOES IT HELP YOU TO KNOW THE APPLICANTS EMPLOYMENT STATUS?

C. DOES THE INFORMATION ON THE APPLICATION HELP:

Question No. 30: DO YOU CONTINUE THE APPLICATION PROCESS, OR TERMINATE IT AT THAT POINT?

YES [] NO []

Question No. 31: HOW WOULD YOU CHANGE THE CREDIT CHECK/REPORTING SYSTEM?

A. *General Probes*

B. WOULD YOU CHANGE IT TO HAVE . . .

1. ADDITIONAL INFORMATION?

2. STANDARDIZED INFORMATION?

Question No. 32: DOES THE ABILITY TO PAY FOR A CREDIT CHECK OR, INABILITY TO PAY FOR IT, AFFECT YOUR JUDGMENT ABOUT THE APPLICANT?

A. *General Probe*

Question No. 33: DO YOU ADVISE THE APPLICANT OF THIS SITUATION

YES [] NO []

Question No. 34: DO YOU FEEL THAT THE 235/236 PROGRAM IS SERVING THE PEOPLE IT WAS INTENDED FOR?

A. *General Probes*

B. FOR WHOM DO YOU THINK THE PROGRAM WAS REALLY INTENDED?

C. DO YOU FEEL THAT THE RIGHT PEOPLE ARE RECEIVING THE BENEFITS? IF NOT, WHO ARE THE REAL BENEFICIARIES?

Question No. 35: SHOULD THE SUBSIDIZED/INSURED HOUSING PROGRAMS BE CONTINUED AS THEY ARE?

A. *General Probes*

B. WHAT CHANGES WOULD YOU MAKE?

C. WHAT FEATURES WOULD YOU RETAIN?

HOW LONG HAVE YOU BEEN ASSOCIATED WITH THIS DEVELOPMENT/TRACT?

_____ MONTHS

OBSERVATION:

BENEFICIARIES STUDY
INTERMEDIARIES QUESTIONNAIRE -- LHA's

(INSTRUCTION)

Introduce yourself as an interviewer from HUD and explain the purpose of the study as follows:

WE ARE PART OF A NATIONAL TASK FORCE ATTEMPTING TO IDENTIFY WHY SOME PEOPLE RECEIVE THE BENEFITS OF HUD HOUSING PROGRAMS AND OTHERS DO NOT. OF COURSE, THERE ARE INCOME LIMITATIONS AND ELIGIBILITY REQUIREMENTS WHICH LARGELY CONTROL WHO YOU CAN SERVE AND WHO YOU CAN'T. THIS STUDY FOCUSES ON WHY, AMONG ELIGIBLE APPLICANTS, SOME RECEIVE THE BENEFITS AND SOME DON'T. YOUR HOUSING AUTHORITY HAS BEEN CHOSEN BECAUSE THE COMPUTER SAMPLE SELECTED SEVERAL RESIDENTS OF YOUR PROGRAM TO BE INTERVIEWED. FOR STATISTICAL CONFORMITY, 235 SALES BROKERS, 236 MANAGERS AND HOUSING AUTHORITIES IN WHICH THESE PEOPLE LIVE HAVE BEEN CHOSEN TO RESPOND TO THIS PORTION OF THE TASK FORCE'S WORK.

At this point, assure the Respondent that his responses will not be reported to any HUD office but will be reduced to data for key punching by questions -- not by source of information and will be in a batch of all the interviews. Stress the point made in your overall instruction -- and stress the importance of complete and accurate answers.

After responding neutrally to any questions, go directly to the questionnaire.

TO BEGIN WITH I WOULD LIKE TO HAVE YOUR GENERAL
VIEWS ON THE LOW-RENT HOUSING PROGRAM

Question No. 1: WHAT DO YOU THINK ABOUT THE LOW-RENT HOUSING PROGRAM IN
TERMS OF HELPING PEOPLE IMPROVE THEIR LIVING CONDITIONS.

- A. *General Probe*
- B. GIVEN THE NATURE OF YOUR CLIENTS, DOES THIS LOW-RENT
HOUSING PROGRAM APPEAR TO IMPROVE THEIR LIVING CONDITION?
- C. DO YOU THINK THAT THE PEOPLE YOUR PROGRAM SERVES ARE
FULLY ABLE TO TAKE ADVANTAGE OF THE HOUSING OPPORTUNITY
TO IMPROVE THEIR LIVING CONDITION.

Question No. 2: DO YOUR CLIENTS HAVE PROBLEMS WHICH REQUIRE SPECIAL
MANAGEMENT ATTENTION?

- A. *General Probe*
- B. IN ADDITION TO THE PROBLEMS THAT YOU HAVE
TOLD ME ABOUT, DO THEY . . .
 - 1. APPEAR TO HAVE SPECIAL HEALTH PROBLEMS?
 - 2. APPEAR TO HAVE SPECIAL INCOME OR OTHER FINANCIAL
PROBLEMS?
 - 3. APPEAR TO HAVE SPECIAL EMPLOYMENT PROBLEMS?
 - 4. APPEAR TO HAVE SPECIAL PERSONAL--DIVORCE,
SEPARATION, LEGAL, AND OTHER PROBLEMS?

Question No. 3: DO SOME PEOPLE OR GROUPS HAVE MORE OF THESE KINDS OF
PROBLEMS THAN OTHER PEOPLE?

- A. *General Probe*

Question No. 4: WHAT SORTS OF THINGS HAVE BEEN DONE IN THIS PROJECT
TO HELP SOLVE THE PROBLEMS THAT YOUR RESIDENTS HAVE?

- A. *General Probe*
- B. HAVE ANY FACILITIES FOR DEALING WITH THESE PROBLEMS
BEEN SET UP FOR DEALING WITH THESE PROBLEMS?
- C. IN ADDITION TO THE FACILITIES YOU HAVE MENTIONED,
HAVE PROVISIONS BEEN MADE FOR . . .

1. COUNSELING
2. PLAY GROUNDS
3. PLAY EQUIPMENT
4. CHILD CARE
5. SOCIAL SERVICES REFERRALS
6. SOCIAL ACTIVITIES

D. WHAT SORTS OF THINGS WOULD YOU LIKE TO BE ABLE TO DO?

Question No. 5: HOW ABOUT SPECIAL MANAGEMENT PROBLEMS CAUSED BY THE PROBLEMS YOUR TENANTS HAVE LIKE LEAVING WITHOUT REQUIRED NOTICE AND FAILURE TO MAINTAIN THE UNITS ADEQUATELY?

A. *General Probe*

Question No. 6: ARE THERE MANAGEMENT PROBLEMS ASSOCIATED WITH YOUR TENANTS THAT YOU DON'T THINK YOU WOULD HAVE WITH TENANTS IN PRIVATE HOUSING?

A. *General Probe*

Question No. 7: WE HAVE DISCUSSED THE PROBLEMS PEOPLE BRING WITH THEM TO THIS PROJECT. IS THERE A RELATIONSHIP BETWEEN THE PROBLEMS PEOPLE BRING WITH THEM WHEN THEY MOVE IN AND THE FINANCIAL SUCCESS OF YOUR PROJECTS?

A. *General Probe*

Question No. 8: NOW, I WOULD LIKE TO TOUCH ON ANOTHER AREA OF CONCERN.

HOUSING AUTHORITIES GENERALLY RECEIVE REQUESTS FOR ADMISSION FROM A WIDE VARIETY OF PEOPLE. IN OCCUPANCY POLICY STATEMENTS, SOME CATEGORIES OF APPLICANTS ARE DEFINED AS UNDESIRABLE.

WHAT CATEGORIES OF PEOPLE DOES THIS AUTHORITY DEFINE AS "UNDESIRABLE TENANTS"?

Instructions: Record a list based on the occupancy policy statement as adopted by the authority.

A. *General Probe*

Question No. 9: WHAT PROCEDURES DO YOU USE IN HANDLING APPLICANTS WHO APPEAR TO BE UNDESIRABLE?

A. *General Probe*

B. DO YOU . . .

1. TAKE AN APPLICATION AND GATHER FACTS (HOW?)
2. REJECT THEM ON THE BASIS OF FIRST CONTACT?
3. PLACE THEM ON WAITING LIST?
4. REFER THEM TO A DIFFERENT AUTHORITY?
5. REFER THEM (OR REFER THEM BACK) TO A SOCIAL SERVICE AGENCY?

Question No. 10: WE HAVE JUST DISCUSSED THE METHODS YOU USE IN DEALING WITH APPLICANTS WHO MIGHT BE DESCRIBED AS "POOR RISKS".

COULD YOU DESCRIBE YOUR OUTREACH PROGRAM. HOW DO YOU MAKE SURE YOU GET THE APPLICATIONS YOU NEED TO GET A SET LARGE ENOUGH FROM WHICH TO MAKE A CHOICE.

A. *General Probes*

B. IN ADDITION TO THE THINGS ALREADY MENTIONED, DO YOU ALSO . . .

1. CALL FROM WAITING LIST.
2. NOTIFY SOCIAL SERVICE AGENCIES
 - A. WHICH ONES?
3. ADVISE OTHER TENANTS
4. ANNOUNCE THE VACANCY TO THE TENANT ORGANIZATION.

Question No. 11: HOW DOES YOUR AUTHORITY HANDLE THE PROBLEM OF APPLICATIONS FROM OUTSIDE THIS JURISDICTION?

A. *General Probes*

B. DO RESIDENCE REQUIREMENTS PRECLUDE CONSIDERATION?

YES () No ()

C. DO YOU . . .

1. REFER THEM TO THE APPROPRIATE JURISDICTION?
2. PLACE THEM ON A WAITING LIST?
3. TAKE THEM IN ON THE BASIS OF "BEING WITHOUT EXISTING HOUSING."

Question No. 12: HOW DO YOU MAKE SURE THAT YOU WILL SEE A NUMBER OF ELIGIBLE APPLICANTS IN ORDER TO MAKE YOUR SELECTION?

A. *General Probe*

B. DO YOU . . .

1. ASK APPLICANTS TO COME BACK FOR AN ADDITIONAL INTERVIEW AT ANOTHER TIME?
2. REQUEST INTERVIEWS WITH OTHER MEMBERS OF THE FAMILY?
3. PLACE APPLICANTS ON A WAITING LIST?
4. CALL PREVIOUS APPLICANT FROM THE WAITING LIST?

Question No. 13: WHAT DO YOU DO ABOUT PEOPLE WHO ARE ELIGIBLE BUT DO NOT RECEIVE THE UNIT? HOW DO YOU AVOID AN UNPLEASANT SITUATION?

A. *General Probe*

B. DO YOU . . .

1. ADVISE THEM THAT THE UNIT IS NOT AVAILABLE?
2. ADVISE THEM THAT IT WOULDN'T BE SUITABLE?
3. ESTABLISH REQUIREMENT FOR ADVANCE PAYMENT OF RENT?
4. UTILIZE CREDIT CHECKS?
5. PROVIDE REFERRAL TO A DIFFERENT PROJECT WHICH YOU FEEL IS MORE SUITED TO THE APPLICANT.

Question No. 14: YOU HAVE TO MAKE CHOICES -- CLEARLY YOU HAVE TO GET THE BEST POSSIBLE TENANT. IT IS PROBABLY FAIRLY EASY FOR YOU TO TELL RIGHT AWAY WHO WOULD NOT BE GOOD TENANTS. ARE THERE WAYS OF DETERMINING ON FIRST CONTACT WHETHER OR NOT A TENANT IS WELL SUITED FOR YOUR UNITS?

A. *General Probe*

Question No. 15: FROM YOUR EXPERIENCES IN DEALING WITH ELIGIBLE APPLICANTS, CAN YOU DESCRIBE ANY DIFFERENCES BETWEEN APPLICANTS BY CATEGORIES SUCH AS: LARGE FAMILIES? SMALL FAMILIES?

A. *General Probes*

B. WHAT ABOUT THE DIFFERENCE BETWEEN . . .

1. SINGLE WOMEN HEAD OF HOUSEHOLD AND OTHER TENANTS?
2. MARRIED COUPLES AND OTHER TENANTS?
3. WELFARE RECIPIENTS/NON WELFARE RECIPIENTS AND OTHER TENANTS?

For each difference mentioned in the response, ask these probes, before going to the next difference between items.

4. ARE THERE DIFFERENT KINDS OF MANAGEMENT PROBLEMS SUCH AS THE WAYS IN WHICH GROUPS TAKE CARE OF THEIR UNITS?
5. ARE THERE DIFFERENT KINDS OF FINANCIAL PROBLEMS, SUCH AS, THE WAY THEY MEET THEIR RENT OBLIGATIONS?

Question No. 16: DO YOU HAVE WAYS OF ANTICIPATING MANAGEMENT AND FINANCIAL PROBLEMS IN ADVANCE?

A. *General Probe*

Question No. 17: ARE THERE WAYS OF AVOIDING THESE PROBLEMS?

A. *General Probe*

Question No. 18: ARE THERE PROBLEMS WITH TOO MANY OF ONE KIND OF TENANT (WHICH YOU IDENTIFIED ABOVE) IN A PROJECT?

A. *General Probe*

Question No. 19: IN YOUR JUDGMENT ARE DIFFERENT KINDS OF ELIGIBLE APPLICANTS BEST SUITED FOR DIFFERENT KINDS OF PROGRAMS, FOR SUCH AS:

LEASING
CONVENTIONAL
TURNKEY

A. *General Probe*

B. WHAT CHARACTERISTICS AID IN MAKING THIS DETERMINATION?

Question No. 20.: HOW WOULD YOU CHARACTERIZE THE TENANTS IN YOUR UNITS?

- A. WELFARE RECIPIENTS []
- B. LARGER FAMILIES []
- C. SMALL FAMILIES []
- D. SINGLE PARENT FAMILIES []
- E. ONE MAJOR ETHNIC GROUP [] EXPLAIN _____

White non-minority

Black

Spanish American (Chicano, Mexican, Puerto Rican, Latin American, Other Spanish)

Oriental

American Indian

Other (specify)

F. OTHER _____ []

NOW, LET US TURN TO ANOTHER IMPORTANT SUBJECT.
SOME AUTHORITIES HAVE ADOPTED THE "RANGES OF RENT"
PROVISIONS AUTHORIZED IN CIRCULAR 7465.12.

Question No. 21: ARE YOU FAMILIAR WITH THESE PROVISIONS?

YES () NO ()

If "NO", make brief explanation as follows:

"RANGES OF RENT" MEANS RENTS WHICH ARE ESTABLISHED
WITHIN SUCH RANGES AS MAY BE NECESSARY TO MAINTAIN,
THE SOLVENCY OF THE PROJECT'S OPERATION AND TO INSURE
INSOFAR AS IS POSSIBLE ADMISSION OF A REPRESENTATIVE
CROSS-SECTION OF THE LOW-INCOME FAMILIES IN THE
LOCALITY?

Question No. 22: IS THE "RANGE OF RENT" USED IN THIS AUTHORITY?

Instructions: If "No" to Question 22

Question No. 23: WHAT ARE YOUR IMPRESSIONS OF THE PROVISIONS THAT
I HAVE JUST DESCRIBED?

- A. General Probes
- B. HOW WOULD THEY BENEFIT YOUR AUTHORITY?
- C. HOW WOULD THIS AFFECT YOUR TENANTS?

Instructions: If "Yes" to Question No. 22 and "No" to Question 23

Question No. 24: CAN YOU TELL ME WHY YOUR AUTHORITY CHOSE NOT TO
ADOPT THESE PROVISIONS?

- A. General Probes
- B. IN ADDITION, DO YOU THINK THAT THEY WOULD
WORK AGAINST POTENTIAL TENANTS?
- C. FURTHER, DO YOU THINK THAT THERE WOULD BE
PROBLEMS IN IMPLEMENTATION?

Instruction: If "Yes" to Question No. 22 and Question No. 23

Question No. 25 : WHAT USE DOES YOUR AUTHORITY MAKE OF THE "RANGES OF RENT" PROVISIONS?

A. *General Probes*

Question No. 26 : DESCRIBE ANY INEQUITABLE FEATURES OF THE PROVISION OR ANY PROBLEMS GENERATED.

A. *General Probe*

Question No. 27 : HOW DOES YOUR AUTHORITY RESOLVE THESE PROBLEMS?

A. *General Probes*

Question No. 28 : WHO AMONG THE VARIOUS POPULATION YOU SERVE, IS THE MOST AFFECTED BY THESE PROVISIONS?

A. *General Probes*

NOW, LET'S CHANGE THE SUBJECT AND TALK ABOUT WAITING LISTS?

Question No. 29: DO YOU OFFER PEOPLE ON THE WAITING LIST A SELECTION OF UNITS? If SEVERAL ARE AVAILABLE?

YES [] NO []

Question No. 30 : HOW MANY UNITS DO YOU OFFER BEFORE PLACING APPLICANT BACK ON THE WAITING LISTS?

NUMBER OF TIMES _____

Question No. 31 : HOW DO YOU ADMINISTER WAITING LISTS? PLEASE DESCRIBE THE PROCESS.

- A. *General Probes*
- B. HOW MANY WAITING LISTS DO YOU HAVE AND WHAT ARE THE DIFFERENCES BETWEEN THEM (IF MORE THAN ONE)?
- C. FOR HOW LONG DO ELIGIBLES GENERALLY HAVE TO WAIT FOR A UNIT ? NUMBER OF MONTHS _____.
- D. DO THEY GENERALLY ACCEPT THE UNIT? (THE 1ST, 2ND)
YES [] NO []
- E. FOR WHAT REASONS DO THEY USUALLY ACCEPT OR REJECT THE UNIT?

NOW, ABOUT TENANT ORGANIZATIONS.

Question No. 32: WHAT IS THE NATURE OF THE TENANT ORGANIZATION IN THIS PROJECT?

- A. *General Probe*

Question No. 33: DOES THE TENANT ORGANIZATION PLAY A ROLE IN THE SELECTION OF TENANTS?

YES [] NO []

If "Yes" WHAT KIND OF A ROLE DO THEY PLAY?

Question No. 34: WHAT ELSE DOES THE TENANT ORGANIZATION DO?

- A. *General Probe*

Question No. 35: HOW MANY TIMES HAVE YOU HAD DEALINGS WITH LEGAL AID SOCIETIES OR FAIR HOUSING GROUPS DURING YOUR ASSOCIATION WITH THIS PROJECT?

NUMBER OF TIMES _____.

- A. WHEN WAS THE LAST TIME YOU WERE VISITED BY ONE OF THESE GROUPS?

DATE _____.

Question No. 36: LOW-RENT

- A. *General Probes*
- B. DID THEY . . .
 1. DID THEY SUGGEST CHANGES IN ELIGIBILITY RULES TO ACCOMODATE THEIR CONCERNS?
 2. DID THESE SUGGESTIONS SEEM REASONABLE TO YOU?
 3. ASK YOU TO ACCEPT CERTAIN ELIGIBLE FAMILIES?
 4. ASK YOU TO ACCEPT CERTAIN INELIGIBLE FAMILIES?
 5. ASK FOR EXPLANATION OF THE PROGRAM?
 6. ASK YOU TO INTERPRET HUD REGULATIONS?

Question No. 37 : WHAT IS THE NATURE OF YOUR CONTACTS WITH HUD?

- A. *General Probes*
- B. DID THEY HAVE A DISCUSSION WITH YOU ABOUT SPECIFIC REGULATIONS.
- C. WAS THIS CONTACT AT YOUR REQUEST TO SOLVE SOME PROBLEM SUCH AS A DELAY IN PROCESSING
- D. DID THEY PROVIDE TRAINING PROGRAMS FOR YOU.
- E. WAS IT AT YOUR INSISTENCE UPON YOUR RENTING OR SELLING TO A SPECIFIC FAMILY OR GROUP.
- F. DID THEY CONDUCT A MANAGEMENT REVIEW?
- G. DID THEY CONDUCT AN OCCUPANCY REVIEW.
- H. DID THEY DISCUSS AFFIRMATIVE MARKETING.

If necessary, explain:

- THE PURPOSE OF THESE REGULATIONS IS TO PROMOTE A CONDITION IN WHICH INDIVIDUALS OF SIMILAR INCOME LEVELS IN THE SAME MARKET AREA HAVE AVAILABLE TO THEM A LIKE RANGE OF CHOICES IN HOUSING REGARDLESS OF THE INDIVIDUALS' RACE, COLOR, RELIGION OR NATIONAL ORIGIN.
- THE REGULATIONS COVER ANY HOUSING DEVELOPMENT OF FIVE DWELLING UNITS OR MORE FOR WHICH A FIRM FHA COMMITMENT (OR ASP 9) HAS BEEN ISSUED ON OR AFTER FEBRUARY 25, 1973.
- AN AFFIRMATIVE FAIR HOUSING MARKETING PLAN, HUD FORM 935.2, MUST BE SUBMITTED WITH EVERY FHA APPLICATION FOR FIVE DWELLING UNITS OR MORE AND APPROVED BY APPROPRIATE HUD STAFF PRIOR TO ISSUANCE OF FIRM COMMITMENT OR ASP 9.

Turning to something else,

Question No. 38: IT IS CUSTOMARY TO ASK FOR CREDIT CHECKS FROM APPLICANTS, BUT SOME AUTHORITIES USE THEM AND SOME DON'T. TO WHAT EXTENT DO YOU MAKE USE OF CREDIT CHECKS OR REPORTS? DO YOU USE THEM?

ALWAYS []

SOMETIMES []

RARELY []

NEVER []

A. *General Probe*

B. AT WHAT POINT IN THE APPLICATION PROCESS?

C. WHO PAYS FOR THE CREDIT CHECKS? _____

D. WHEN IS THE FEE PAID? _____

Question No. 39: ARE THERE SITUATIONS WHEN YOU CAN INFORMALLY DETERMINE WITHOUT A CREDIT CHECK, THAT AN APPLICANT HAS POOR CREDIT?

A. *General Probes*

B. DOES IT HELP YOU TO KNOW THE APPLICANTS EMPLOYMENT STATUS?

C. DOES THE INFORMATION ON THE APPLICATION HELP ?

Question No. 40: DO YOU CONTINUE THE APPLICATION PROCESS, OR TERMINATE IT AT THAT POINT?

YES [] NO []

Question No. 41: HOW WOULD YOU CHANGE THE CREDIT CHECK/REPORTING SYSTEM?

A. *General Probes*

B. WOULD YOU CHANGE IT TO HAVE . . .

1. ADDITIONAL INFORMATION?

2. STANDARDIZED INFORMATION?

Question No. 42: DOES THE ABILITY TO PAY FOR A CREDIT CHECK OR, INABILITY TO PAY FOR IT, AFFECT YOUR JUDGMENT ABOUT THE APPLICANT?

A. General Probe

Question No. 43: DO YOU ADVISE THE APPLICANT OF THE RESULTS OF THE CREDIT CHECK?

YES () NO ()

Question NO. 44 : ARE YOU FAMILIAR WITH INDIRECT SUBSIDY PROGRAMS FOR MEETING THE HOUSING NEEDS OF LOW INCOME PEOPLE SUCH AS 235/236?

YES [] NO []

If "NO" explain as below

Question No. 45: IS A DIRECT SUBSIDY PROGRAM SUCH AS YOURS BETTER FOR MEETING THE NEEDS OF LOW INCOME PEOPLE THAN THE INDIRECT PROGRAMS SUCH AS 235/236?

A. General Probe

HOUSING PROGRAMS

- 235 *Indirect homeownership program intended for moderate income people. Interest rate is reduced to a maximum of 1% to the builder/sponsor. Eligible people apply to the sales office or go through a broker or whatever and fill out applications. HUD approves each one individually before the mortgage company give the applicant his loan. HUD pays the mortgage company directly the difference between 1% and the going market rate.*
- 236 *An indirect rental program intended for moderate income people. Interest rate is reduced to as low as 1% of the market rate for builder/sponsor's long term and mortgage. Beneficiaries pay less rent because the interest cost is deducted from the sponsor's debt service requirements.*
- 236 RS *Like regular 236 but certain very low income beneficiaries receive a piggy-back subsidy in order to bring their rents down to 25% of their income.*

Question No. 46 : CAN YOU DESCRIBE THE PREVALENT ATTITUDE TOWARD PUBLIC HOUSING IN THIS COMMUNITY?

A. *General Probe*

Question No. 47 : IS THIS THE SAME AS ATTITUDES IN THIS COMMUNITY TOWARDS WELFARE PROGRAMS OR GOVERNMENT BENEFICIARIES GENERALLY?

A. *General Probe*

Question No. 48: HOW DOES THE STAFF FEEL TOWARD THE TENANTS?

A. *General Probe*

Question No. 49: HOW DO THE TENANTS FEEL TOWARD THE STAFF?

A. *General Probe*

Question NO. 50: DO YOU FEEL THAT THE PUBLIC HOUSING PROGRAM IS SERVING THE PEOPLE IT WAS INTENDED FOR?

A. *General Probes*

B. FOR WHOM DO YOU THINK THE PROGRAM WAS REALLY INTENDED?

C. DO YOU FEEL THAT THE RIGHT PEOPLE ARE RECEIVING THE BENEFITS? IF NOT, WHO ARE THE REAL BENEFICIARIES

Question No. 51: SHOULD THE HOUSING PROGRAMS BE CONTINUED AS THEY ARE?

A. *General Probes*

B. WHAT CHANGES WOULD YOU MAKE?

C. WHAT FEATURES WOULD YOU RETAIN?

HOW LONG HAVE YOU BEEN ASSOCIATED WITH THIS PROJECT?

_____ MONTHS

OBSERVATION:

FILE COPY