# **American Housing Survey**

# Listing of Programs and Variables Used in CINCH and Rental Dynamics Analysis for Seattle: 2004–2009

## Prepared For:

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# Listing of Programs and Variables Used in CINCH and Rental Dynamics Analysis for Seattle: 2004–2009

The following 11 programs and one data set have been posted on the American Housing Survey (AHS) Web site:

- CINCH\_Seattle\_04\_09\_DATA: For each control number, this SAS DATA SET file contains the weights used in forward-looking Components of Inventory Change (CINCH) analysis (FLCINCHWT) and the weights used in the backward-looking CINCH analysis (BLCINCHWT), along with the variables used to identify CINCH status and rental affordability status. These variables are defined in this document. One can replicate the rental dynamics tables using this file only. To replicate the CINCH tables, one has to use the following SAS codes along with data from the 2004 and 2009 AHS files.
- FORWARD\_WEIGHTS\_Seattle\_04\_09: the program used to create FLCINCHWT.
- BACKWARD\_WEIGHTS\_Seattle\_04\_09: the program used to create BLCINCHWT.
- FORWARD\_Seattle\_TABLE\_1: the program used to generate Forward-Looking Table 1.
- FORWARD\_Seattle\_TABLE\_2: the program used to generate Forward-Looking Table 2.
- FORWARD\_Seattle\_TABLE\_3: the program used to generate Forward-Looking Table 3.
- FORWARD\_Seattle\_TABLE\_4: the program used to generate Forward-Looking Table 4.
- BACKWARD\_Seattle\_TABLE\_1: the program used to generate Backward-Looking Table 1.
- BACKWARD\_Seattle\_TABLE\_2: the program used to generate Backward-Looking Table 2.
- BACKWARD\_Seattle\_TABLE\_3: the program used to generate Backward-Looking Table 3.
- BACKWARD\_Seattle\_TABLE\_4: the program used to generate Backward-Looking Table 4.
- RENT\_DYNAMICS\_Seattle\_04\_09: the program used to generate the rental dynamics core tables.

The following pages define the variables that were used to generate the CINCH and rental dynamics tables. The CINCH\_04\_09\_DATA file contains these variables.

Each of the variables defined below is calculated using variables defined elsewhere. Definitions for SAME, INTLOSS, etc., can be found in the companion document, *Weighting Strategy for 2004–2009 Seattle CINCH Analysis*. This document also explains how the weights were derived.

Definitions for NOINT, OWNRENT, and other variables with IN04\_ or IN09\_ prefixes can be found in the AHS Codebook or the Housing Affordability Data System (HADS) documentation.<sup>2</sup> The IN04\_ and IN09\_ prefixes indicate which AHS survey (2004 or 2009) was used for the value of that particular variable.

#### CONTROL=Control number.

This is the scrambled control number from the AHS masterfile. It is used to preserve confidentiality and to enable longitudinal matches to earlier files. It is a character variable of 12 spaces in length.

IN09\_REUAD=Reason unit got added to sample – see AHS Codebook for definition.

IN09\_SAMEDU= Same HU as last enumeration – see AHS Codebook for a definition of this variable and see the AHS\_FAQ for an explanation of the variable.

### BLCINCHWT=Backward-looking CINCH weight.

This is the weight applied to 2009 cases in backward-looking CINCH analysis. 00000-99999

### FLCINCHWT=Forward-Looking CINCH weight

This is the weight applied to 2004 cases in forward-looking CINCH analysis. 00000-99999

IN04=Observation used in the forward-looking analysis.

1=a unit that is part of the 2004 housing stock and is used in the forward-looking analysis. This variable has a missing value for all other cases.

IN09=Observation used in the backward-looking analysis.

1=a unit that is part of the 2009 housing stock and is used in the backward-looking analysis. This variable has a missing value for all other cases.

IN04\_WEIGHT=Final 2004 AHS weight based on 1980 Census geography. 00000-99999

<sup>1</sup> Found on the HUD AHS Web site under CINCH files: http://www.huduser.org/portal/datasets/cinch.html.

<sup>&</sup>lt;sup>2</sup> Found at http://www.huduser.org/portal/datasets/ahs/ahsprev.html#codebooks and at http://www.huduser.org/portal/datasets/hads/hads.html.

IN09\_WEIGHT=Final 2009 AHS weight based on 1980 Census geography. 00000-99999

IN04\_WGT90GEO=Final 2004 AHS weight based on 1990 Census geography. 00000-99999

IN09\_WGT90GEO=Final 2009 AHS weight based on 1990 Census geography. 00000-99999

FLSTATUS=Forward status=status of a 2004 unit in 2009.

- 1=in 2004 stock and in 2009 stock: IF SAME=1 THEN FLSTATUS=1
- 2=in 2004 stock but a loss in 2009 due to conversion or merger:

  IF INTLOSS=1 AND ((32 LE IN09\_NOINT LE 33) OR (IN09\_SAMEDU2='6'
  OR IN09 SAMEDU2='7' OR IN09 SAMEDU2='8')) THEN FLSTATUS=2
- 3=in 2004 stock but a loss in 2009 due to house or mobile home move-out: IF INTLOSS=1 AND (IN09\_NOINT=31 OR IN09\_SAMEDU2='4' OR (IN04\_NUNIT2='4' AND IN09\_SAMEDU2='5')) THEN FLSTATUS=3
- 4=in 2004 stock but a loss in 2009 due to nonresidential use:

  IF INTLOSS=1 AND (IN09\_NOINT=12 OR IN09\_NOINT=14) THEN
  FLSTATUS=4
- 5=in 2004 stock but a loss in 2009 due to demolition or disaster:

  IF INTLOSS=1 AND (IN09\_NOINT=30 OR (1 LE IN04\_NUNIT2 LE 3 AND (IN09\_NOINT=13 OR IN09\_SAMEDU2='5'))) THEN FLSTATUS=5
- 6=in 2004 stock but a loss in 2009 due to damage or condemnation: IF INTLOSS=1 AND (15 LE IN09\_NOINT LE 16) THEN FLSTATUS=6
- 7=in 2004 stock but a loss in 2009 for other reason:

  IF INTLOSS=1 AND ((10 LE IN09\_NOINT LE 11 AND IN09\_SAMEDU2 NE '8') OR IN09\_NOINT=17 OR (36 LE IN09\_NOINT LE 37)) THEN

  FLSTATUS=7

BLSTATUS=Backward status=status of a 2009 unit in 2004.

1=in 2009 stock and in 2004 stock: IF SAME=1 THEN BLSTATUS=1

- 2=in 2009 stock but not in 2004 stock; added by conversion or merger:

  IF INTADD=1 AND ((6 LE IN09\_REVREUAD LE 8) OR

  (IN09\_SAMEDU2='6' OR IN09\_SAMEDU2='7' OR IN09\_SAMEDU2='8'))

  THEN BLSTATUS=2
- 3=in 2009 stock but not in 2004 stock; added by house or mobile home move-in:

  IF INTADD=1 AND (IN04\_NOINT=13 OR (4 LE IN09\_REVREUAD LE 5) OR
  IN09\_SAMEDU2 = '5') THEN BLSTATUS=3
- 4=in 2009 stock but not in 2004 stock; added from nonresidential use:

  IF INTADD=1 AND ((IN04\_NOINT=12 OR IN04\_NOINT=14) OR
  IN09\_REVREUAD=9) THEN BLSTATUS=4
- 5=in 2009 stock but not in 2004 stock; added by new construction:

  IF INTNC=1 AND (IN09\_REVREUAD=3 OR ((10 LE IN04\_NOINT LE 11)

  AND NOT (IN09\_SAMEDU2='8'))) THEN BLSTATUS=5
- 6=in 2009 stock but not in 2004 stock; added from temporary losses in 2004 stock: IF INTADD=1 AND ((15 LE IN04\_NOINT LE 16) AND NOT (IN09\_SAMEDU2='5' OR IN09\_SAMEDU2='6' OR IN09\_SAMEDU2='7' OR IN09\_SAMEDU2='8')) THEN BLSTATUS=6
- 7=in 2009 stock but not in 2004 stock; added from other sources:

  IF INTADD=1 AND (IN09\_REVREUAD=10 OR IN04\_NOINT=17) THEN
  BLSTATUS=7

The last four variables deal with the concept of rental affordability. Previous rental dynamics studies have classified rents into eight categories based on the ratio of the unit's rent to various income levels. HUD has created a group of data sets, called HADS, which provides various types of affordability-related information on AHS units for different survey years. The eight categories are derived from the HADS documentation, and HADS variables are used to define the remaining four variables. Definitions of the variables from the HADS data set are found in *Housing Affordability Data System*.<sup>3</sup>

A unit is considered affordable to the members of a class if the rent of the unit is no greater than 30 percent of the highest monthly income for that class. The eight categories are:

- Nonmarket: either no cash rent or a subsidized rent.
- Extremely low rent (affordable to renters with incomes less than or equal to 30 percent of local area median income).
- Very low rent (affordable to renters with incomes greater than 30 percent but less than or equal to 50 percent of local area median income).

<sup>&</sup>lt;sup>3</sup>Located at http://www.huduser.org/intercept.asp?loc=/Datasets/hads/HADS\_doc.pdf.

- Low rent (affordable to renters with incomes greater than 50 percent but less than or equal to 60 percent of local area median income).
- Moderate rent (affordable to renters with incomes greater than 60 percent but less than or equal to 80 percent of local area median income).
- High rent (affordable to renters with incomes greater than 80 percent but less than or equal to 100 percent of local area median income).
- Very high rent (affordable to renters with incomes greater than 100 percent but less than or equal to 120 percent of local area median income).
- Extremely high rent (affordable to renters with incomes greater than 120 percent of local area median income).

### FLRENT=2004 rent category.

1=a nonmarket rental unit in 2004:

IF IN04\_OWNRENT='2' AND (IN04\_TENURE='3' OR IN04\_Assisted=1 OR IN04\_RENT=1) THEN FLRENT=1

2=an extremely low-rent rental unit in 2004:

IF IN04\_OWNRENT='2' AND NOT (IN04\_TENURE='3' OR IN04\_Assisted=1 OR IN04\_RENT=1) AND IN04\_COST08RELAMICAT=1 THEN FLRENT=2

3=a very low-rent rental unit in 2004:

IF IN04\_OWNRENT='2' AND NOT (IN04\_TENURE='3' OR IN04\_Assisted=1 OR IN04 RENT=1) AND IN04 COST08RELAMICAT=2 THEN FLRENT=3

4=a low-rent rental unit in 2004:

IF IN04\_OWNRENT='2' AND NOT (IN04\_TENURE='3' OR IN04\_Assisted=1 OR IN04\_RENT=1) AND IN04\_COST08RELAMICAT=3 THEN FLRENT=4

5=a moderate-rent rental unit in 2004:

IF IN04\_OWNRENT='2' AND NOT (IN04\_TENURE='3' OR IN04\_Assisted=1 OR IN04\_RENT=1) AND IN04\_COST08RELAMICAT=4 THEN FLRENT=5

6=a high-rent rental unit in 2004:

IF IN04\_OWNRENT='2' AND NOT (IN04\_TENURE='3' OR IN04\_Assisted=1 OR IN04\_RENT=1) AND IN04\_COST08RELAMICAT=5 THEN FLRENT=6

7=a very high-rent rental unit in 2004:

IF IN04\_OWNRENT='2' AND NOT (IN04\_TENURE='3' OR IN04\_Assisted=1 OR IN04\_RENT=1) AND IN04\_COST08RELAMICAT=6 THEN FLRENT=7

8=an extremely high-rent rental unit in 2004:

IF IN04\_OWNRENT='2' AND NOT (IN04\_TENURE='3' OR IN04\_Assisted=1 OR IN04\_RENT=1) AND IN04\_COST08RELAMICAT=7 THEN FLRENT=8

.=missing

BLRENT=Current year (2009) rent category.

1=a nonmarket rental unit in 2009:

IF IN09\_OWNRENT='2' AND (IN09\_TENURE='3' OR IN09\_Assisted=1 OR IN09\_RENT=1) THEN BLRENT=1

2=an extremely low-rent rental unit in 2009:

IF IN09\_OWNRENT='2' AND NOT (IN09\_TENURE='3' OR IN09\_Assisted=1 OR IN09\_RENT=1) AND IN09\_COST08RELAMICAT=1 THEN BLRENT=2

3=a very low-rent rental unit in 2009:

IF IN09\_OWNRENT='2' AND NOT (IN09\_TENURE='3' OR IN09\_Assisted=1 OR IN09 RENT=1) AND IN09 COST08RELAMICAT=2 THEN BLRENT=3

4=a low-rent rental unit in 2009:

IF IN09\_OWNRENT='2' AND NOT (IN09\_TENURE='3' OR IN09\_Assisted=1 OR IN09\_RENT=1) AND IN09\_COST08RELAMICAT=3 THEN BLRENT=4

5=a moderate-rent rental unit in 2009:

IF IN09\_OWNRENT='2' AND NOT (IN09\_TENURE='3' OR IN09\_Assisted=1 OR IN09 RENT=1) AND IN09 COST08RELAMICAT=4 THEN BLRENT=5

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IF IN09\_OWNRENT='2' AND NOT (IN09\_TENURE='3' OR IN09\_Assisted=1 OR IN09\_RENT=1) AND IN09\_COST08RELAMICAT=5 THEN BLRENT=6

7=a very high-rent rental unit in 2009:

IF IN09\_OWNRENT='2' AND NOT (IN09\_TENURE='3' OR IN09\_Assisted=1 OR IN09\_RENT=1) AND IN09\_COST08RELAMICAT=6 THEN BLRENT=7

8=an extremely high-rent rental unit in 2009:

IF IN09\_OWNRENT='2' AND NOT (IN09\_TENURE='3' OR IN09\_Assisted=1 OR IN09 RENT=1) AND IN09 COST08RELAMICAT=7 THEN BLRENT=8

.=missing

FLAFFORD=Forward rental affordability=affordability status in 2009 of 2004 rental unit.

1=a nonmarket rental unit in 2009:

IF IN09\_OWNRENT='2' AND (IN09\_TENURE='3' OR IN09\_Assisted=1 OR IN09\_RENT=1) THEN FLAFFORD=1

2=an extremely low-rent rental unit in 2009:

IF IN09\_OWNRENT='2' AND NOT (IN09\_TENURE='3' OR IN09\_Assisted=1 OR IN09\_RENT=1) AND IN09\_COST08RELAMICAT=1 THEN FLAFFORD=2

3=a very low-rent rental unit in 2009:

IF IN09\_OWNRENT='2' AND NOT (IN09\_TENURE='3' OR IN09\_Assisted=1 OR IN09\_RENT=1) AND IN09\_COST08RELAMICAT=2 THEN FLAFFORD=3

4=a low-rent rental unit in 2009:

IF IN09\_OWNRENT='2' AND NOT (IN09\_TENURE='3' OR IN09\_Assisted=1 OR IN09\_RENT=1) AND IN09\_COST08RELAMICAT=3 THEN FLAFFORD=4

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7=a very high-rent rental unit in 2009:

IF IN09\_OWNRENT='2' AND NOT (IN09\_TENURE='3' OR IN09\_Assisted=1 OR IN09\_RENT=1) AND IN09\_COST08RELAMICAT=6 THEN FLAFFORD=7

8=an extremely high-rent rental unit in 2009:

IF IN09\_OWNRENT='2' AND NOT (IN09\_TENURE='3' OR IN09\_Assisted=1 OR IN09\_RENT=1) AND IN09\_COST08RELAMICAT=7 THEN FLAFFORD=8

9=an owner-occupied unit in 2009:

IF (IN09\_OWNRENT='1') THEN FLAFFORD=9

10=a vacant or seasonal unit in 2009:

IF (IN09\_VACANCY GE 6) OR (IN09\_OWNRENT=. AND IN09\_VACANCY LE 5) THEN FLAFFORD=10

11=a unit not in the 2009 stock:

IF (10 LE IN09\_NOINT) THEN FLAFFORD=11

.=missing

BLAFFORD=Backward rental affordability=affordability status in 2004 of a 2009 rental unit.

1=a nonmarket rental unit in 2004:

IF IN04\_OWNRENT='2' AND (IN04\_TENURE='3' OR IN04\_Assisted=1 OR IN04\_RENT=1) THEN BLAFFORD=1

2=an extremely low-rent rental unit in 2004:

IF IN04\_OWNRENT='2' AND NOT (IN04\_TENURE='3' OR IN04\_Assisted=1 OR IN04\_RENT=1) AND IN04\_COST08RELAMICAT=1 THEN BLAFFORD=2

3=a very low-rent rental unit in 2004:

IF IN04\_OWNRENT='2' AND NOT (IN04\_TENURE='3' OR IN04\_Assisted=1 OR IN04\_RENT=1) AND IN04\_COST08RELAMICAT=2 THEN BLAFFORD=3

4=a low-rent rental unit in 2004:

IF IN04\_OWNRENT='2' AND NOT (IN04\_TENURE='3' OR IN04\_Assisted=1 OR IN04\_RENT=1) AND IN04\_COST08RELAMICAT=3 THEN BLAFFORD=4

5=a moderate-rent rental unit in 2004:

IF IN04\_OWNRENT='2' AND NOT (IN04\_TENURE='3' OR IN04\_Assisted=1 OR IN04\_RENT=1) AND IN04\_COST08RELAMICAT=4 THEN BLAFFORD=5

6=a high-rent rental unit in 2004:

IF IN04\_OWNRENT='2' AND NOT (IN04\_TENURE='3' OR IN04\_Assisted=1 OR IN04\_RENT=1) AND IN04\_COST08RELAMICAT=5 THEN BLAFFORD=6

7=a very high-rent rental unit in 2004:

IF IN04\_OWNRENT='2' AND NOT (IN04\_TENURE='3' OR IN04\_Assisted=1 OR IN04\_RENT=1) AND IN04\_COST08RELAMICAT=6 THEN BLAFFORD=7

8=an extremely high-rent rental unit in 2004:

IF IN04\_OWNRENT='2' AND NOT (IN04\_TENURE='3' OR IN04\_Assisted=1 OR IN04\_RENT=1) AND IN04\_COST08RELAMICAT=7 THEN BLAFFORD=8

9=an owner-occupied unit in 2004:

IF IN04\_OWNRENT='1' THEN BLAFFORD=9

10=a vacant or seasonal unit in 2004:

IF (IN04\_VACANCY GE 6) OR (IN04\_OWNRENT=. AND IN04\_VACANCY LE 5) THEN BLAFFORD=10

11=a unit added by new construction:

IF INTNC=1 THEN BLAFFORD=11

12=a unit not in the 2004 stock but not added through new construction:

IF INTADD=1 THEN BLAFFORD=12

.=missing