Tribal/TDHE Web Survey

DRAFT version 1

Automated ID: [an ID will be generated for each respondent based on their unique organization name. if more than one person per organization attempts to take the survey, they will be instructed to call a help number.]

Name of Organization/Tribe:

Job title:

The survey should be completed by someone with thorough understanding of the processes involved in administering IHBG funds in your organization. Only one survey should be submitted for each organization. If you think you may have already completed this survey, please contact XXX. We can tell you if a survey has been completed already.

[Description of study and informed consent information will be provided on a page with a link to click if the terms are understood. Then the respondent can proceed through the remaining screens.]

This study will describe housing needs and socioeconomic conditions in Indian Country. It will provide HUD with information on:

- how current programs are operating,
- the extent to which housing needs are being addressed, and
- demographic and economic trends.

It will also provide information about home ownership in Indian Country and the impacts of the recent financial crisis.

This survey is being conducted to help understand the housing needs of American Indian and Alaska Native families. It is sponsored by the Department of Housing and Urban Development and is being conducted across Indian Country.

Your participation is very important to the success of this survey. This survey is voluntary, which means that you don't have to participate and you can decide not to answer any specific questions. It will take about 30 minutes.

The information you provide will be confidential, and will not be shared with anyone except for research staff working on the study. This includes anything that can identify you such as your name, address, or telephone number. Everyone who works on this survey has signed a legal document stating they will not reveal any of your personal information and can be severely penalized if they do.

The survey includes questions on the Tribe/TDHE organization and institutional relationships; staff, training, and procedures for the Tribe/TDHE; perceptions of social/economic conditions, trends and issues in the area; perceptions of resident housing satisfaction and preferences; housing problems and needs; challenges in housing development and operation; IHBG planning and implementation approaches; assessment of rules and procedures under NAHASDA; and assessment of mortgage lending programs (Section 184 and others). The survey is neither an audit nor a compliance review. The information you provide will be helpful to improve housing in your community and other communities in Indian Country.

The information that you provide will be kept private. You will not be quoted by name and no names will be included in the summary reports. A report will be shared with the tribe/native village at a later date. It will summarize the findings, without giving names or other information that would identify you or the tribe/native village.

Throughout the survey the term "area" is used instead of "reservation," "trust lands" or "villages."

A. TRIBE/TDHE ORGANIZATION AND INSTITUTIONA L RELATIONSHIPS

A1. Is your organization an office of the tribal government, a separate Tribal Designated Housing Entity, or something else?	 □ Office of tribal government □ Separate Tribal Designated Housing Entity (TDHE) □ Something else (SPECIFY):
	IF TDHE, continue with A1a.
A1a. If a TDHE, is your organization currently, or was it ever, considered an "Indian Housing Authority"?	YES NO Don't Know
A2. This survey pertains to your work in administering Indian Housing Block Grant, IHBG, funds for your area. Does your organization administer IHBG grants for other areas as well?	YES NO Don't Know [If yes]: For how many other tribal areas? ————
A3. Has your organization always managed the IHBG grants for this area or has administration of the block grant transferred from a different organization since 1998?	☐ Always managed the IHBG grants for this tribal area ☐ Administration of the block grant transferred If ALWAYS, continue with A4. If TRANSFERRED, continue with A3a.
A3a. [If not the original administrator of IHBG funds]: In what year did your organization begin to administer the IHBG for this area?	
A4. What is the name, title and organizational affiliation of the person your organization reports to in administering IHBG funds in this area?	Name: Title: Organizational affiliation:
A5. How is the executive director of your organization selected?	 Selected by tribal government Selected by your organization's own Board of Directors Other (SPECIFY): Don't Know
A6. How many executive directors has your	3 years?

organization	had over the past	5 year	·s?	_		
		Don't Know				
A7. Does you board of dire	r organization have its own ctors?	YES	NO	Don't Know		
-	artner or collaborate with any	YES	NO	Don't Know		
organizations	agencies or nonprofit s in providing housing services in with the use of IHBG funds?	n If YES, continue with A9a				
A9a. If yes, w	hat organizations do you partner o	r collab	orate w	vith?		
✓		Partn	er Orga	nizations		
	Non-tribal local jurisdiction					
	Local non-tribal Public Housing A	uthorit	.y			
	Local nonprofits and service providers					
	Other tribal programs (e.g., IHS, e	conom	ic devel	opment, education, TANF)		
	Other (SPECIFY):					
	Don't Know					

B. STAFF, TRAINING AND PROCEDURES

your organization currently have?	Don't Know	FI staff		
B2. Has the number of staff increased, stayed the same, or decreased over the past 3 years?	☐ Increased☐ Stayed the same☐ Decreased			
B2. How many of them have been working for your organization for 3 years or more?	Number working 3 years or mor			
B3. How many part-time staff members do you have? (If 2 or less, skip to B5)	number o	of PT staff		
B4. We are interested in learning how generalized or specialized your staff is. Do any of your staff members work <u>only</u> on the following tasks? (Note: do not ask this question if current full-time staff is 2 or less.)	YES	NO		
Administrative tasks, such as record keeping and organizing, preparing documents				
PR/Communications with the public				
Finances, Budget				
Case management with residents				
Construction				
Building management				
Building maintenance				
Contracts				
Information management/ computer systems				
Other (specify)				
B5. Do you contract out to for-profit companies	YES NO			
or nonprofit organizations to provide services to				
your organization on a regular basis?	If YES, continue	with B5a.		
B5a. If yes, the following is a list of possible	□ Legal help			

functions that you might contract out to other entities. Which of these do you outsource on a routine basis?	 □ Finance/Accounting □ Information management/IT/computer systems □ Building management/ operations □ Rent Collection □ Maintenance □ Construction □ Other (SPECIFY):			
B6a. What is the most effective method you use to communicate with tribal members about the programs you offer? (Ask for rank order of effectiveness)	 □ Brochures □ Word of mouth □ Handbooks □ Flyers □ Newspaper notices □ Website □ Community meetings □ Other (SPECIFY): 			
B8. What do you see as the highest priorities for improving the effectiveness of your organization? (Open-ended)				
B9. What types of training would you most like to obtain for you and/or your staff? Identify your top three choices from the list .	Administrative tasks, such as record keeping and organizing, preparing documents PR/Communications with the public Finances, Budget Case management with residents Construction Management/ building management Building maintenance Contracts Information management/ computer systems Other (SPECIFY):			

C. PERCEPTIONS OF SOCIAL/ECONOMIC TRENDS AND ISSUES

C1. How weak or strong would you say the economy in this area is, considering such things as strength of private businesses, government employment, and the financial stability of households?	 Very strong Somewhat strong Neither strong nor weak Somewhat weak Very weak
C2. How would you compare the current economic conditions of this area to conditions 5 years ago?	□ Better□ Worse□ No Change
C3. Of all the residents of this area that have jobs, what percent would you estimate work for private businesses? C3a. What are the major types of businesses that provide these jobs? (Open-ended)	%
C4. Have there been any significant expansions in the tribal economy, or tribal economic development, over the past 5 years such as the development of new businesses or the development of gaming?	YES NO Don't know REFUSED Details:
C5. Have there been any significant losses in the tribal economy, or tribal economic development, over the past 5 years such as plant closings, loss of employer bases?	YES NO Don't know REFUSED Details:

D. TRIBE/TDHE PERCEPTIONS OF RESIDENT HOUSING SATISFACTION AND PREFERENCES

D1. For each of the types of housing in your area, please mark whether your perception is that residents are very satisfied, satisfied, or not satisfied with their current housing situation.	VER SATISF			SATISFIEI)	S	NOT ATISFIED
☐ Formula Current Assisted Stock (FCAS) Mutual Help housing							
☐ FCAS rental housing							
☐ IHBG owner-occupied housing							
☐ IHBG rental housing							
□ Private owner-occupied housing							
1 0							
D2. On a scale from 1 to 5, with 1 being "low priority" and 5 being "high priority," what priority do you think the residents of the <i>rental</i> units you operate would assign to each of the following?	1 Lowest Priority	2	2	3		4	5 Highest Priority
Finding better locations							
Constructing different types of structures							
Improving the external appearance of their structures							
Improving the quality of construction							
Providing more culturally sensitive designs							
Improving the quality of upkeep							
Constructing larger units							
Using a different configuration of rooms							
Using larger lot sizes							
Reducing crime and drug activity in area							
Providing (or linking to) better or more							

accessible social services					
Improving the landscaping					
Something else? (SPECIFY):					
D3. On a scale from 1 to 5, with 1 being "low priority" and 5 being "high priority," what priority do you think the residents of the <i>owner-occupied</i> units you operate would give to each of the following types of improvements?	1 Lowest Priority	2	3	4	5 Highest Priority
Finding better locations					
Constructing different types of structures					
Improving the external appearance of their structures					
Improving the quality of construction					
Providing more culturally sensitive designs					
Improving the quality of upkeep					
Constructing larger units					
Using a different configuration of rooms					
Using larger lot sizes					
Reducing crime and drug activity in area					
Providing (or linking to) better or more accessible social services					
Improving the landscaping					
Something else? (SPECIFY):					

E. TRIBE/TDHE PERCEPTIONS OF HOUSING PROBLEMS AND NEEDS

E1. In general, is there a high unmet need for affordable housing units in this area, a moderate unmet need, or a low unmet need for affordable housing units? E2. Has the level of unmet need for affordable housing units in this area increased in the past three years, decreased, or stayed about the same? High need Low need Increased Decreased Stayed the same	
E3. Does your organization maintain a waiting list of households seeking to get assistance through IHBG-funded programs or services? If YES NO Don't know Refused If YES, continue with E3a. If NO, skip to E4.	
E3a. Has the number on the waiting list increased, decreased, or stayed about the same in the past 3 years? □ Stayed the same □ Don't know	
E4. Homelessness can mean many different things. For example, sometimes families double or triple up and live in the same unit, sometimes people go to homeless shelters, and sometimes they live in places that are not meant for people to live in. Does this occur in your community? IF DOUBLING UP SELECTED, HOW SERIOUS A PROBLEM IS THIS: MAJOR MODERATE MINOR Use of homeless shelters? YES NO Don't know Refused Living in places not meant for human habitation? YES NO Don't know Refused IF YES, EXAMPLE: (i.e., cars, abandoned buildings, etc.) If YES to "homeless shelters," skip to E5 (after asking about "places not meant for human habitation) E4a. How many homeless shelters are there in this	or
area? E5. For each item in the list below, please check whether you think there is: a high need, some need, or	

little or no need.			
	High Need	Some Need	Little or No Need
New construction of housing units	8		
Rehabilitation or modernization of existing units			
Subsidized homeownership opportunities			
Subsidized rent			
E6. About what proportion of assisted rental units	□ 0-10%		
within this area are in need of major repair or	□ 10-25%		
modernization?	□ 25-50%		
	□ Over 50%		
E7. About what proportion of assisted owner-	□ 0-10%		
occupied units in this area are in need of major	□ 10-25%		
repair or modernization?	□ 25-50%		
	□ Over 50%		
E8. What types of repairs have you had to make			
frequently over the past 3 years for the housing	☐ Electrical		
units your organization administers?	☐ Plumbing		
	☐ Interior w		
		urface (not roof)	ah aa aassaa
		nfrastructure, su / parking lots	cii as sewers,
	-	ECIFY):	
	□ None		
E9. What type of capital improvements have you	□ Roof		
made over the past 3 years for the housing units	□ Electrical		
your organization administers?	□ Plumbing		
	□ Interior w	alls	
	☐ Exterior su	urface (not roof)	
	□ Asbestos r	removal	
	_	abatement	
		nfrastructure, su	ch as sewers,
	-	/ parking lots	
		ECIFY):	
740.4	□ None	D 1-1	DEBUGER
E10. Are there repairs and improvements to the	YES NO	Don't know	REFUSED

stock of housing your organization administers that you would currently like to make, but haven't?	If YES, continue with E10a. If NO, skip to E11.
E10a. Why haven't you made these repairs or improvements?	 Lack of funding Equipment not available/ Lack of affordable materials Lack of maintenance professionals/ industries in the area Difficulties overseeing projects due to limited technical capacity Difficulties accessing units, such as inadequate road or size of area served Age of housing stock (too old to repair/renovate) Harsh climate, recent natural disasters
E11. About how often do you conduct formal inspections of the housing units your organization administers?	 Once-when unit is put into service but not after that When there is new tenant but not after that Regularly, about once a year Regularly, about twice a year Regularly, and more than twice a year Don't know
E12. What housing quality standards do you use when assessing housing conditions?	 Those of a specific housing code adopted by this tribe Those of a housing code used by neighboring local jurisdiction Those of state code Those of a model housing code Those used by HUD for the Section 8 program, called Housing Quality Standards (HQS) There is no set of standards that we use

F. APPROACH TO STRATEGY IN IHBG PLANNING AND IMPLEMENTATION

F1. Your organization may receive and use feedback or advice when making decisions about how to use IHBG funds. Please indicate which of the groups below, if any, you consult with each year when you prepare your Indian Housing Plan (IHP). If you do consult with a particular group, please indicate if you have extensive consultation, some consultation, or no consultation.				
	EXTENSIVE CONSULTATION	SOME CONSULTATION	NO CONSULTATION	
IHBG housing residents				
Other community residents				
Infrastructure planners (ICDBG and others)				
Local nonprofits and service providers				
Tribal council				
Non-tribal local jurisdiction				
State government entity or official				
Private land owners				
Local housing advocates and experts				
ONAP Regional staff or HUD staff				
F2. What methods do you use to obtain input from groups in this area?	 □ Community meetings □ Informal visits and discussions □ Formal recommendations provided in reports, letters or plans □ Voting on program options □ Other (SPECIFY): 			
F3. Do you conduct or utilize a formal needs assessment as a basis for your IHP?	☐ YES we utilize the state age ☐ NO we do no	e/TDHE conducts a rate the needs assessmency of conduct or utilize a straight of the straight	nent conducted by a needs assessment of F3a.	

In the past year

F3a. When was the most recent formal

needs assessment conducted? \[\square \text{Two to four years ago} \]				
□ 5 years ago or more				
F3b. Which of the following were included in y	our needs assessment?		YES	NO
Data on population growth, family income and	other family characteristic	CS .		
Onsite inspection of housing units				
Interviews with local housing advocates/ expe	rts			
Interviews with tenants or tenant organization	ns			
Public forums held with community residents				
Other (SPECIFY):				
F4. Does IHP give the highest priority to providing assistance inside the area, to providing assistance to other parts of the service area outside the area boundary(ies), or about equal priority to both? Highest priority inside the area Highest priority outside area boundaries About equal priority to both Do not work outside of tribal area boundaries				ries
F5. I am going to read you a list of Eligible Affordable Housing Activities under NAHASDA. Can you tell me if you actually perform activities in each category and, if so, provide one or two word description of the types of activities you perform:	Type of Activity (1) Indian housing assistance (2) Development (3) Housing services (4) Housing management services (5) Crime prevention and safety activities (6) Model activities	2. Y/N	3. A	ctivity

F6. Of the following list of spending priorities, please indicate which is the highest priority, second highest

priority, and third highest priority over	the next 3 years?)		
		HIGHEST PRIORITY	SECOND PRIORITY	THIRD PRIORIT
Maintaining and operating existing stock	k			
Creating new rental units through land a new construction or rehab	acquisition,			
Creating new homeowner units through acquisition, new construction or rehab	land			
Providing assistance to renters to help p monthly rent	oay their			
Providing assistance to homeowners or desire to own homes	those who			
Serving special populations, such as the chronically homeless, veterans	elderly,			
Other (SPECIFY):	_			
F7. Do you provide any counseling services for eligible families? If so, what types?	☐ Becoming ☐ Other (SP)	nancial literacy a homeowner ECIFY): ling services provi		
F8. I am going to read a list of different types of special populations. For each	☐ Homeless ☐ Elderly Y_	_ N		

one, please tell me if any of your IHBG funds for housing programs target that population.	 □ Veterans Y N □ Domestic violence victims Y N □ Single parents Y N □ Other Y N (SPECIFY):
F9. In the past 5 years, how many housin organization been working on?	g construction and rehabilitation projects has your ——
F9a. How many of these involved housing	g subsidies from sources other than IHBG funds?
F9b. How many of the total projects above who have invested their own capital in the	ve (F9) were carried out jointly with private developers ne project?
F10. If you have not been able to	☐ Lack of availability of programs
develop projects involving other	☐ Administrative constraints
subsidy programs or private sector investment, what have been the major barriers to doing so?	 Lack of interest of other organizations/ financial institutions Political tensions between tribe, TDHE and other organizations Differing priorities Other (SPECIFY):

G. CHALLENGES IN HOUSING DEVELOPMENT AND OPERATION

G1. How have development costs per housing	☐ Increased greatly
unit changed over the past three years?	□ Increased somewhat
	□ Decreased somewhat
	□ Decreased greatly
	□ Stayed about the same
G2. What are the three most important factors that raise the cost of developing new housing in this area?	 Developing infrastructure Environmental conditions Geography and terrain of area served Availability of labor and construction professionals Availability of construction materials
	☐ Availability of outside financing
	☐ Acquiring/assembling land
	☐ Cost of labor
	☐ Strict building code requirements
	□ Lack of funds
	□ Other (SPECIFY):
G3. What are the three most important factors	☐ Environmental review process
that lengthen the time it takes to develop new	☐ Developing architectural/engineering plans
housing in this area?	☐ Satisfying HUD administrative requirements
	\square Satisfying state or local administrative
	requirements
	☐ Locating and securing outside financial
	support
	☐ Lack of staff
	☐ Issues with property rights and leasing land
	☐ Transport and delivery of
	construction/housing materials
	Other (Specify):
G4. How serious a constraint is the total	□ Very serious
development cost in developing housing in this	□ Fairly serious
area?	☐ A factor, but not very serious
	□ Not a factor
	Other (Specify):
CE Doos this area have a somewhat has the	VEC NO Don't know Defuged
G5. Does this area have a comprehensive land	YES NO Don't know Refused

use plan?	
IF YES: continue to G6.	
IF NO: How serious a problem is the lack of a	□ Very serious
plan in your efforts to develop new housing?	☐ Fairly serious
	☐ A factor, but not very serious
	□ Not a factor
G6. What are the main difficulties you face in	☐ Trust Land and related problems
assembling land to build new IHBG housing?	☐ Tribe not providing enough priority to
	releasing tribal lands for housing
	□ Other (SPECIFY):
G7. What are the three most difficult challenges	☐ Lack of trained staff
your organization faces in managing the stock	☐ Performance problems with contractors
of rental housing you administer?	☐ Controlling criminal activity
	☐ Tenants causing damage to the unit
	☐ Tenants not paying rent on time
	□ Other (SPECIFY):
G8. What are the three most difficult challenges	☐ Lack of trained staff
your organizations faces in managing your	☐ Performance problems with contractors
stock of Mutual Help and other homeownership	☐ Controlling criminal activity
housing you administer?	☐ Residents causing damage to the unit
	☐ Residents not making payments on time
	□ Other (SPECIFY):

H. ASSESSMENT OF RULES AND PROCEDURES UNDER NAHASDA

H1. How familiar are you with how HUD	□ Very familiar
housing assistance was administered before it	☐ Somewhat familiar
was changed to block grants in 1998?	□ Not familiar
	If Very or Somewhat familiar, continue with H2. If Not familiar, skip to H7.
H2. [IF VERY or SOMEWHAT FAMILIAR]:	☐ Worked on HUD assistance programs at that
How did you become familiar with how things worked back then? Check all that apply.	time Told about it by co-workers Told about it in ONAP training sessions Reviewed administrative records Other (Specify):
H3. How would you compare the	☐ Require more work than they did prior to
administrative procedures under the current	NAHASDA Less work
IHBG program (such as submitting plans, maintaining records and reporting to HUD) to	☐ Less work ☐ About the same amount of work
the procedures prior to NAHASDA?	
H4. Would you say your organization's ability	□ Easier
to leverage funds with other private sources	☐ More difficult
has become easier since the advent of NAHSADA, become harder, or has stayed the same?	□ No change, compared to programming prior to NAHASDA
H5. Under NAHASDA, leases for housing	YES NO Don't know REFUSED
activities on restricted or trust land have been	120 NO DOILCRIOW RELIGIED
extended to 50 years. Is this lease term long	
enough to create an incentive for the	If NO, continue with H5a.
development of housing in your service area?	, ,

H5a. What should the lease year limit be? (Open-ended)	
H6. Would you change current IHBG program rules or practices in any of the following areas?	 General program administration Preparing your Indian Housing Plan Leveraging funds with private money or partnering with other organizations to provide housing and services Developing new housing units, including acquiring/ financing land and construction Encouraging and supporting homeownership Encouraging and supporting development of housing by private investors
H7. If yes, what changes would you make? (OPEN-ENDED)	

I. OTHER HOUSING ASSISTANCE PROGRAMS OPERATING IN THE AREA

I1. Does your organization operate any other housing assistance programs in addition to those funded under the IHBG?	YES NO Don't know REFUSED If YES, name the programs and provide the following information in I1a-I1c. If NO, skip to I2.
I1a. Name of program; type of housing; funding source; number of units affected:	
I1b. Name of program; type of housing; funding source; number of units affected:	
I1c. Name of program; type of housing; funding source; number of units affected:	
I2. Are there other housing assistance programs in your area operated by other organizations?	YES NO Don't know REFUSED If YES, name the programs and provide the following information in I2a-I2c. If NO, continue to Section J.
I2a. Name of program; type; funding source; organization; units affected	
I2b. Name of program; type; funding source; organization; units affected	
I2c. Name of program; type; funding source; organization; units affected	

J. ASSESSMENT OF MORTGAGE LENDING PROGRAMS (SECTION 184 AND OTHER)

J1. How strong would you say is the demand for homeownership opportunities in the area you serve?	☐ High☐ Moderate☐ Low or none
J2. Over the past three years, what has the demand been for your organization's homeownership programs?	☐ Increased☐ Decreased☐ Stayed the same
J3. What are the 3 most important barriers to getting tribal members living on Indian land to apply for a mortgage?	 □ Potential borrowers are wary of formal institutional lenders □ Language issues □ Paperwork issues □ Limited demand—minimal interest in or familiarity with homeownership □ Lack enough savings for down payment □ No or blemished credit history □ Insufficient income □ Other (SPECIFY):
J4. What are the 3 most important barriers to attracting private lending opportunities for households interested in homeownership?	 □ Trust land status □ Fractional ownership of land available for units □ Uncertainty about recovering mortgaged properties in the event of a foreclosure □ Other land/title issue □ Lender discrimination □ Lack of mortgage institutions in your area □ Other (SPECIFY):
J5. What are the sources of home mortgage lending in your service area?	 State agency programs Rural housing services (formerly Farmers Home) Federal Home Loan Bank Private lenders Tribe and tribal lenders Other (SPECIFY):

$Thank \ you \ for \ taking \ the \ time \ to \ respond \ to \ this \ survey. \ Your \ answers \ will \ be \ shared \ with \ the \ research$
team but the information will be kept confidential. In the case where we do not understand the
answers provided, we would like the opportunity to contact you and make the appropriate corrections.
If you are willing to be contacted, please provide your name and phone number below. Thank you
again for your participation.

NAME OF RESPONDENT:	
TELEPHONE NUMBER:	